

RESEARCH ARTICLE

# Increased Frequency of Aneuploidy in Long-Lived Spermatozoa

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## Abstract

Aneuploidy commonly causes spontaneous abortions, stillbirths, and aneuploid births in humans. Notably, the majority of sex chromosome aneuploidies in live births have a paternal origin. An increased frequency of aneuploidy is also associated with male infertility. However, the dynamics and behavior of aneuploid spermatozoa during fertilization in humans have not been studied in detail. Therefore, we compared the frequency of aneuploidy and euploidy in live spermatozoa from normozoospermic men over a 3-day period. To assess the dynamics and behavior of aneuploid spermatozoa, we simultaneously evaluated sperm viability using the hypo-osmotic swelling test and sperm aneuploidy using fluorescence in situ hybridization. Whereas the frequency of viable euploid spermatozoa significantly decreased over 3 days, the frequency of viable spermatozoa with aneuploidy interestingly showed a time-dependent increase. In addition, spermatozoa with abnormal sex chromosomes survived longer. To compared with spermatozoa with other swelling patterns, those with tail-tip swelling patterns had a lower frequency of aneuploidy at all time points. This study revealed the novel finding that the frequency of aneuploid spermatozoa with fertilization capability significantly increased compared to that of euploid spermatozoa over 3 days, suggesting that aneuploid spermatozoa can survive longer than euploid spermatozoa and have a greater chance of fertilizing oocytes.



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## Introduction

Aneuploidy, the presence of extra or missing chromosomes, is a numerical chromosomal abnormality. It contributes to spontaneous abortions, stillbirths,

and aneuploid births with severe congenital defects in humans [1, 2]. Aneuploid zygotes that survive beyond implantation are either sex chromosome aneuploids or one of three types of autosomal trisomies (chromosomes 13, 18, and 21) [3]. These aneuploidies arise as de novo mutations or structural rearrangements either during the meiosis of oogenesis or spermatogenesis or during mitosis after fertilization [4]. Assisted reproductive technology data suggest that disomy occurs in 1–17% of spermatozoa in men with normal somatic karyotypes [5, 6] and in 20% of oocytes [7]. Surprisingly, 25% or more of the gametes involved in assisted reproductive technology-derived pregnancies are aneuploid [8]. Most autosomal aneuploidy is maternal in origin. Nevertheless, approximately 80% of aneuploidies associated with sex chromosomes are paternal in origin: 6% of 47, XXX; 50% of 47, XXY; 80% of 45, X; and 100% of 47, XYY cases [9–11]. Aneuploidies of the sex chromosomes are present in the general population with a frequency of approximately 1 in 1000 for each syndrome [12].

Spermatozoa are the motile vehicles that transmit the paternal half of the genetic material for fertilization. All men—even those who are healthy and fertile—have some aneuploid spermatozoa [13]. However, an increased frequency of sperm aneuploidy is associated with infertility. A significant increase in the frequency of autosomal and sex chromosome disomy is found in infertile men compared with normal controls [14, 15]. The embryos formed from these aneuploid spermatozoa tend to have a demonstrable background level of aneuploidy and chromosome breakage [16], which can cause overall reproductive failure and increase the incidence of spontaneous abortion and abnormalities at birth [17].

Although the main factors causing sperm aneuploidy are genetically controlled, environmental factors, both physical and chemical, may also be factors in aneuploidy. To date, many studies have investigated increases in the frequency of sperm aneuploidy associated with various environmental factors such as advanced age [18], pollution [19], cigarette smoking, alcohol consumption [20], and cancer chemotherapy [21]. Despite the numerous studies undertaken in this research area, no studies on viability related to sperm aneuploidy have been reported.

This study aimed to determine aneuploidy frequency and its relationship to viability in spermatozoa cultured up to 3 days. Little knowledge about these phenomena is available. Determining whether a relationship exists between sperm viability and nondisjunction requires simultaneous study of both viability and chromosome composition in individual spermatozoa. Spermatozoa were cultured for 3 days, and viability status and chromosomal constitution were evaluated simultaneously in each spermatozoon.

## Materials and Methods

### Semen preparation and sperm culture

All participants were fully informed about the study and did provide written informed consent. Ethical approval was obtained from the Institutional Review

Board for Human Research at Chung-Ang University Hospital in Seoul, Korea. Semen samples were obtained via masturbation from 12 normozoospermic donors after 3 days of sexual abstinence. The characteristics (mean  $\pm$  SEM) of donor semen samples were as follows: volume  $2.35 \pm 0.23$  ml, concentration  $94.54 \pm 11.62 \times 10^6$ /ml, motility  $72.57 \pm 5.08\%$ , and normal morphology  $16.23 \pm 1.26\%$ . The presence of non-viable cells and white blood cells was lower than  $1 \times 10^6$ /ml. The samples were divided into 3 groups randomly, each containing the semen of 4 individual. Sperm count and motility were evaluated according to the World Health Organization semen analysis criteria using a computer-assisted semen analyzer (CTS-60/200; Motion Analysis, Santa Rosa, CA). To obtain an appropriate quantity of semen and eliminate bias in sampling, semen samples from each group were pooled for each replicate. Each pooled sample was diluted with Hepes-buffered modified Tyrode's albumin-lactate-pyruvate (mTALP) solution containing 0.3% bovine serum albumin (w/v) and centrifuged at  $400 \times g$  for 5 min. The supernatant was carefully discarded, and the pellet was washed twice with 5 ml of mTALP solution. The final sperm concentration was adjusted to  $1 \times 10^6$ /ml and cultured to maintain motility and viability at 37C in air for 3 days [22].

### Hypo-osmotic swelling (HOS) test

HOS test and pretreatment of spermatozoa were performed using initial mixed samples after liquefaction (day 0) and on day 3. Sperm suspensions (5 mL) was centrifuged ( $400 \times g$ , 5 min), and the pellets were resuspended in 5 ml of 37C hypo-osmotic solutions (distilled water: 0.9% NaCl [1:1], 150 mOsm/kg), mixed well, and incubated at 37C in a water bath for 30 min [23]. After the incubation, the spermatozoa and hypo-osmotic mixture was centrifuged, and the pellets were resuspended in hypo-osmotic solution. A drop of the suspension was smeared onto a clean slide, allowed to air dry, and fixed with fresh fixative (3:1 mixture of methanol: glacial acetic acid).

### Fluorescence in situ hybridization (FISH)

After decondensation of spermatozoa using 2 mM dithiothreitol/PBS, multicolor FISH using directly labeled DNA probes specific to chromosomes 18, X, and Y (Kreatech, Amsterdam, Netherlands) was performed on the slides previously prepared with spermatozoa for HOS test [24]. To investigate both the autosomes and the sex chromosomes, we performed simultaneous three-color FISH using probe sets for chromosomes X, Y, and 18.

Hybridization mixes were added to prewarmed slides (42C), covered with  $22 \times 22$  mm coverslips, and sealed with rubber cement. The slides were denatured at 80C for 5 min. All slides were hybridized in a moist chamber for 16 h at 42C. The post-hybridization coverslips were separated from the slides in 50% formamide/ $2 \times$  SSC, and washes were performed at 37C with 50% formamide/ $2 \times$  SSC, pH 7.0, three times followed by 5 minutes with 0.1% NT40/ $2 \times$  SSC,

pH 7.0. The slides were rinsed in phosphate-buffered saline for 5 min at room temperature. Coverslips were added over 10  $\mu$ l antifade solution. The slides were read with a Nikon Microphot-FXA under epifluorescence illumination using ultraviolet BP 340–380/LP 425 and BP 450–490/LP 515 excitation/suppression filters.

### Scoring criteria

We characterized the sperm using the scoring criteria described in our previous study [24]. Briefly, a spermatozoon was scored as disomic for a particular chromosome if it showed two signals for that chromosome and one each for the simultaneously probed chromosomes. If the chromosome was counted as disomic, the distance between the two signals had to equal or be greater than the diameter of one fluorescent domain to distinguish a true aneuploid signal from DNA breakage. In the study, we scored only non-problematic nullisomy. For example, a spermatozoon was scored as nullisomy 18 if it show no signal for chromosome 18 and one each of the simultaneously probed sex chromosome. Therefore we believe problematic nullisomy has been excluded in the study. The various swelling patterns and chromosome abnormalities in each spermatozoon were observed simultaneously under a fluorescence microscope (Fig. 1).

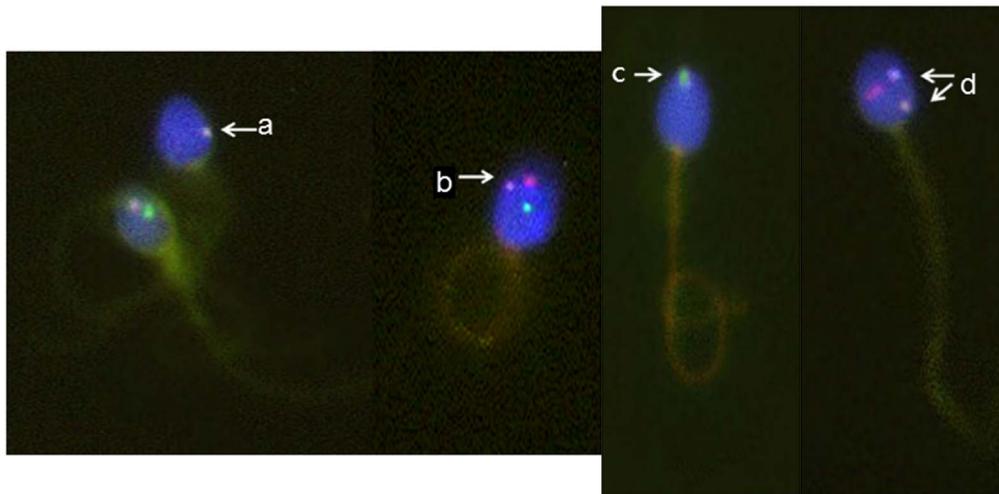
### Statistical analysis

The time-dependent viability of euploid and aneuploid spermatozoa for 3 days was compared using one-way analysis of variance implemented in SPSS (v. 12.0; Chicago, IL, USA). We also analyzed the frequency and type of aneuploidy in relation to sperm tail swelling pattern at each time point. Significance ( $p < 0.05$ ) was analyzed with Tukey's test to determine whether specific groups were significantly different from each another. The data are expressed as the mean frequency of spermatozoa  $\pm$  standard error of the mean (SEM).

## Results

In total, 24,176 spermatozoa were scored for aneuploidy and tail swelling pattern. The hybridization efficiency was 98–99% for the study. The total number of spermatozoa and the number of live spermatozoa were counted, and the frequency of aneuploidy at each time point was determined (Table 1). We pooled the frequency of aneuploidy for groups of related tail swelling patterns to examine the general relationship between viability and aneuploidy in human spermatozoa.

The frequency of total aneuploidy was the same on days 0 and 3. By day 3 of the culture, the frequency of live spermatozoa time-dependently decreased (blue line,  $p < 0.05$ ; see Fig. 2A) while the frequency of live aneuploid spermatozoa had significantly increased (red line,  $p < 0.05$ ; see Fig. 2A) compared with values at day 0. The frequency of aneuploidy in live spermatozoa increased significantly over the 3-day period (see Fig. 2A).



**Fig. 1. Aneuploid spermatozoa with different tail swelling patterns.** Micrographs of live aneuploid spermatozoa showing the swelling patterns. The green fluorescence signal is the Y chromosome, red is X, and orange is chromosome 18: a, live spermatozoon with chromosomes 18; b, live spermatozoon with 18XY; c, live spermatozoon with Y; d, dead spermatozoon 1818X.

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The frequency of aneuploidy was related to tail swelling pattern over the 3-day period. Interestingly, spermatozoa with the tail-tip pattern had a frequency of aneuploidy lower than that in spermatozoa with other swelling patterns at all indicated time points ( $p < 0.05$ ; see Fig. 2B).

The frequency of the five types of aneuploidy (sex disomy; sex chromosome nullisomy, disomy, and nullisomy for chromosome 18; and diploidy) differed at each time point in the total and live spermatozoa ( $p < 0.05$ ). Spermatozoa with sex chromosome aneuploidy were more prevalent than sperm with chromosome 18 aneuploidy and diploidy. In addition, live diploidy was more frequent on day 3 than on day 0 ( $p < 0.05$ ). However, no difference between the distributions of aneuploids in the total sample and live subset was observed at any time point. While the frequency of each types of aneuploidy more significantly increased at Day 3 than Day 0 in live spermatozoa, the frequency of any aneuploidy do not changed between Day 0 and 3 in total spermatozoa (see Fig. 3).

**Table 1.** Frequency of aneuploidy in total and live spermatozoa from normozoospermic men.

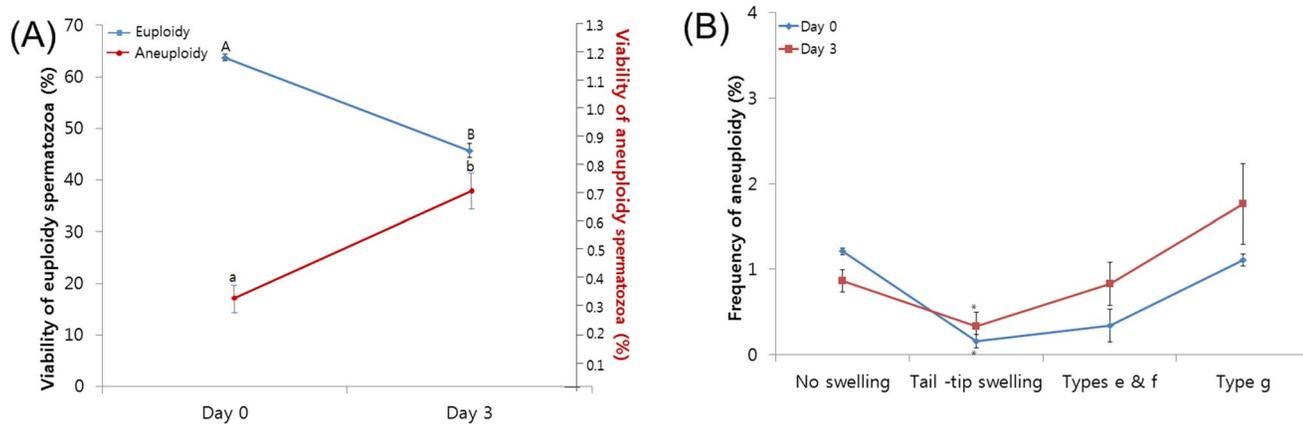
	Day 0	Day 3
No. of sperm	5172	9435
No. of live sperm	3336	3344
Sperm viability (%)	64.50 ± 0.94 <sup>a</sup>	35.44 ± 2.09 <sup>b</sup>
Total aneuploidy (%)	0.66 ± 0.16	0.81 ± 0.28
Aneuploidy in live sperm (%)	0.33 ± 0.04 <sup>a</sup>	0.71 ± 0.18 <sup>b</sup>

Statistical significance was analyzed using t-test.

The data were expressed as the mean frequency of spermatozoa ± SEM.

<sup>a-b</sup> indicate a significant difference between Day 0, and Day 3 group ( $p < 0.05$ ).

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**Fig. 2. Changes in viability status associated with chromosomal constitution over a 3-day observation period.** (A) Frequencies of live spermatozoa (blue line) and live aneuploidy (red line). Statistical significance was analyzed using analysis of variance. The data were expressed as the mean frequency of spermatozoa  $\pm$  SEM. <sup>A-B</sup>Viability of euploid spermatozoa and aneuploidy spermatozoa (<sup>a-b</sup>) denote significant differences in live frequencies at the indicated time point ( $p < 0.05$ ). (B) Frequency of aneuploidy related to tail swelling pattern. The data are expressed as the mean frequency of spermatozoa  $\pm$  SEM. \*Spermatozoa with the tail-tip swelling pattern displayed a significantly lower frequency of aneuploidy compared with that in spermatozoa with other patterns at the indicated time point ( $p < 0.05$ ).

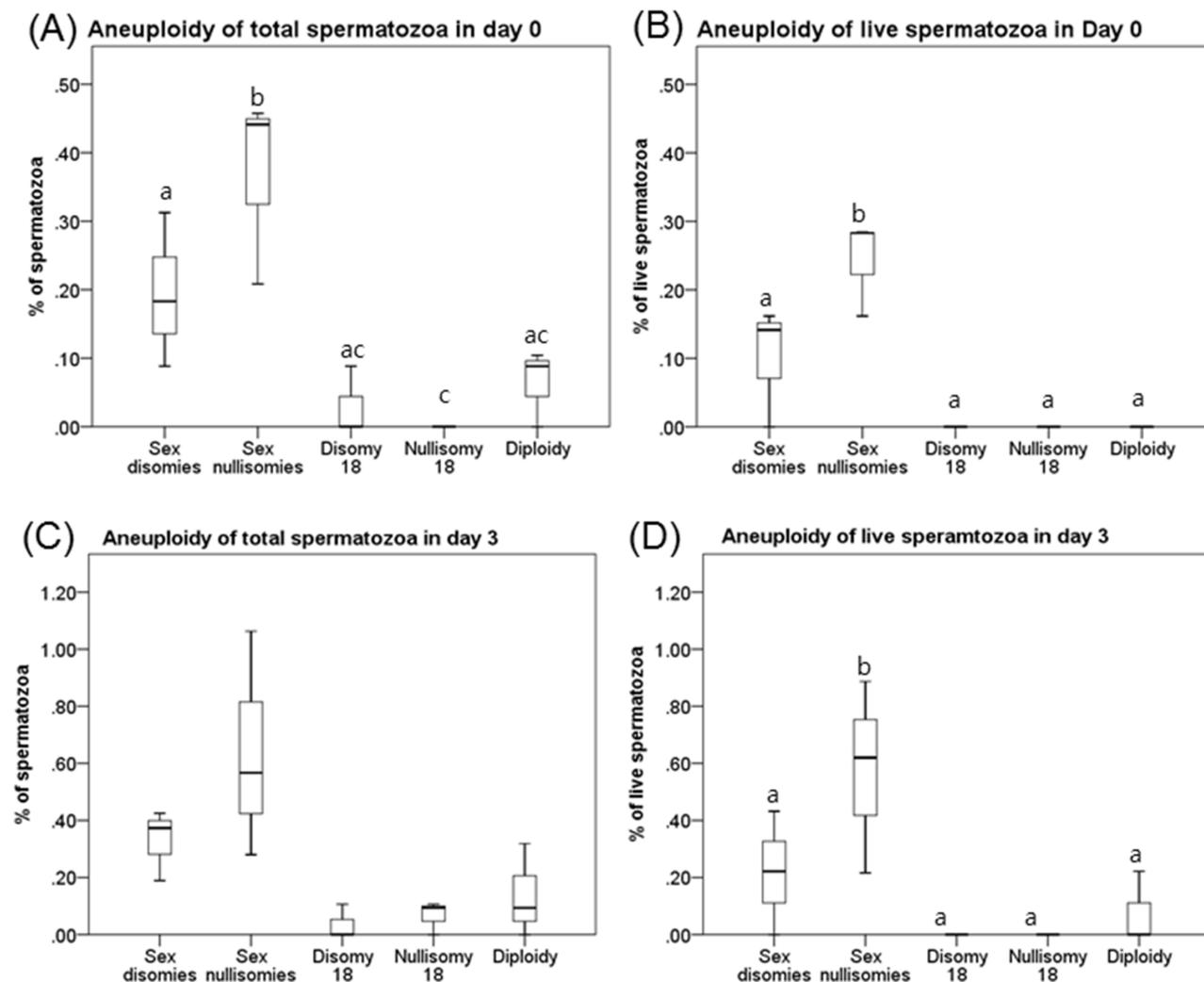
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## Discussion

In the present study, we evaluated sperm viability in relation to chromosomal constitution up to 3 days after ejaculation. We simultaneously conducted the HOS test to evaluate functional viability [24] and FISH to determine chromosomal constitution in individual spermatozoa from normozoospermic men [23]. Our findings provide the first vital evidence that the frequency of aneuploidy in live spermatozoa increases significantly over a 3-day period, meaning that aneuploidy is more frequent in long-lived spermatozoa than in fresh, live spermatozoa after ejaculation. Therefore, our results suggest that long-lived aneuploid spermatozoa should have considerable opportunity to fertilize oocytes.

The HOS test evaluates the structural integrity of the membranes of live spermatozoa and allows selection of potentially fertile spermatozoa [25]. The hypo-osmotic swelling test is actually used to discriminate viable from non-viable spermatozoa for intracytoplasmic sperm injection (ICSI) in cases of immotile spermatozoa [26–28]. Therefore, we could detect functionally active spermatozoa in both the aneuploidy and the euploidy sperm groups.

All men, even those who are healthy and fertile, have some aneuploid spermatozoa [13]. Human sperm aneuploidy has determined the chromosomal abnormality in normal semen parameter using the karyotype analysis of human sperm/hamster and human sperm/mouse-oocyte fusion assay [29, 30] or analysis of interphase sperm nuclei using FISH assay [13, 14, 20]. Recently, Templado et al. [31] reviewed the frequency of aneuploidy in spermatozoa from FISH series of healthy donors with a total of 388 donors aged 18–80 years. While the mean disomy frequency was approximately 0.1% for individual autosomes, particularly



**Fig. 3. Box plots for the frequency distributions of aneuploidy types in total and live spermatozoa.** Aneuploidy frequency of total (A) and live (C) spermatozoa at day 0. Aneuploidy frequency of total (B) and live (D) spermatozoa at day 3. <sup>a-c</sup>Total and live spermatozoa representing significant differences in frequency between aneuploid types at the indicated time point ( $p < 0.05$ ).

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disomy frequencies for chromosome 21 (0.17%) and the sex chromosomes (0.27%) were increased with more than 2 fold compared with the other autosomes [6]. This increased frequency of sex chromosome aneuploidy was consisted with our results on initial and 3 days in nomozoospermic samples.

In general, sperm aneuploidy is associated with male factor infertility and poor semen quality [32–34]. The increased frequency of aneuploidy is negatively correlated with sperm concentration, motility, and morphology in ejaculates. Furthermore, the increased incidence of aneuploidy includes approximately 2% of all men with fertility problems [35], 5% of oligozoospermic men, and 14% of azoospermic men [36]. Pang *et al.* [15] have indicated that if the aneuploid frequency of each chromosome shows this slight increase, it is possible to

speculate that the total rate of aneuploidy—considering 22 autosomes and two gonosomes—is approximately 33–74% in cases of spermatozoa from oligoasthenoteratozoospermic patients. Furthermore, various acquired factors such as advanced age [18], environmental pollution [19], cigarette smoking, alcohol consumption [20], and cancer chemotherapy [21] are closely related to the increased frequency of aneuploidy in spermatozoa.

Taken together, these findings suggest that an increase in aneuploid spermatozoa could pose an increased risk of genetic abnormalities to embryos and offspring. An interesting study of couples with increased aneuploid spermatozoa detected a significant decrease in the number of normal embryos and a significant increase in mosaic embryos [37–39]. Fortunately, earlier and stronger selection against most autosomal aneuploid embryos occur in utero (probability of survival to birth of 0–22%). However, most embryos exhibiting sex chromosome aneuploidies cross the pregnancy-birth barrier and have a probability of survival to birth of 55–100% [40]. Collectively, these findings showed that aneuploid spermatozoa deliver their abnormal genetic information from paternal origin for fertilization. Moreover, even without information about how many early embryos develop from aneuploid spermatozoa and expire *in vivo*, it is clear that aneuploidy can cause overall reproductive failure through increased incidence of spontaneous abortion and abnormal offspring [16]. In addition, this low rate of successful pregnancy and high rate of implantation failure may be closely associated with aneuploidy in male gametes.

In present study, we analyzed the frequency of aneuploid spermatozoa in relation to their tail swelling patterns to determine the relationship between viability and aneuploidy levels in human spermatozoa. The aneuploidy frequencies in spermatozoa with tail-tip patterns decreased more than those in spermatozoa with other swelling types, including dead spermatozoa with pattern a, at each time point. This result is consistent with our previous finding [23] that the frequency of aneuploidy is decreased in spermatozoa with a tail-tip swelling pattern. This characteristic could be an effective tool for identifying and eliminating aneuploid spermatozoa in *in vitro* fertilization programs that incorporate intracytoplasmic sperm injections in men with fertility problems—i.e., immobile spermatozoa, low sperm count.

To the best of our knowledge, this study provided the first definitive evidence of a relationship between viability and aneuploidy in human spermatozoa. Our study revealed that the frequency of aneuploidy in live spermatozoa was significantly increased over a 3-day period. Notably, spermatozoa with abnormal sex chromosomes can be longer-lived than spermatozoa with all other types of aneuploidy. Because long-lived aneuploid spermatozoa could have a greater chance of fertilizing oocytes, they may suppose an increased risk of transmitting sex chromosome abnormalities of paternal origin to offspring.

## Author Contributions

Conceived and designed the experiments: YAY MGP. Performed the experiments: YAY YJP WSK SJY. Analyzed the data: YAY BYR YJK. Contributed reagents/materials/analysis tools: YAY YJP WSK SJY. Wrote the paper: YAY BYR YJK MGP.

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