

RESEARCH ARTICLE

# Frequent Dental Scaling Is Associated with a Reduced Risk of Periprosthetic Infection following Total Knee Arthroplasty: A Nationwide Population-Based Nested Case-Control Study

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## Abstract

Oral bacteremia has been presumed to be an important risk factor for total knee arthroplasty (TKA) infection. We aimed to investigate whether dental scaling could reduce the risk of TKA infection. A nested case-control study was conducted to compare 1,291 TKA patients who underwent resection arthroplasty for infected TKA and 5,004 matched controls without infection in the TKA cohort of Taiwan's National Health Insurance Research Database (NHIRD). The frequency of dental scaling was analyzed. Multiple conditional logistic regression was used to assess the frequency of dental scaling and the risk of TKA infection. The percentage of patients who received dental scaling was higher in the control group than in the TKA infection group. The risk for TKA infection was 20% lower for patients who received dental scaling at least once within a 3-year period than for patients who never received dental scaling. Moreover, the risk of TKA infection was reduced by 31% among patients who underwent more frequent dental scaling (5–6 times within 3 years). Frequent and regular dental scaling is associated with a reduced risk of TKA infection.

## Introduction

Total knee arthroplasty (TKA) is currently the best solution for decreasing knee pain and improving function in patients with end-stage osteoarthritis, rheumatoid arthritis, and other rheumatic diseases. As life expectancy has increased, the number of patients requiring TKA is growing exponentially. In 2005, approximately 500,000 TKAs were performed in the United States. This number is expected to increase to 3,500,000 by 2030, according to one projection[1]. Currently, there are more than 20,000 TKAs performed per year in Taiwan. All of the expenses associated with surgery and hospitalization are covered by the National Health Insurance (NHI) system.

Periprosthetic joint infection is the most common complication following TKA, causing patients to suffer from functional loss, additional debridement, and revision surgeries, as well as increased mortality[2]. Delayed or late periprosthetic joint infections are typically caused by hematogenous bacterial spreading. The rate of periprosthetic infection after TKA has been reported to be 0.58–1.56%[3,4] and continues to increase[5]. This situation remains a challenge to the medical care system.

Bacteria present in the oral cavity are the source of 6–13% of TKA infections[6]. These bacteria translocate from the mouth to the bloodstream and cause transient bacteremia. This translocation occurs as a result of not only dental procedures but also daily activities, such as brushing teeth, flossing, and chewing[7]. This “oral bacteremia” occurs more frequently in patients with poor dental condition than in patients with good dental health[8].

Prior recommendations for the prevention of oral bacteremia-related TKA infection focused on prescribing prophylactic antibiotics before dental procedures[9,10]. The current practice guideline released by the American Academy of Orthopaedic Surgeons (AAOS) and the American Dental Association (ADA) in 2012 suggests that advising patients with prosthetic joint implants to maintain appropriate oral condition seems to be more important than prescribing prophylactic antibiotics before any dental procedure[11]. However, the reliable evidence linking poor oral condition to post-TKA infection is still lacking.

Regular dental checkups and tooth scaling to remove plaque and calculus contribute to good oral condition. Good oral health conditions may decrease the risk of bacteremia after tooth brushing, chewing, and scaling[12]. Dental plaque accumulation and gingival inflammation significantly increase the prevalence of bacteremia after daily tooth brushing[8]. Although there is still debate over the effectiveness of regular dental scaling and its optimal frequency in healthy adults[13,14], many dentists provide dental scaling services as part of routine dental care. In Taiwan, the NHI system provides each beneficiary with one dental scaling per six months. Its large-scale database provides good evidence with which to evaluate the effectiveness of dental scaling.

We hypothesized that frequent dental scaling could further decrease the risk of periprosthetic joint infection following TKA. The purpose of this study was to clarify the association between dental scaling frequency and TKA infection risk reduction by employing a nationwide population-based case-control study.

## Materials and Methods

### Data source

Datasets were obtained from Taiwan's National Health Insurance Research Database (NHIRD). Taiwan launched a single-payer NHI program in 1995, and by 2007, 99% of the population was enrolled. The NHIRD contains demographic data on enrollees, information on healthcare professionals and medical facilities, and service records and expenditure claims from inpatient, ambulatory care, and contracted pharmacies for reimbursement purposes[15]. Electronic databases including such data are provided to scientists in Taiwan for research purposes. Data have been anonymized and de-identified prior to analysis. The study protocol was reviewed and approved by the Institutional Review Board of National Cheng Kung University Hospital, Tainan, Taiwan.

### Study population

This study was a nested case-control study. A TKA cohort comprised patients older than 40 years who had undergone TKA from 1999–2002 was identified. Patients were followed from the date they underwent TKA until their death or until 5 years after the incident TKA. Eligible

cases were patients who underwent resection arthroplasty for post-TKA infection during the follow-up period; these were defined as cases with inpatient claims with NHI surgery codes (64198B, Removal of prostheses) and concomitant use of systemic antibiotics for at least 7 days. The date on which case patients underwent resection arthroplasty was defined as the index date. Each case was matched with 4 controls from the TKA cohort by age and gender, using the previously proposed incidence density sampling method[16], which has been demonstrated to provide an unbiased estimation of relative risk in a nested case-control study. For controls, the end of 5-year follow-up was set as the index date.

## Cumulative frequency of dental scaling and covariates

Records of the cumulative frequency of dental scaling within 3 years prior to the index date were retrieved from outpatient claims according to NHI procedure codes (91003C, 91004C). In the primary analysis, we compared the risk of infection between patients who had ever and those who had never received dental scaling. We further classified the frequency of dental scaling as 1–4 times and 5–6 times in 3 years in the secondary analysis to determine whether there was a dose-response relationship between dental scaling and infection risk. The Taiwan NHI program provides dental checkups and scaling to all beneficiaries once every six months. Therefore, we defined 5–6 dental scalings in 3 years as a regular dental scaling frequency.

The following covariates were included to assess the study outcomes: age, calendar year, osteoarthritis, rheumatoid arthritis, gout, diabetes mellitus, ischemic heart disease, hypertension, peripheral vascular disease, heart failure, chronic lung disease, hyperlipidemia, ischemic stroke, transient ischemic attack, osteoporosis, and gingival and periodontal disease.

## Statistical analysis

Differences in patient demographic information and other covariates were determined either by the chi-square test or Student's t-test. Conditional multiple logistic regression was used to calculate the odds ratio (OR) between individuals who received dental scaling and individuals who did not receive dental scaling. All data construction and analyses were performed using SAS 9.3 (SAS Institute, Cary, NC).

## Results

From 1999–2002, a total of 32,391 patients who had recently undergone TKA were included in the TKA cohort. We then identified 1,291 cases that underwent TKA and subsequently required resection arthroplasty because of periprosthetic infection within five years after the primary surgery. A total of 5,004 age- and sex-matched patients were identified as the control group. Regarding past history and comorbidities, the prevalence of rheumatoid arthritis (10.2% in the infection group; 7.4% in the control group), diabetes mellitus (27.3% versus 19.3%), cardiovascular diseases (22.5% versus 19.2%), and chronic lung diseases (22.8% versus 20.1%) were all higher in the infection group than in the control group. There was no significant difference in hypertension, peripheral vascular disease, heart failure, hyperlipidemia, ischemic stroke, transient ischemic attack, or gingival and periodontal diseases between the groups (Table 1).

Overall, the patients in the infection group had undergone less frequent dental scaling ( $p < 0.0004$ ). In the three-year period before the index date, 73.1% of the patients never visited dental clinics to have dental checkups and scaling (67.8% in the control group). Approximately twenty percent of patients in the infection group had undergone only 1–4 dental scaling procedures in the three years before the index date. Only 7.1% of patients with TKA infection underwent regular dental scaling (10% in the control group).

**Table 1. Baseline characteristics of patients older than 40 years who had undergone TKA from 1999 to 2002 from the Taiwan National Health Insurance Research Database with and without periprosthetic infection within 5 years.**

	Periprosthetic infection* (N = 1,251)	Control (N = 5,004)
<b>Mean age (SD)</b>	69.1 (8.0)	69.3 (7.6)
<b>Calendar year (%)</b>		
1999	26.1	23.8
2000	26.1	21.2
2001	23.7	22.3
2002	24.1	32.7
<b>Past medical history (%)</b>		
Osteoarthritis	87.9	88.6
Rheumatoid arthritis	10.2	7.4
Gout	17.9	15.2
Diabetes mellitus	27.3	19.3
Ischemic heart diseases	22.5	19.2
Hypertension	51.8	50.3
Peripheral vascular disease	1.1	0.8
<b>Comorbid condition (%)</b>		
Heart failure	7.0	6.5
Chronic lung disease	22.8	20.1
Hyperlipidemia	15.4	16.1
Ischemic stroke	4.4	4.1
Transient ischemic attack	2.1	1.5
Osteoporosis	21.3	19.4
Gingival and periodontal diseases	17.4	20.2
<b>Cumulative episodes of dental scaling over a 3-year period (%) **</b>		
0	73.1	67.8
1–4	19.7	22.4
5–6	7.1	9.9

\* NHI surgery codes (64198B, Removal of prostheses) and concomitant use of systemic antibiotics for at least 7 days

\*\* NHI procedure codes (91003C, 91004C)

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The results of the multiple conditional logistic regression assessing the risk of TKA periprosthetic infection associated with cumulative episodes of dental scaling, conditional on all baseline characteristics, are shown in [Table 2](#). The primary analysis revealed that patients who had received dental scaling had a 20% lower risk for infection than patients who never received this procedure (adjusted OR, 0.80; 95% confidence interval (CI), 0.68–0.93). We further classified the frequency at which patients received dental scaling into 1–4 times and 5–6 times. Compared with patients who never received dental scaling, patients who had received dental scaling 1–4 times had an OR of 0.84 (95% CI, 0.71–0.99). Moreover, an even lower OR of 0.69 was observed for patients who had received regular dental scaling (95% CI, 0.52–0.89). The results suggested that the more frequently patients underwent dental scaling, the lower their risk of infection.

## Discussion

The most important finding of this population-based nested case-control study was that frequent dental checkups and tooth scaling may reduce the risk of TKA infection. The risk was

**Table 2. Odds of a periprosthetic infection in patients older than 40 years who had undergone TKA from 1999 to 2002 from the Taiwan National Health Insurance Research Database.**

Cumulative episodes of dental scaling over a 3-year period (%)	Unadjusted OR (95% CI)	Adjusted OR (95% CI)*
Primary analysis		
No scaling	REF	REF
Received scaling	0.77 (0.66–0.88)	0.80 (0.68–0.93)
Secondary analysis		
No scaling	REF	REF
1–4 times	0.81 (0.69–0.95)	0.84 (0.71–0.99)
5–6 times	0.66 (0.52–0.84)	0.69 (0.54–0.89)

REF: Reference

\* Adjusted for all baseline characteristics in [Table 1](#).

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reduced by 31% in patients who underwent regular dental scaling compared with patients who received no dental scaling. The possible mechanism of risk reduction is that regular dental checkups and scaling can improve oral health and decrease the risk of transient bacteremia caused by oral flora[17].

Routine dental check-ups help patients identify dental problems and resolve them in the early stage. Dental scaling is a process designed to eliminate the etiologic plaque and calculus to prevent gingival inflammation and further periodontal diseases. It is well-documented that poor dentition increases the risk of bacteremia. A meta-analysis showed this evidence by measuring the gingival index (GI, a scale of 0–3, with 0 indicating normal gingiva and 3 indicating severe inflammation, ulceration, and spontaneous bleeding)[8]. The authors revealed that the patients with gingival disease (GI > 1.5) had a higher risk of bacteremia after tooth brushing (OR: 2.77; 95% CI, 1.5–5.1) than those with relatively healthy gingiva (GI < 1.5). The risk of periprosthetic joint infection after bacteremia was estimated to be 0.1%[18]. However, in the presence of virulent bloodstream infections such as *Staphylococcus aureus*, the risk of periprosthetic joint infection may dramatically increase to as high as 34.1–38.7%[18–21].

Oral bacteria are responsible for approximately 6–13% of cases of TKA infection[6]. Although the magnitude of oral bacteremia required to seed prostheses is unknown, the evidence suggests that maintaining good oral health is important for preventing periprosthetic infection[11]. Our study further explored the beneficial effects of frequent dental checkups and scaling on reducing risk for TKA infection. Although dental scaling causes transient bacteremia[22], there is no evidence that this procedure itself is correlated with periprosthetic joint infection.

A confounding factor regarding this issue is socioeconomic and insurance status. In the United States, although dental caries are common[23,24], individuals with an income below the poverty line are 50% less likely than other individuals to visit a dentist[25]. Lack of dental insurance is also a significant issue in the United States; in particular, the Medicare insurance system does not cover routine dental services such as dental scaling, fillings, and tooth extractions[26], and the Medicaid system currently provides comprehensive dental care in less than half of states[27]. The Taiwan NHI program enrolls nearly all (>99%) inhabitants of Taiwan. It provides dental checkups and scaling to all beneficiaries once per six months. Because basic dental services are fully covered, economic status may have less impact on the ability of individuals to receive dental scaling in Taiwan than in the United States.

Although we adjusted the results extensively with multivariate logistic regression models, there were several limitations and unmeasured confounders in our study. First, the incidence

of TKA infection may have been underestimated because we used a strict definition of TKA infection (patients requiring resection arthroplasty due to severe periprosthetic infection plus use of antibiotics). Patients with superficial infection or deep infection treated with only antibiotics and debridement were not captured in our study. Nevertheless, using strict criteria as the outcome may reduce the potential for misclassification bias and provide more valid risk estimation.

Second, there may be some unmeasured confounders associated with the use of the NHIRD. Various types of information regarding patients' lifestyles and behaviors that could potentially modify infection risk, such as body mass index, dentate status, nutrition, trauma history and cigarette smoking characteristics[28], were not available in our claims database. Furthermore, no information was available regarding self-paid dental services such as dentures and implants. However, current evidence has not confirmed any dental procedure to be a risk factor for periprosthetic joint infection[29].

Third, we also could not control for health awareness. Research has demonstrated that measures of health awareness impact health outcomes ranging from car accidents to melanoma [30]. However, we found no suitable indicator of health awareness. Our results suggested that frequency of dental scaling might be a potential indicator of health awareness; additional studies are needed to validate this possibility.

Last, we could not retrieve information on the causal pathogens of TKA infection from our database, and we could not further investigate the protective effects of dental scaling against specific pathogens. However, these are common limitations of large-scale case-control studies.

Despite our study's limitations, it also had many strengths. First, our study was the first nationwide study to assess the effect of dental scaling on risk reduction of TKA infection. Second, the database we used (NHIRD) comprised more than 99% of the Taiwanese population. Thus, the TKA cohort in our study has good generalizability. Third, we reported our findings with an extended follow-up period (maximum of 5 years). Finally, we included potential confounders in our database, thereby minimizing potential bias from these factors.

## Conclusion

We found that infrequent dental scaling was associated with periprosthetic joint infection following TKA in Taiwan. This phenomenon is likely attributable to a lack of health awareness. The question of whether regular dental scaling may further reduce the risk of TKA infection requires further investigation.

## Author Contributions

Conceived and designed the experiments: TWT TCL CJH CYY. Performed the experiments: TWT TCL YHKY. Analyzed the data: TWT TCL. Contributed reagents/materials/analysis tools: TCL YHKY. Wrote the paper: TWT CYY.

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