

## RESEARCH ARTICLE

# Pharmacogenetic—Whole blood and intracellular pharmacokinetic—Pharmacodynamic (PG-PK<sup>2</sup>-PD) relationship of tacrolimus in liver transplant recipients

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**Abbreviations:** ABCB1, ATP-binding cassette subfamily B member 1; ACR, acute cellular

## Abstract

Tacrolimus (TAC) is the cornerstone of immunosuppressive therapy in liver transplantation. This study aimed at elucidating the interplay between pharmacogenetic determinants of TAC whole blood and intracellular exposures as well as the pharmacokinetic-pharmacodynamic relationship of TAC in both compartments. Complete pharmacokinetic profiles (Predose, and 20 min, 40 min, 1h, 2h, 3h, 4h, 6h, 8h, 12h post drug intake) of twice daily TAC in whole blood and peripheral blood mononuclear cells (PBMC) were collected in 32 liver transplanted patients in the first ten days post transplantation. A non-parametric population pharmacokinetic model was applied to explore TAC pharmacokinetics in blood and PBMC. Concurrently, calcineurin activity was measured in PBMC. Influence of donor and recipient genetic polymorphisms of *ABCB1*, *CYP3A4* and *CYP3A5* on TAC exposure was assessed. Recipient *ABCB1* polymorphisms 1199G>A could influence TAC whole blood and intracellular exposure ( $p<0.05$ ). No association was found between *CYP3A4* or *CYP3A5* genotypes and TAC whole blood or intracellular concentrations. Finally, intra-PBMC calcineurin activity appeared incompletely inhibited by TAC and less than 50% of patients were expected to achieve intracellular IC<sub>50</sub> concentration (100 pg/millions of cells) at therapeutic whole blood concentration (i.e.: 4–10 ng/mL). Together, these data suggest that personalized medicine regarding TAC therapy might be optimized by ABCB1 pharmacogenetic biomarkers and by monitoring intracellular concentration whereas the relationship between intracellular TAC exposure and pharmacodynamics biomarkers more specific than calcineurin activity should be further investigated.

rejection; AUA, area under the calcineurin -time curve from 0 to 12h;  $AUC_{0-12h}$ , area under the concentration-time curve from 0h to 12h;  $C_0$ , trough or predose concentration; CaN, calcineurin; CaN<sub>min</sub>, median minimal CaN activity; CaN<sub>I<sub>max</sub></sub>, calcineurin maximum inhibition;  $C_{max}$ , maximum concentration; CYP3A4/5, cytochrome P450 isoform 3A4/5; DNA, deoxyribonucleic acid; EDTA, ethylene diamine tetracetate; HPLC, high performance liquid chromatography; IC50, concentration inhibiting 50% of the maximal activity of calcineurin; IC37, concentration inhibiting 37% of the maximal activity of calcineurin; IC<sub>target</sub>, target intracellular concentration of TAC inhibiting CaN activity; IL2, interleukin-2; PBMC, peripheral blood mononuclear cells; PD, pharmacodynamic; PG, pharmacogenetics; P-gp, P-glycoprotein; PK, pharmacokinetic; SD, standard deviation; SEM, standard deviation of the mean; SNP, single nucleotide polymorphism; TAC, tacrolimus; TDM, therapeutic drug monitoring;  $T_{max}$ , time when  $C_{max}$  is achieved;  $T_{min}$ , Time corresponding to CaN<sub>min</sub> (0-12h); VPC, visual predictive check.

## 1. Introduction

Tacrolimus (TAC) is an immunosuppressive drug widely prescribed in solid organ transplant patients. Its effect is mediated through the inhibition of intracellular calcineurin (CaN), a serine-threonine phosphatase enzyme, which results in the inhibition of interleukin-2 (IL-2) synthesis by T-lymphocytes [1].

TAC pharmacological response exhibits substantial inter-individual variability. This variability can be partially managed by performing therapeutic drug monitoring (TDM) of TAC whole blood concentrations [2]. Indeed, TDM of TAC is mandatory since it was evidenced that lower whole blood concentrations increase risk of acute rejection (ACR) and that some toxicity are induced by high whole blood concentrations [3].

Despite this personalized approach, some patients experience ACR or toxicity while having blood concentration within the therapeutic range [2]. These observations emphasize the need to look for alternative biomarkers of TAC response.

Measuring TAC directly into its site of effect (i.e. the lymphocyte or, for more practical reason, peripheral blood mononuclear cells (PBMC) a fraction that is enriched in lymphocytes) appears to be a promising strategy to refine TAC TDM. The proof of concept of the clinical interest of TAC measurement in PBMC was established in liver transplant recipients by Capron *et al.* [4]. The authors reported a good correlation between intrahepatic or intra-PBMC concentrations of TAC, and an histological rejection score determined at day-7 post-transplantation whereas no association was found with whole blood TAC concentrations. Besides, the relationship between TAC whole blood concentrations and TAC concentrations in PBMC have been studied in various organ transplant patients [5–11]. These works were concordant to report a poor correlation between trough whole blood and trough intracellular concentrations, meaning that monitoring TAC in its target cell could be a better surrogate marker of its pharmacological effect.

The study of TAC pharmacokinetics in PBMC may be of interest, but it is worthwhile to investigate factors determining drug intracellular disposition. TAC is known to be a substrate of membrane transporters, in particular the efflux transporter ABCB1 (P-glycoprotein or P-gp) expressed in lymphocytes [3,12]. Thus, genetic factors that alter P-gp activity could influence TAC disposition into its target cell. Many single nucleotide polymorphisms (SNP) of *ABCB1* gene (coding for P-gp) have been described. The most extensively studied regarding TAC pharmacokinetics are 1236C>T (rs1128503), 2677G>T/A (rs2032582), and 3435C>T (rs1045642) which are in strong linkage disequilibrium. The influence of these SNPs on TAC whole blood concentrations has been widely investigated and led to conflicting results [13–17]. Nevertheless, some data suggest that *ABCB1* genotype could impact TAC intracellular pharmacokinetics rather than whole blood pharmacokinetics [4,18–20]. In addition, the SNP 1199G>A (rs2229109) was associated with TAC intra-PBMC or intra-hepatic concentrations [18,20,21], and the variant 1199A was associated with an increased risk of kidney allograft loss [22]. Data regarding this SNP and TAC pharmacokinetics are sparse and should be further explored. Besides, CYP3A5 is the major metabolism enzymes of TAC. To date, CYP3A5 polymorphism is the only genetic marker used in clinical practice because of a clear relationship between CYP3A5 expression and TAC dose requirement to reach whole blood therapeutic range [23]. In addition, CYP3A4 genotype could influence TAC pharmacokinetics in particular in CYP3A5 non-expresser [23]. Nevertheless, it remains to be elucidated whether the most relevant SNP in CYP3A4 and CYP3A5 could impact TAC intracellular concentration in comparison to whole blood.

Finally, drug disposition in PBMC could determine the level of inhibition of CaN, the target enzyme. CaN activity in PBMC is intuitively an interesting pharmacodynamic biomarker of the immunosuppressive effect since an increase of CaN activity was suggested to occur before

acute cellular rejection [24–26]. A few studies, conducted in liver transplantation, described the pharmacokinetic-pharmacodynamic relationship of TAC by studying the link between whole blood concentrations and CaN activity in PBMC [27–29]. However, the complete relationship between TAC whole blood concentration, TAC intra-PBMC concentration and TAC-induced CaN inhibition, have only been reported in a preliminary work by our team [30], and remains to be widely explored and emphasized with the drug pharmacogenetics.

The aims of the present study was then i) to develop a population pharmacokinetic model using a non-parametric modeling approach to describe whole blood and intracellular pharmacokinetics of tacrolimus, ii) to explore the pharmacogenetic-whole blood and intracellular pharmacokinetic-pharmacodynamic (PG-PK-PK-PD or PG-PK<sup>2</sup>-PD) relationships of TAC in liver transplant recipients in the early period post transplantation.

## 2. Material and methods

### 2.1. Study design

**2.1.1. Patients.** *De novo* Caucasian liver transplant recipients transplanted between November 2015 and September 2017 in Rennes University Hospital were included in the study which is an ancillary study of the CYPTAC'H protocol ("Pharmacogenetic study of tacrolimus in hepatic transplants » Clinical trial number: NCT01388387). The study protocol was approved by the local ethical committee (Rennes University Hospital). Adult patients, treated with TAC and who gave their written consent to participate in the study, were suitable for inclusion. Nevertheless, patient could not be included if its donor of graft was entered in the national register of refusals (all donors were deceased donors). Patients receiving induction treatment (i.e. anti-lymphocyte or anti-interleukine-2) were excluded because of PBMC (lymphocytes) depletion for several days after transplantation.

**2.1.2. Immunosuppressive regimen.** TAC treatment was started on post-operative day 0 or 1 (either at 8:00 AM or 8:00 PM, depending on the time the surgical procedure was completed). Patients received initially a dose of 0.04 to 0.05 mg/kg per 12 h or a dose of 0.02 to 0.03 mg/kg per 12 h in case of concomitant administration of fluconazole prophylaxis. TAC whole blood concentrations were monitored daily, then three times a week to maintain trough TAC whole-blood concentrations between 4 and 10 ng/mL. From day-1 post-transplantation, patients concomitantly received oral mycophenolate mofetil 1.5 g twice daily and 20 mg of prednisone once daily. They also received a 500 mg methylprednisolone infusion as an induction and one other 500 mg infusion at portal vein clamp removal. Other co-medications were recorded in the case report form to be sure that patients were not concomitantly treated by CYP450 or ABCB1 inducer or inhibitor at the time of the study.

**2.1.3. Data collection.** For each patient, 5 milliliters of peripheral venous blood were collected in EDTA tubes at 0, 20, 40, 60, 120, 180, 240, 360, 540 and 720 min after the morning oral dose of twice daily TAC, between the seventh and the tenth day of treatment. No dosage modification occurred within 3 days before sampling to measure concentrations as close as possible to steady state. After whole blood concentrations measurement, the remaining blood was used to obtain complete pharmacokinetic profiles of TAC in PBMC and to measure CaN activity in PBMC at each sampling time. Additional biological parameters were prospectively collected on the day of blood sampling such as albumin, blood count and hematocrit.

### 2.2. Isolation of PBMC

Beforehand measuring TAC concentration and CaN activity in PBMC, cells were isolated from whole blood at each sampling time by density gradient centrifugation according to the procedure previously described [31].

### 2.3. Pharmacokinetic modeling

Whole blood and intracellular pharmacokinetics of TAC were investigated using a non-parametric modeling approach (Pmetrics, version v. 1.5.2) [32]. The model was derived from the structural model previously developed by Robertsen *et al.* to describe everolimus concentrations in whole blood and PBMC [33]. Influence of covariates on individual pharmacokinetic parameters were investigated by multiple linear regression and non-parametric Kruskal-Wallis or Mann-Whitney comparison after graphical inspection. Thus, age, sex, body weight, albumin, hematocrit and count of PBMC in whole blood, were investigated as biological covariates. Additionally, SNPs in genes of *ABCB1*, *CYP3A4* and *CYP3A5* of donor and recipient were evaluated as genetic covariates of pharmacokinetic parameters. After graphical inspection, if significant association was found ( $p < 0.01$ ), covariates were individually introduced in the model. Decision to keep a covariate in the final model was based on the comparison of the Akaike information criterion (AIC), improvement of the bias and precision of the model. The model performance was assessed by diagnostic plots. An internal validation was performed using the visual predictive check (VPC) based on 1000 Monte-Carlo simulations.

### 2.4. Genotyping analysis

Genomic DNA was extracted from whole blood using a Janus automated workstation varispan (PerkinElmer, Courtaboeuf, France). Donor DNA was obtained from a DNA bank from the French Blood Agency. Genotyping were performed using Taqman® allelic discrimination assays (ThermoFisher Waltham, MA, USA) on ABI 7900HT instrument (Applied Biosystems, Foster City, CA, USA).

Recipients and donors genotypes were determined for *CYP3A4* rs35599367 C>T (*CYP3A4*\*22allele), *CYP3A5* rs776746 A>G (*CYP3A5*\*3 allele). In addition, recipients and donor DNA were analyzed for the four SNPs of *ABCB1*: c.3435C>T (exon 26, rs1045642), *ABCB1* c.1236 C>T (exon 12, rs1128503), *ABCB1* c.2677 G>T/A (exon 21, rs2032582), and c.1199 G>A (exon 11, rs2229109).

### 2.5. Assessment of tacrolimus exposure in whole blood and PBMC and calcineurin activity assay

Whole-blood and intra-PBMC TAC concentrations were measured with a fully validated liquid chromatography tandem mass spectrometry procedure adapted from previously published methods [34,6]. Exposure to TAC was assessed in whole blood and PBMC by the areas under the curve of concentrations ( $AUC_{0-12h}$ ).  $AUC_{0-12h}$  were derived from the population pharmacokinetic model developed. Additionally, trough concentrations ( $C_0$ ), TAC peak concentrations ( $C_{max}$ ) and the times to peak concentration ( $T_{max}$ ) in whole blood and PBMC were extracted from the data set.

The activity of CaN in PBMC was measured by HPLC-Ultraviolet according to Blanchet *et al.* [35]. For the pharmacokinetic-pharmacodynamic analysis, CaN activity was expressed by the area under the activity ( $AUA_{0-12h}$ ) calculated by the trapezoidal method. Maximal inhibition of CaN on the inter-dose was calculated relatively to the basal CaN activity of the patient measured in PBMC on a blood sample collected just before the first TAC intake.

### 2.6. Probability of target attainment

The population pharmacokinetic model was applied to further investigate the pharmacokinetic-pharmacodynamic relationship of TAC by simulations. The probability of attainment of a target intracellular concentration of TAC inhibiting CaN activity ( $IC_{target}$ ), was explored for several ranges of TAC trough concentrations in whole blood. Ranges were selected according

to TDM recommendations in liver transplanted patients. Multimodal Monte Carlo simulations were performed to generate 1,000 concentration time profiles per subject of the dataset. For each profile, trough whole blood concentrations ( $C_{0_{WB}}$ ) were extracted and categorized in one of the  $C_{0_{WB}}$  concentration range groups: 0–4 ng/mL (very low exposure), 4–6 ng/mL (low exposure), 6–10 ng/mL (recommended exposure). For each  $C_{0_{WB}}$ , the corresponding intra-PBMC  $C_{max}$  was estimated with the model. Then, the probability of achieving an intra-PBMC  $C_{max}$  above the  $IC_{target}$  was assessed for each  $C_{0_{WB}}$  range.

## 2.7. Statistical analysis

Statistical analysis was performed using R software (version 3.2.5). Results are reported as means  $\pm$  standard error of the mean (SEM) or median and range. Shapiro-test was used to check variable distribution normality. Correlations between whole blood and intracellular pharmacokinetic parameters were performed using Pearson or Spearman test as appropriate. Coefficient of determination ( $r^2$ ) were reported and calculated from the corresponding coefficient of correlation when relationships were assessed by linear regression. The « SNPassoc package » was used to assess the Hardy-Weinberg equilibrium and “haplo.stat” to infer the most probable haplotype of *ABCB1* (3435/1236/2677) (Package available on <https://cran.r-project.org>). Associations between TAC exposure parameters and genotypes were investigated using the non-parametric Mann-Whitney test or the Kruskal-Wallis test as appropriate. When a post-hoc test was required, the Bonferroni correction was applied.

Relationships between TAC concentrations in blood or PBMC and CaN activity were explored using linear regression. When needed, data were log-transformed and Shapiro-Wilk-test was applied to check normality of the residual of the linear model. Additionally, influence of TAC concentrations on CaN activity was analyzed with an inhibition model computed in Graphpad Prim (Version 8). A p-value < 0.05 was considered significant.

## 3. Results

### 3.1. Patients' characteristics

A total of 32 liver transplant recipients were included in the study. Patients' demographic and clinical characteristics are summarized in [Table 1](#).

### 3.2. Model development and validation

The model developed was a two compartments model, with two absorption phases described by a double gamma distribution in the first compartment. Other absorption models including

**Table 1. Patients characteristics (n = 32).**

Demographic characteristics	Median [range] or n (%)
Sex (M)	30 (94)
Age (years)	62 [51–70]
Body weight (kg)	97 [50–121]
Delay since transplantation (days)	9 [7–11]
<b>Biological characteristics (the day of the study)</b>	
Albumin (g/L)	23.8 [23.0–39.6]
Hematocrite (%)	30.5 [22.8–39.1]
PBMC count in whole blood (G/L)	2.3 [1.3–3.6]

M: masculine, PBMC: peripheral blood mononuclear cells

Delay since transplantation means time between transplantation and the day of the study.

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lag time and two mean absorption times in the structural model were also assessed but lead to worse concentration predictions. Several polynomial error models were tested. The standard deviation (SD) of the TAC concentrations (C) representing the assay error was calculated by the formula  $SD = 1 + 0.1 \times C$  for whole blood concentrations corresponding to an additive (in  $\mu\text{g/L}$ ) and proportional (%) part of the assay error, respectively, and  $SD = 5 + 0.12 \times C$  for PBMC concentrations. In addition, both concentrations were weighted by an additional noise term  $\lambda = 1$ , according to the formula:  $1/(SD + \lambda)^2$ . The population pharmacokinetic parameters are presented in [S1 Table](#).

Influences of covariates on model parameters were assessed. Significant associations were found between the count of PBMC in whole blood and the inter-compartmental rate constant  $k_{21}$  ( $p = 0.00354$ ) and, between genotype 1199GA for rs2229109 (*ABCB1* exon 11) and  $C_{01}$  (model estimated whole blood trough concentration) ( $p = 0.00082$ ) ([S2 Table](#)). Introduction of these covariates in the model did not improve the AIC neither the model precision. Plots of the model performances, for TAC in whole blood and in PBMC, are presented in [Fig 1](#) as individual predicted versus observed plots, population predicted versus observed plots, weighted residuals versus predicted concentrations plots. The best and the worst fit of individual pharmacokinetic profiles with all-time points are reported in [S1A and S1B Fig](#). Goodness of fit plots did not show any major bias in whole blood whereas a slight under-estimation was observed in PBMC. The weighted residuals were homogeneously distributed over the concentration ranges. For whole blood and PBMC, the VPC demonstrated that the majority of the normalized observed data fell within the 90% prediction intervals of the simulations and that the median tracks the middle of the observed data ([S1C and S1D Fig](#)).

### 3.3. Tacrolimus whole blood and intracellular pharmacokinetics

Time course profiles of TAC concentrations in whole blood and PBMC over 12h are presented in [S2 Fig](#). Pharmacokinetic parameters of TAC in whole blood and PBMC are reported in [Table 2](#).

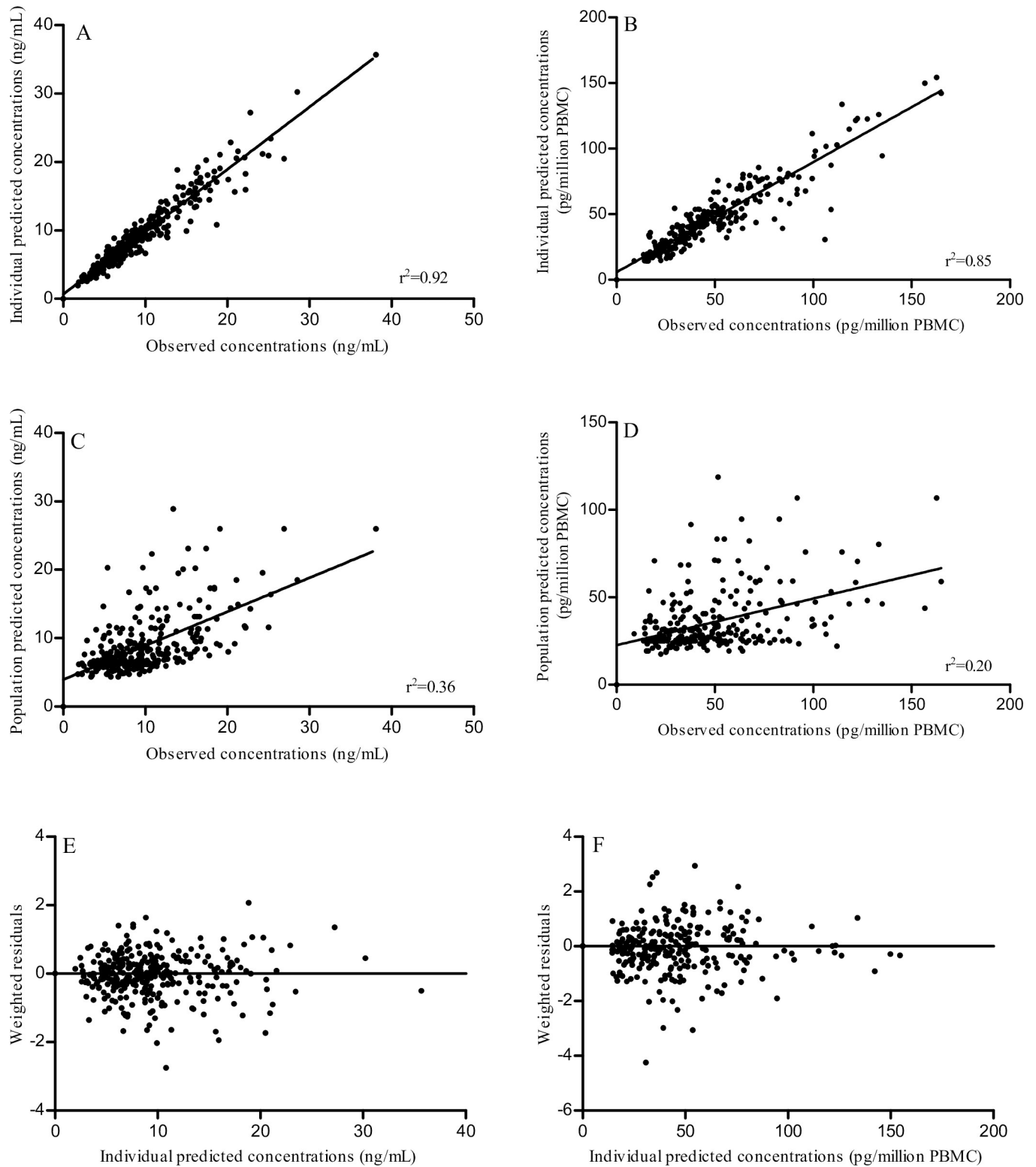
Relationships between TAC  $AUC_{0-12h}$  and  $C_0$  or  $C_{max}$  were explored within each compartment. Significant but poor correlations were found between  $C_0$  and AUC in whole blood ( $r^2 = 0.42$ ,  $p < 0.001$ ) and in PBMC ( $r^2 = 0.61$ ,  $p < 0.001$ ). A weak correlation was found between  $C_{max}$  and AUC in whole blood ( $r^2 = 0.52$ ,  $p < 0.001$ ) and in PBMC as well ( $r^2 = 0.55$ ,  $p < 0.001$ ).

Median intracellular distribution ratio of TAC ( $AUC_{PBMC}/AUC_{WB}$ ) was 23.6. TAC exposure in PBMC was correlated to TAC exposure in whole blood. However, the strength of the linear association was weak, whatever the exposure parameters studied ( $r^2 < 0.53$ ) ([Table 2](#)). [Fig 2](#) displays the correlation between  $AUC_{0-12h}$  in whole blood and in  $AUC_{0-12h}$  in PBMC compartments.

No significant association was found between whole blood or PBMC pharmacokinetics parameters ( $AUC_{0-12h}$  and  $C_0$  or  $C_{max}$ ,  $AUC_{PBMC}/AUC_{WB}$ ), and patients' demographic characteristics (age, sex weight and time since transplantation). In addition, intra-PBMC exposure and intracellular distribution ratio were not correlated to serum albumin nor PBMC count in blood ( $p > 0.05$ ). Hematocrit appeared to have a slight influence on intra-PBMC exposure ( $AUC_{0-12h}$ ) and the distribution ratio ( $r = -0.31$ ,  $p = 0.047$  and  $r = -0.34$ ,  $p = 0.036$  respectively). Nevertheless, hematocrit value was not influenced by the time post transplantation ( $p = 0.13$ , Anova- test).

### 3.4. TAC pharmacokinetic-pharmacogenetic relationship

Although integration of genetic covariates did not improve the pharmacokinetic model, the influences of SNPs on TAC exposure parameters in whole blood and PBMC were investigated.



**Fig 1. Model performances-diagnostic plots.** Individual predicted versus observed concentrations of tacrolimus in whole blood (A) and in PBMC (B), population predicted versus observed concentrations of tacrolimus in whole blood (C) and in PBMC (D), weighted residuals versus individual predicted concentrations of tacrolimus in whole blood (E) and in PBMC (F).

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**Table 2. Tacrolimus pharmacokinetics parameters in whole blood and PBMC (n = 32).**

	Median [range]	Mean (SD)
Dose (mg/12 h)	1.5 [0.5–4]	1.8 (1.0)
Dose (mg/kg/12h)	0.017 [0.005–0.048]	0.021 (0.012)
<b>Whole blood pharmacokinetics (WB)</b>		
$C_{max}$ (ng/mL)	17.7 [3.5–36.3]	16.4 (6.9)
$C_{max}/dose$ (ng/mL/mg)	9.5 [3.0–21.4]	10.8 (5.2)
$T_{max}$ (h)	1.6 [0.2–6]	1.9 (1.4)
$C_0$ (ng/mL) <sup>a</sup>	6.2 [2.5–10.0]	6.4 (2.2)
$C_0/dose$ (ng/mL/mg)	3.9[1.1–17.7]	5.1 (4.2)
$AUC_{0-12h}$ (ng·h/mL)	102.3 [35.0–215.5]	108.9 (38.9)
Cl/F (L·h <sup>-1</sup> )	16.2 [5.0–39.2]	17.8 (9.0)
<b>Intracellular pharmacokinetics (PBMC)</b>		
$C_{max}$ (pg/million PBMC)	71.3 [25.7–156.0]	78.1 (37.1)
$C_{max}/dose$ (pg/million PBMC/mg)	44.2[17.1–258.7]	56.1 (46.1)
$T_{max}$ (h)	1.6 [0.3–6]	1.9 (1.2)
$C_0$ (pg/million PBMC)	28.4 [9.6–80.4]	37.2 (17.7)
$C_0/dose$ (pg/million PBMC/mg)	20.0 [3.2–67.2]	24.8 (16.8)
$AUC_{0-12h}$ (pg·h/million PBMC)	491.6 [223.0–1127.2]	
<b>Whole blood—intracellular relationships</b>		
Intracellular diffusion ratio ( $AUC_{0-12h}$ PBMC / $AUC_{0-12h}$ WB)	23.6 [14.8–39.7]	24.9 (6.9)
	<b>r<sup>2</sup> (p)</b>	
Correlation $AUC_{0-12h}$ PBMC & $AUC_{0-12h}$ WB	0.51 (<0.001)	
Correlation $C_0$ PBMC & $C_0$ WB	0.39 (<0.001)	
Correlation $C_{max}$ PBMC & $C_{max}$ WB	0.53 (<0.001)	
Correlation $C_{max}$ PBMC & $C_0$ WB	0.17 (0.02)	
Correlation $AUC_{0-12h}$ PBMC & $C_0$ WB	0.53 (<0.001)	

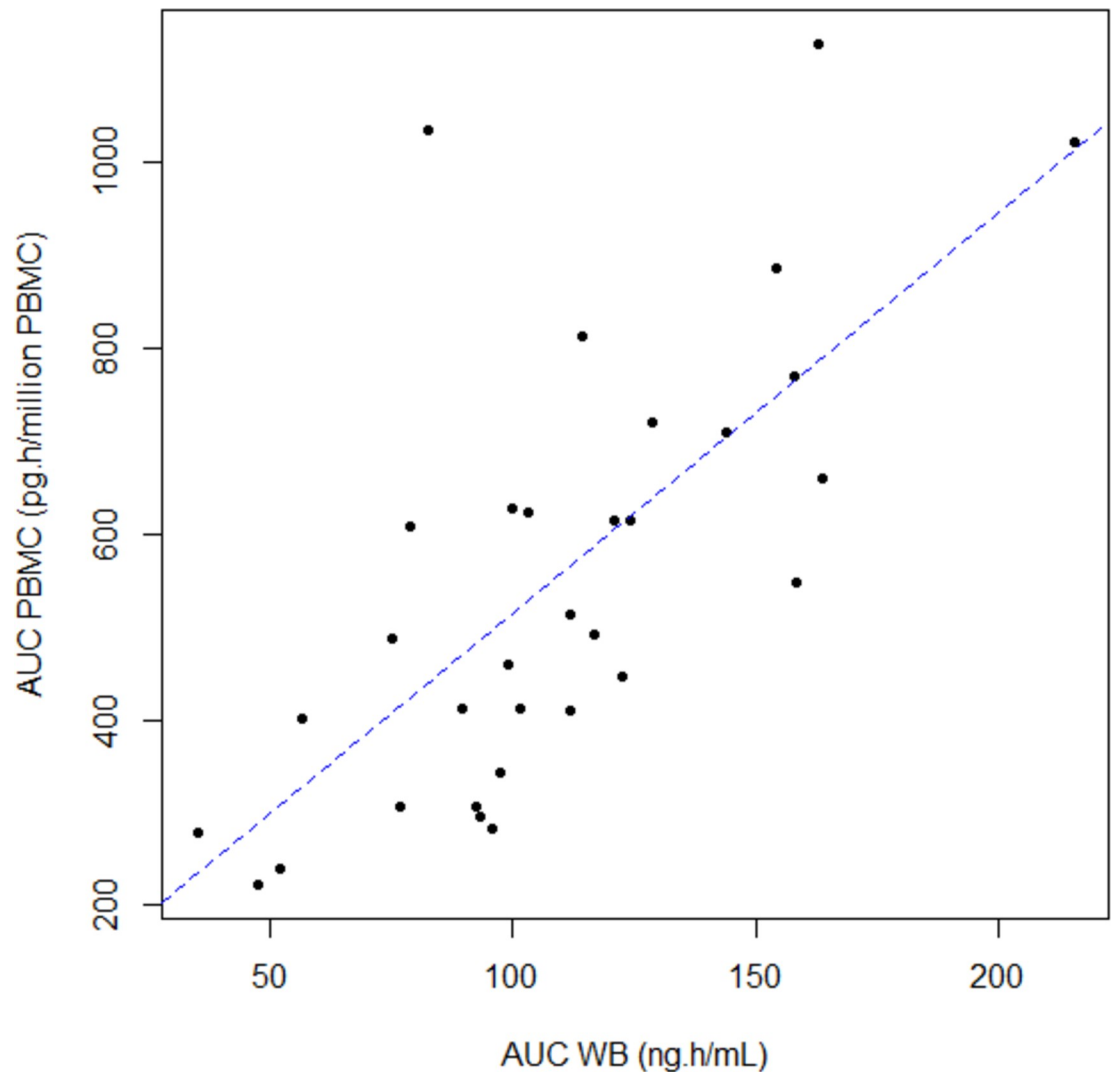
$C_{max}$ : maximum concentration;  $T_{max}$ : time when  $C_{max}$  is achieved;  $C_0$ : predose concentration;  $AUC_{0-12h}$ : area under the concentration–time curve from 0h to 12h; Cl/F: apparent clearance; PBMC: peripheral blood mononuclear cells; WB: whole blood; SD: standard deviation;  $r^2$ : coefficient of determination; p: p-value

a: the day of the study, 81.2% of patients had tacrolimus whole blood concentration between 4–10 ng/mL.

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Genotypes frequencies for *ABCB1*, *CYP3A4* and *CYP3A5* (donor and recipient) genes are shown in Table 3. Hardy–Weinberg equilibrium was verified for each genotype except recipient *ABCB1* 3435C>T (exon 26, rs1045642) and donor *CYP3A5* rs776746 A>G. This deviation was attributed to the small size of our dataset since genotyping analysis of this SNP was double-checked by another external laboratory. Effect of each SNP on TAC pharmacokinetics in whole blood and PBMC are presented in Table 3. No significant association was found between *ABCB1* 3435C>T SNP and TAC pharmacokinetics in whole blood or PBMC. Recipients heterozygous CT for *ABCB1* 1236C>T polymorphism seemed to have lower  $AUC_{0-12h}$  and  $C_{max}$  in whole blood than wild type CC ( $p = 0.045$  and  $p = 0.035$  respectively), however these associations were not significant after Bonferroni correction ( $p = 0.092$  and  $p = 0.107$  respectively). Similarly, a lower whole blood  $C_{max}$  was observed in recipients heterozygous CT for *ABCB1* 2677G>T SNP ( $p = 0.046$ ) but the difference compared to GG genotype was not significant after post-hoc analysis. Intracellular distribution ratio was lower in recipients homozygous for *ABCB1* 2677TT compared to 2677GT ( $p = 0.026$ ). The ratio was also influenced by *ABCB1* haplotype (3435/1236/2677) since homozygous TTT/TTT had lower ratio than subject carrying only one TTT allele ( $p = 0.001$ ). The SNP *ABCB1*1199G>A in recipient





**Fig 2. Relationship between area under the concentration–time curve from 0 to 12 h (AUC) of tacrolimus in whole blood (WB) and in peripheral mononuclear blood cells (PBMC).** The dotted line is the linear regression curve. ( $n = 32$ ) ( $r^2 = 0.51$ ,  $p < 0.001$ ).

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influenced both whole blood and intra-PBMC exposure. Median  $AUC_{0-12h}$ ,  $C_0$  and  $C_{max}$  were significantly higher among subjects carrying the “A” allele ( $p = 0.009$ ,  $p = 0.009$ ,  $p = 0.03$  in whole blood, and  $p = 0.006$ ,  $p = 0.04$ ,  $p = 0.0008$  in PBMC, respectively). This is illustrated in Fig 3 by whole blood and PBMC concentration versus time profiles according to recipient 1199G/A genotype. No influence of donor (graft) *ABCB1* genotype was found on TAC whole blood or PBMC exposures. A non-significant trend to lower  $C_0$  was observed in whole blood and PBMC for subject with a graft expresser of *CYP3A5* (carrier of at least one 1 allele \*1). Neither donor nor recipient *CYP3A4*\*22 polymorphism had any impact on TAC pharmacokinetics in whole blood or PBMC.

### 3.5. Tacrolimus pharmacokinetic-pharmacodynamic relationship

The time course profiles of CaN activity between two TAC intakes is shown in S2 Fig. Pharmacodynamic parameters related to CaN activity in PBMC are reported in Table 4. Median

**Table 3. Influence of single nucleotide polymorphisms on TAC pharmacokinetics in whole blood and in PBMC.**

Genotype	Allelic status	n	(%)	Tac AUC <sub>0-12h</sub>	Tac AUC <sub>0-12h</sub>	AUC <sub>0-12h</sub>	Tac C <sub>0</sub>	Tac C <sub>0</sub> PBMC	Tac C <sub>max</sub>	Tac C <sub>max</sub>
				12h WB (ng.h/mL)	PBMC (pg.h/million of PBMC)	PBMC/ WB AUC <sub>0-12h</sub>	(ng/mL)	(pg/million of cells)	WB (ng/mL)	PBMC (pg/million PBMC)
Recipient ABCB1 3435C>T (rs1045642)	CC	2	(6)	132.2 [101.4–162.9]	769.1 [411.1–1127.2]	27.4 [20.2–34.6]	6.7 [3.7–9.8]	49.9 [19.4–80.4]	19.4 [15.9–22.9]	101.2 [60.1–142.3]
	CT	21	(66)	99.7 [35.0–215.5]	548.8 [223.0–1022.0]	24.3 [14.8–39.7]	6.2 [2.5–10.0]	30 [9.6–76.9]	15.3 [3.5–36.3]	71.3 [25.7–158.0]
	TT	9	(28)	103.1 [75.1–163.8]	486.6 [295.7–1034.2]	19.2 [15.9–32.4]	6.2 [3.7–9.3]	23.2 [19.9–43.6]	18.3 [7.0–24.9]	74.9 [34.2–152.5]
Recipient ABCB1 1236 C>T (rs1128503)	CC	10	(31)	128.9 [47.3–215.5]	642 [223.0–1127.2]	23.6 [14.8–35.6]	8.1 [3.7–9.8]	31.4 [9.6–80.4]	19.3 [5.9–36.3]	77.9 [25.7–156.8]
	CT	15	(47)	89.7 [35.0–154.2]	491.6 [238.9–1034.2]	26.7 [17.6–39.7]	6.5 [2.5–10.0]	29.2 [17.7–60.9]	11 [3.5–22.4]	62.8 [28.9–158.0]
	TT	7	(22)	111.8 [92.4–158.1]	447.4 [295.7–769.5]	18.3 [15.9–24.8]	5.4 [3.7–10.0]	23.9 [19.9–58.2]	18.1 [14.9–24.9]	71.3 [34.2–101.7]
Recipient ABCB1 2677 G>T/A (rs2032582)	GG	11	(34)	114 [47.3–215.5]	623.9 [223.0–1127.2]	23.6 [14.8–35.6]	7.8 [3.7–9.8]	23.2 [9.6–80.4]	18.3 [5.9–36.3]	77 [25.7–156.8]
	GT	15	(47)	89.7 [35.0–158.1]	514.2 [238.9–1034.2]	26.7 [20.0–39.7]	6.5 [2.5–10.0]	32.1 [17.7–60.9]	11.2 [3.5–22.4]	69.4 [28.9–158.0]
	TT	6	(19)	105.4 [92.4–123.9]	428.2 [295.7–613.6]	18.3 [15.9–24.8] *	5.3 [3.7–6.2]	23.1 [19.9–43.9]	19.6 [17.5–24.9]	70 [34.2–101.7]
Recipient ABCB1 Haplotype 3435/1236/2177	Het TTT	17	(53)	99.1 [35.0–158.1]	514.2 [238.9–1034.2]	25.1 [20.0–39.7]	6.2 [2.5–10.0]	32.1 [17.7–60.9]	14.9 [3.5–22.4]	69.4 [29.0–158.0]
	Hom TTT	4	(13)	102.4 [92.4–122.6]	357.4 [295.7–447.4]	17.4 [15.9–18.3] *	4.5 [3.7–6.2]	21.6 [19.9–23.9]	20.4 [17.5–24.9]	64.3 [34.2–82]
	Other	11	(34)	114 [47.3–215.5]	623.9 [223.0–1127.2]	23.6 [14.8–35.6]	7.8 [3.7–9.8]	23.2 [9.6–80.4]	18.3 [5.9–36.3]	77.0 [25.7–156.8]
Recipient ABCB1 1199 G>A (rs2229109)	GG	29	(91)	99.7 [35.0–163.8]	486.6 [223.0–1034.2]	23.1 [14.8–39.7]	6 [2.5–10.0]	23.9 [9.6–76.9]	17.5 [3.5–24.9]	69.4 [25.7–152.5]
	GA	3	(9)	162.9 [128.5–215.5]*	1022 [720.4–1127.2]*	28 [23.7–34.6]	9.8 [9.6–10.0]*	54.6 [39.3–80.4]*	22.9 [19.9–36.3]*	156.8 [142.3–158.0]*
Recipient CYP3A4 (C>T) (*22, rs35599367)	CC	30	(94)	102.3 [35.0–215.5]	531.5 [223.0–1127.2]	23.6 [14.8–39.7]	6.3 [2.5–10.0]	29.6 [9.6–80.4]	17.7 [3.5–36.3]	70.3 [25.7–158.0]
	CT	2	(6)	84.2 [56.7–111.8]	405.7 [402.2–409.1]	26.9 [18.3–35.5]	4.2 [3.7–4.7]	22.7 [22.0–23.3]	20.1 [15.3–24.9]	76.6 [71.3–82.0]

(Continued)

Table 3. (Continued)

Genotype	Allelic status	n	(%)	Tac AUC <sub>0-12h</sub>	Tac AUC <sub>0-12h</sub>	AUC <sub>0-12h</sub>	Tac C <sub>0</sub>	Tac C <sub>0</sub> PBMC	Tac C <sub>max</sub>	Tac C <sub>max</sub>
				12h WB (ng.h/mL)	PBMC (pg.h/million of PBMC)	PBMC/ WB AUC <sub>0-12h</sub>	WB (ng/mL)	PBMC (pg/million of cells)	WB (ng/mL)	PBMC (pg/million PBMC)
Recipient CYP3A5 6986 G>A (*3, rs776746)	AA	32	(100)	102.3 [35.0–215.5]	502.9 [223.0–1127.2]	23.6 [14.8–39.7]	6.2 [2.5–10.0]	28.4 [9.6–80.4]	17.7 [3.5–36.3]	71.3 [25.7–158.0]
	CC	8	(25)	97.7 [52.0–163.8]	357.9 [238.9–720.4]	20.1 [14.8–31.5]	5.9 [2.9–10.0]	22.3 [9.6–39.3]	17.9 [8.6–24.9]	72.1 [33.4–158.0]
Donor ABCB1 3435C>T (rs1045642)	CT	12	(38)	96.1 [35.0–158.1]	453.7 [277.6–1034.2]	24.3 [15.9–39.7]	5.1 [2.5–10.0]	28.5 [17.7–76.9]	15.6 [3.5–23.2]	71.3 [28.9–129.4]
	TT	12	(38)	120.3 [47.3–215.5]	581.2 [223.0–1127.1]	24.2 [17.4–34.6]	7.2 [3.7–9.8]	34.8 [18.1–80.4]	19.9 [5.9–36.3]	74.2 [25.7–156.8]
	CC	11	(34)	111.7 [35.0–163.8]	514.2 [238.9–813.0]	23.0 [14.8–39.7]	5.8 [2.5–10.0]	23.9 [9.6–76.9]	18.3 [3.5–24.9]	74.9 [28.9–158.0]
Donor ABCB1 1236 C>T (rs1128503)	CT	13	(41)	97.5 [56.7–158.2]	447.4 [295.7–1034.2]	21.6 [15.9–35.5]	6.5 [3.7–10.0]	23.3 [18.1–58.2]	15.9 [7.0–23.3]	71.3 [40.5–152.5]
	TT	8	(25)	120.3 [47.3–215.5]	610.7 [223.0–1127.2]	24.2 [21.1–38.6]	6.1 [3.7–9.8]	37.0 [19.2–80.4]	19.4 [5.9–36.3]	83.5 [25.7–156.8]
	GG	10	(31)	105.6 [35.0–163.8]	564.4 [238.9–813.0]	24.2 [14.8–39.7]	6.4 [2.5–10.0]	28.0 [9.6–76.9]	17.9 [3.5–22.4]	72.1 [28.9–158.0]
Donor ABCB1 2677 G>T/A (rs2032582)	GT/A	11	(34)	97.48 [56.7–143.8]	411.1 [295.7–1034.2]	20.1 [15.9–35.5]	4.9 [3.7–9.2]	22.2 [18.1–51.5]	17.6 [8.9–24.9]	74.9 [40.5–152.5]
	TT/A	11	(34)	123.9 [47.3–215.5]	607.8 [223.0–1127.2]	24.3 [17.4–38.6]	7.0 [3.7–10.0]	43.6 [19.2–80.4]	18.1 [5.9–36.3]	65.4 [25.7–156.8]
	HetTTT	14	(44)	98.3 [56.7–158.2]	473.3 [295.7–1034.2]	23.2 [15.9–38.6]	5.8 [3.7–10.0]	28.5 [18.1–58.2]	16.8 [7.0–23.3]	68.3 [40.5–152.5]
Donor ABCB1 Haplotype 3435/1236/2177	HomTTT	6	(19)	139.1 [47.3–215.5]	750.2 [223.0–1127.2]	24.2 [21.1–34.6]	7.7 [3.7–9.8]	29.6 [19.2–80.4]	21.0 [5.9–36.3]	114.0 [25.7–156.8]
	other	12	(38)	105.7 [35.0–163.8]	461.7 [238.7–813.0]	23.0 [14.8–39.7]	6.1 [2.5–10.0]	23.2 [9.6–76.9]	17.9 [3.5–24.9]	72.1 [28.9–158.0]
	GG	31	(97)	103.1 [47.3–215.5]	514.2 [223.0–1127.2]	23.4 [14.8–38.6]	6.2 [3.0–10.0]	29.2 [9.6–80.4]	17.7 [5.9–36.3]	71.3 [25.7–158.0]
Donor ABCB1 1199 G>A (rs2229109)	GA	1	(3)	35.0 [n/a]	277.6 [n/a]	39.7 [n/a]	2.5 [n/a]	17.7 [n/a]	3.5 [n/a]	28.9 [n/a]

(Continued)

Table 3. (Continued)

Genotype	Allelic status	n	(%)	Tac AUC <sub>0-12h</sub>	Tac AUC <sub>0-12h</sub>	AUC <sub>0-12h</sub>	Tac C <sub>0</sub>	Tac C <sub>0</sub> PBMC	Tac C <sub>max</sub>	Tac C <sub>max</sub>
				12h WB (ng.h/mL)	PBMC (pg.h/million of PBMC)	PBMC/ AUC <sub>0-12h</sub> WB	WB (ng/mL)	(pg/million of cells)	WB (ng/mL)	PBMC (pg/million PBMC)
Donor CYP3A4 (C>T) (*22, rs35599367)	CC	27	(84)	99.7 [35.0–162.9]	491.6 [223.0–1127.2]	24.5 [14.8–39.7]	6.2 [2.5–10.0]	29.2 [9.6–80.4]	17.5 [3.5–24.9]	71.3 [25.7–158.0]
	CT	5	(16)	158.2 [89.7–215.5]	548.8 [411.1–1022.0]	20.3 [17.4–23.7]	8.5 [3.7–10.0]	23.4 [19.4–54.6]	18.3 [15.9–36.3]	74.9 [60.1–156.8]
Donor CYP3A5 6986 G>A (*3, rs776746)	Expressor GG and GA	2-Jan	(3)/(6)	103.1 [95.8–122.6]	447.4 [283.7–623.9]	18.3 [14.8–30.3]	4.8 [3.8–4.9]	19.9 [9.6–23.2]	23.2 [20.2–23.3]	77 [74.9–152.5]
	Non expressor AA	29	(91)	101.44 [35.0–215.5]	514.2 [223.0–1127.2]	23.6 [15.9–39.7]	6.5 [2.5–10.0]	30 [17.7–80.4]	17.5 [3.4–36.2]	69.4 [25.72–158.0]

AUC<sub>0-12h</sub>: area under the concentration–time curve from 0h to 12h; PBMC: peripheral blood mononuclear cells; WB: whole blood; C<sub>0</sub>: predose concentration; C<sub>max</sub>: maximum concentration; Het: heterozygote; Hom: homozygote. Data are expressed as median [range]

\* p<0.05.

<https://doi.org/10.1371/journal.pone.0230195.t003>

minimal CaN activity (CaN<sub>min</sub>) was achieved 2h post TAC intake (T<sub>min</sub>) which is slightly delayed after the T<sub>max</sub> of TAC in whole blood and PBMC (1.6h). Coefficients of variation of pharmacodynamic parameters were higher than 30% which reflects a high inter-patient variability of CaN activity. No correlation was found between AUA<sub>0-12h</sub> and TAC AUC<sub>0-12h</sub> in whole blood or PBMC. Inhibition of CaN compared to its basal value (i.e. before TAC treatment) was never complete and the median CaN<sub>I<sub>max</sub></sub> was only -37%.

Using a linear model, an association was found between CaN<sub>I<sub>max</sub></sub> in PBMC and C<sub>max</sub> of TAC (log-transformed) in PBMC (r<sup>2</sup> = 0.21, p = 0.019) or in whole blood (r<sup>2</sup> = 0.27, p = 0.007). The trend of the concentration dependent inhibition of CaN activity by TAC was

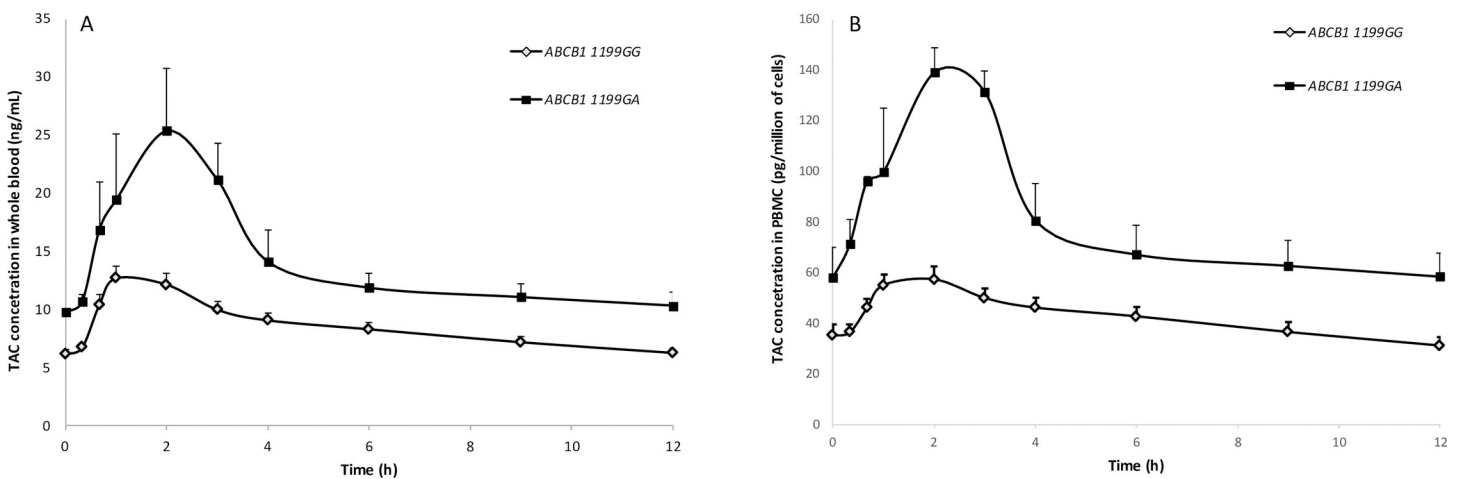


Fig 3. Influence of recipient ABCBI 1199G>A on whole blood and on intracellular (PBMC) areas under the tacrolimus (TAC) concentrations–time curve from 0 to 12 h (AUC). Each symbol represents mean ± standard deviation of the mean. (n = 29 ABCBI 1199GG, n = 3 ABCBI 1199GA).

<https://doi.org/10.1371/journal.pone.0230195.g003>

better described using an equation like  $CaN_{I_{max}} = I_{min} + (I_{min} - I_{max}) / (1 + (C_{max} / IC_{50}))$  where  $CaN_{I_{max}}$  is the maximal inhibition of CaN activity observed on the 0–12h period for a concentration  $C_{max}$  of TAC,  $I_{min}$  is the highest inhibitory effect and  $I_{max}$  is the lowest inhibitory effect.  $IC_{50}$  is TAC  $C_{max}$  which gives a 50% inhibition of CaN compared to basal activity.

Graphically, the  $IC_{50}$  of TAC for CaN was 18 ng/mL in whole blood and 100 pg/million of cells in PBMC. In addition, the  $IC_{37}$  (TAC  $C_{max}$  which gives the median  $CaN_{I_{max}}$  of 37% in the study) was 11 ng/mL in whole blood and 65 pg/millions of cells in PBMC (Fig 4A).

Intra-PBMC inhibitory concentrations of CaN were used as  $IC_{target}$  of TAC  $C_{max}$  to explore the probability of target attainment of these intracellular concentrations for several ranges of trough whole blood concentrations. Less than 50% of patients were expected to achieve intra-PBMC  $IC_{50}$  whatever their  $C_{0WB}$  group (13%, 39% and 42% for the low, medium and high exposure groups respectively). The probability to achieve the intra-PBMC  $IC_{37}$  was 36% for the low exposure group, 66% for the medium exposure group and 67% for the group with highest  $C_{0WB}$  (Fig 4B).

## 4. Discussion

To the best of our knowledge, this is the first study aiming at exploring the complete pharmacogenetic-whole blood/intracellular pharmacokinetic-pharmacodynamic (PG-PK<sup>2</sup>-PD) relationship of TAC in liver transplant recipients. Most of the studies published to date aimed at exploring part of this relationship (i.e. PG-PK or PK-PD) but none had given a complete overview of this relationship in a quite large number of rich pharmacological profiles. These full profiles were used to model TAC concentrations in whole blood and, for the first time, in PBMC. The model quite well described the drug concentrations in both compartments. As observed on individual predicted versus observed plots, intra-PBMC concentrations were slightly under-estimated for a few patients. One can raise the hypothesis that it might be due to the normalization of TAC intra-PBMC concentration in quantity per number of cells instead of volumetric unit. Indeed, as suggested by Pensi *et al.*, it would be more accurate to normalize intracellular concentration by the mean cell volume of each patient [7,36]. Unfortunately, measurement of cells volume was not available on the instrument use in our study and we could not check whether the underestimated concentrations were due to extreme values in PBMC volumes in these individuals.

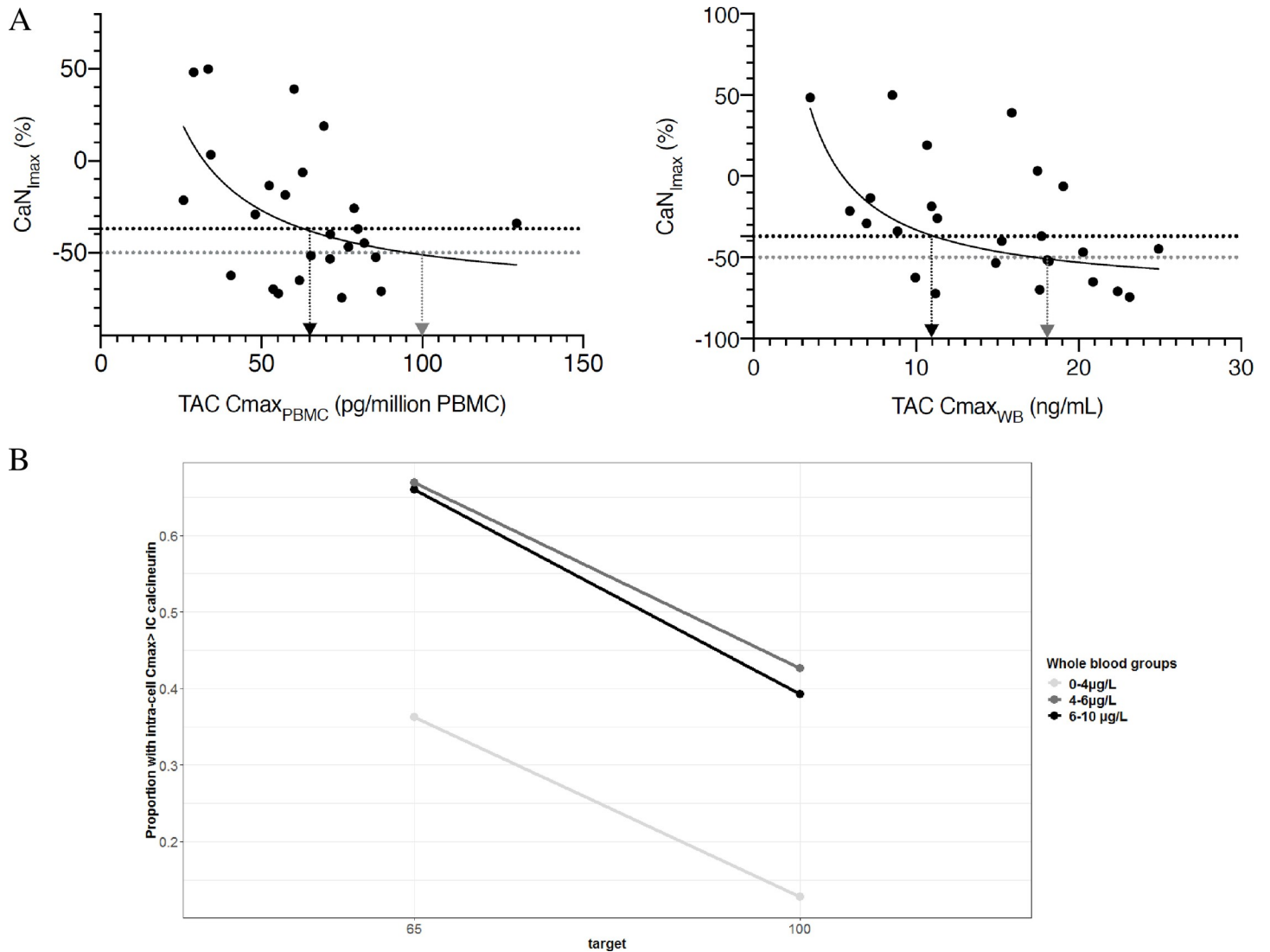
Although it should be further validated in an independent cohort, the model appears to be a useful tool to assess TAC intracellular exposure. This is of particular interest since the

**Table 4. Pharmacodynamic parameters (n = 32).**

	Median [range]	CV (%)
AUA <sub>0-12h</sub> (pmol.h/min/million PBMC)	4864 [2903–8598]	36
CaN basal (pmol/min/million PBMC)	371.6 [151.6–1550.1]	66
CaN <sub>min (0-12h)</sub> (pmol/min/million PBMC)	317.3 [96–547.8]	39
CaN <sub>moy</sub> (pmol/min/million PBMC)	412.2 [229.9–791.96]	32
% Inhibition max	-37 [-74–50]	45
T <sub>min</sub> (h)	2 [0.33–12]	n/a

AUA<sub>0-12h</sub>: area under the calcineurin-time curve from 0 to 12h; CaN basal: calcineurin activity at baseline before the first administration of tacrolimus; CaN<sub>min(0-12h)</sub>: calcineurin activity when inhibition is maximal between 0 and 12h post tacrolimus intake; CaN<sub>moy</sub>: mean calcineurin activity between 0 and 12h post tacrolimus intake; T<sub>min</sub>: Time corresponding to CaN<sub>min (0-12h)</sub>. PBMC: peripheral blood mononuclear cells CaN activity assay was based on the detection of the product formed by CaN incubated with a peptide substrate. CaN activity was expressed as picomoles of dephosphorylated peptides per minute per 10<sup>6</sup> cells

<https://doi.org/10.1371/journal.pone.0230195.t004>



**Fig 4. Tacrolimus (TAC) pharmacokinetic-pharmacodynamic relationship.** (A): Relationship between calcineurin maximum inhibition (CaN<sub>I<sub>max</sub></sub>) and TAC maximum concentration (C<sub>max</sub>) in peripheral mononuclear cells (PBMC) or whole blood (WB). Black arrows show tacrolimus concentration inhibiting 37% (IC<sub>37</sub>) of calcineurin activity (65 pg/million of cells in PBMC and 11 ng/mL in whole blood) and greys arrows show tacrolimus concentration inhibiting 50% (IC<sub>50</sub>) of calcineurin activity (100 pg/million of cells in PBMC and 18 ng/mL in whole blood). (n = 32). Probability of intracellular target attainment (B). Targets are IC<sub>37</sub> and IC<sub>50</sub> in PBMC. IC: Inhibitory concentration.

<https://doi.org/10.1371/journal.pone.0230195.g004>

experimental process to quantify TAC in cells is time-consuming and can be challenging for an application in routine practice.

One of the most point open for criticism of this study is our choice to assess TAC exposure in the early postoperative period which is thought to be unstable. In particular, hematocrit is assumed to change in the early phase post transplantation which could alter equilibrium between whole blood and intracellular TAC concentrations. However we consider that the study was performed in a relative steady state. Indeed, concentrations were measured beyond 7 days post-transplantation and TAC dose was unchanged since at least 3 days before blood collection. In addition, we showed that hematocrit was not significantly different within to the range of times post transplantation in our cohort. The poor correlation between hematocrit and intracellular exposure explains that the influence of this parameter was not sufficient to

retain it as a significant covariate in the model developed. Moreover, we chose to focus on this early period post transplantation because acute rejection in liver recipients is more frequent within the first 10 postoperative days. It appears then much more relevant to study biomarkers related to TAC pharmacokinetics variability during this critical period.

In the present work, we provided a pharmacogenetics analysis to explore the influence of SNPs in both donors and recipients, on TAC pharmacokinetics in the early period post-transplantation. We focused on the most relevant polymorphisms which have been associated with TAC pharmacokinetics in the literature [13].

Interestingly, we found an impact of recipient *ABCB1* polymorphisms 1199G>A on whole blood but also on intracellular exposure of the drug. Despite the actual relevance of this result could suffer from the small sample size and should be confirmed further, it is consistent with previous reports. Indeed, it is assumed that the variant 1199A lead to a lower activity of *ABCB1* protein. In a functional in-vitro study in recombinant cell line, Dessilly *et al.* showed that TAC efflux was strongly lower in cells expressing the variant allele (1199A) compared to wild type cells [21]. Thus, 1199A genotype in recipient could lead to increase whole blood exposure by increasing its absorption through the intestinal barrier (due to decreased TAC efflux), and to increase TAC accumulation in PBMC due to a less active efflux from the cells. This mechanism was confirmed in a clinical study from the same group showing that TAC PBMC concentration at day 7 post kidney transplantation was 1.4 fold higher in recipient carrier of the 1199A allele [18]. Besides, recipient *ABCB1* 2677TT genotype and haplotype (3435/1236/2677) influenced TAC blood to PBMC diffusion ratio leading to lower ratio in patient homozygous TTT. Conflicting results have been reported regarding *ABCB1* 2677G>T polymorphism or corresponding haplotype and TAC dose requirement [12,13,37,38]. In liver transplant recipient *ABCB1* haplotype is not expected to have a strong impact on TAC whole blood pharmacokinetics but it could significantly influence leukocytes concentration since P-gp is expressed in the cell membrane. Contrary to observations of TAC accumulation in hepatocytes for T variant carrier [20], in PBMC, presence of T-allele seemed to lower intracellular exposure. The molecular mechanism remains to be elucidated. Nevertheless, a limit of our study is the size of our data set which was likely not large enough to evidence accurately all genetic associations for SNP with low allele frequency. Then these results should be further confirmed in larger cohorts. Besides, we did not assess the influence of corticosteroids dosages on tacrolimus concentrations in whole blood and PBMC. It is unfortunate since steroids are known to induce CYP3A and *ABCB1* expression so it could have been valuable to look for the influence of this covariate as well.

Besides, whole-blood and intracellular concentrations seems to be correlated contrary to what has been initially reported in a previous study [30]. This relationship legitimates TAC TDM in whole blood since whole blood concentrations could roughly reflect concentration in the target compartment. A work from Han *et al.* in kidney transplantation, exploring the relationship between whole blood and intracellular TAC concentrations, ended to the same conclusion [10]. However, whole blood concentrations are only a partial reflection of intracellular concentrations as highlighted by the mild to moderate correlations found between different time points ( $r^2$  ranging from 0.17 to 0.53). This poor association might not be due to the physiologic instability in the early period post transplantation. Indeed, a work from Klaasen *et al.* refutes the hypothesis of the lack of correlation linked to *de novo* status of the liver, since the authors reported remaining poor correlations between whole blood and intra-PBMC tacrolimus concentrations from 1 week to 1 year post transplantation [39]. As confirmed by the pharmacogenetic association study, drug transporters such as P-gp may at least partially explain these variabilities. This might also explain why some patients exhibit adverse events (rejection or toxicity) while having whole blood concentrations within the therapeutic range. New

strategies aiming at evidencing this sup-population of patients displaying inconsistencies between whole blood concentrations and clinical outcome are needed. While confirmation of its value is required, measuring TAC intracellular concentrations might help detecting this at-risk population. Moreover, consistently with previous reports in the literature, poor correlations were observed between AUC of tacrolimus and trough concentrations within the same matrix, which shows that trough concentration is an imperfect surrogate marker of AUC [2,40].

Considering pharmacodynamic parameters, we report a low and relatively flat calcineurin inhibition in the study population. In addition, a relatively high inter-patient variability of CaN activities was observed. These results are consistent with previous published work on that topic [27–29]. We tried to develop a pharmacokinetic-pharmacodynamic model to describe the relationship between TAC exposure and inhibition of its molecular target but all modeling approaches tested failed. It was attributed to the size of our data set and the flat profile of CaN activity over time within patients. Moreover, another determinant of the complex relationship between CaN activity and exposure to TAC could be SNPs in the promoter region of the catalytic subunit of CaN (e.g. PPP3CA, rs45441997), as highlighted by the work of Noceti *et al.* [41]. Unfortunately, genetic analysis of gene involved in the pharmacodynamic pathway of TAC could not be performed in our work.

A non-complete inhibition of CaN was observed since the median CaN inhibition was 37%. High TAC whole blood concentrations have been previously reported as CaN IC<sub>50</sub> (i.e. 26.4 ng/mL for Fukudo *et al.* and 20.9 ng/mL for Yano *et al.*). These concentrations are hardly reached with modern TAC minimizing strategy [27,42]. In our study, we found an IC<sub>50</sub> of 18 ng/mL for whole blood TAC concentration, a value slightly below but close to previous findings. More interestingly, we also highlighted an intracellular concentration of 100 pg/million cells as *in vivo* intracellular CaN IC<sub>50</sub>. This value is slightly lower than the one previously determined *in vitro* by our group (160 pg/million cells) [31]. Again, this threshold is rarely reached with current drug regimen in liver transplantation. When simulating 1,000 concentrations profiles and determining their intracellular C<sub>max</sub>, we observed that a few patients reached the concentration threshold corresponding to the IC<sub>50</sub>. When categorizing simulated patients according to their whole blood trough concentrations, patients with a C<sub>0WB</sub> lower than 4 ng/mL almost never reach the intracellular concentration threshold for IC<sub>50</sub> while roughly the same proportion of patients (but less than 50%) with C<sub>0WB</sub> between 4 and 6 ng/mL or 6 and 10 ng/mL (i.e. the actual target recommendation in liver transplantation) reach the target. Despite not consistently attaining the threshold even with the current recommended trough whole blood concentrations (6–10 ng/mL), TAC based treatments seems to present sufficient efficacy in term of rate of rejection and graft survival. This means that, in the era of a combined immunosuppressive therapy associating TAC, mycophenolic acid or m-TOR inhibitor and corticosteroids, a high level of CaN inhibition may not be necessary. In agreement with this statement, Daher Abdi *et al.* reported that immunosuppressive efficacy (in renal transplant recipients) was not associated with calcineurin inhibitor exposure while mycophenolic acid exposure significantly mattered [43]. This hypothesis is emphasized by the median maximal CaN inhibition found in our study (37%). Considering this median CaN inhibition as a threshold, only 36% of patients in the very low exposure group of simulated patients would reach the corresponding intracellular C<sub>max</sub> (65 pg/million cells) while difference in the proportion of patients reaching it in the low-exposure or recommended exposures groups were again similar (66% versus 67%).

The main limitation of our study is the lack of clinical endpoint to link with the PG-PK<sup>2</sup>-PD analysis. In particular, it should have been relevant to confront our results to toxicity or rejection rate. However, ACR monitoring could not be included in the design of the study



since in our center liver biopsy is not systematically performed in the patient's follow-up to diagnose ACR. Moreover, data regarding associations between genetic biomarkers and graft or patient survival are lacking but will be obtained from the larger CYPTAC'H study on going in our center.

## 5. Conclusion

In conclusion, a population pharmacokinetic model was successfully developed and applied to the first global investigation of the pharmacogenetic-whole blood/intracellular pharmacokinetic-pharmacodynamic (PG-PK<sup>2</sup>-PD) relationship of TAC in liver transplant recipients. Recipient *ABCB1* polymorphisms 1199G>A could influence whole blood but also intracellular exposure of TAC but the clinical relevance of this genetic variant remains to be investigated. In addition, CaN activity appeared incompletely inhibited by TAC and only few patients were expected to reach intracellular IC<sub>50</sub> concentrations at therapeutic whole blood concentration suggesting alternative pharmacodynamic effects of TAC than CaN inhibition. These results should be confirmed in a larger cohort. Further studies are required to clarify the relationship between intracellular TAC exposure and clinical outcomes in order to find whether TAC intracellular concentration could be useful to tailor the immunosuppressive therapy.

## Supporting information

**S1 Fig. Model performances and validation.** Worst (A) and best (B) individual predicted profiles for tacrolimus (TAC) in whole blood (bottom curve) and in peripheral blood mononuclear cells (PBMC) (upper curve). Green line represents observed tacrolimus concentrations in PBMC, red line represents fitting of the model for PBMC concentrations. Blue line represents observed tacrolimus concentrations in whole blood, black line represents fitting of the model for whole blood concentrations. Visual predictive checks for whole blood (C) and PBMC (D) concentration of tacrolimus. Grey zones are confidence intervals at 95% of 5th, 50th and 95th percentiles of predictions obtained from 1000 Monte-Carlo simulations from the model. Curves are percentiles of the observed data. These curves must be included within the confidence interval above mentioned.

(PDF)

**S2 Fig. Time course profiles of tacrolimus (TAC) concentrations in whole blood and PBMC (left axis) and calcineurin activity in PBMC (right axis).** Each symbol represents mean  $\pm$  standard deviation of the mean. TAC<sub>WB</sub>: tacrolimus concentration in whole blood, TAC<sub>PBMC</sub>: tacrolimus concentration in PBMC, CaN: calcineurin, PBMC: peripheral blood mononuclear cells. (n = 32).

(PDF)

**S1 Table. Population pharmacokinetics parameters.**

(DOCX)

**S2 Table. Combined genotypes frequencies.**

(DOCX)

**S3 Table. Association analysis between covariates and model parameters.**

(DOCX)

**S4 Table. Hardy-Weinberg equilibrium analysis.**

(DOCX)

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