

RESEARCH ARTICLE

P-NGAL Day 1 predicts early but not one year graft function following deceased donor kidney transplantation – The CONTEXT study

Marie B. Nielsen ^{1,2*}, Nicoline V. Krogstrup^{1,2}, Gertrude J. Nieuwenhuijs-Moeke ³, Mihai Oltean⁴, Frank J. M. F. Dor^{5,6}, Bente Jespersen¹, Henrik Birn^{1,7}

1 Department of Renal Medicine, Aarhus University Hospital, Aarhus, Denmark, **2** Department of Clinical Medicine, Aarhus University, Aarhus, Denmark, **3** Department of Anaesthesiology, University of Groningen, University Medical Center Groningen, Groningen, The Netherlands, **4** The Transplant Institute, Sahlgrenska University Hospital, Gothenburg, Sweden, **5** Division of HPB & Transplant Surgery, Department of Surgery, Erasmus MC, University Medical Center, Rotterdam, The Netherlands, **6** Imperial College Renal and Transplant Centre, Hammersmith Hospital, Imperial College, London, United Kingdom, **7** Department of Biomedicine, Aarhus University, Aarhus, Denmark

* marie.bodilsen@clin.au.dk



OPEN ACCESS

Citation: Nielsen MB, Krogstrup NV, Nieuwenhuijs-Moeke GJ, Oltean M, Dor FJMF, Jespersen B, et al. (2019) P-NGAL Day 1 predicts early but not one year graft function following deceased donor kidney transplantation – The CONTEXT study. *PLoS ONE* 14(2): e0212676. <https://doi.org/10.1371/journal.pone.0212676>

Editor: Kathrin Eller, Medizinische Universitat Graz, AUSTRIA

Received: September 18, 2018

Accepted: January 31, 2019

Published: February 28, 2019

Copyright: © 2019 Nielsen et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: Data cannot be made publicly available due to ethical concerns, as it is not possible to anonymise data sufficient for public access. Data is available on request to the CONTEXT Data Access Committee (bente.jespersen@clin.au.dk).

Funding: The Danish Council for Independent Research, the Danish Society of Nephrology, Grosserer L.F. Foghts Fond, the Lundbeck Foundation, the Novo Nordic Foundation,

Abstract

Background

Early markers to predict delayed kidney graft function (DGF) may support clinical management. We studied the ability of four biomarkers (neutrophil gelatinase associated lipocalin (NGAL), liver-type fatty acid-binding protein (L-FABP), cystatin C, and YKL-40) to predict DGF after deceased donor transplantation, and their association with early graft function and GFR at three and twelve months.

Methods

225 deceased donor kidney transplant recipients were included. Biomarkers were measured using automated assays or ELISA. We calculated their ability to predict the need for dialysis post-transplant and correlated with the estimated time to a 50% reduction in plasma creatinine (tCr50), measured glomerular filtration rate (mGFR) and estimated GFR (eGFR).

Results

All biomarkers measured at Day 1, except urinary L-FABP, significantly correlated with tCr50 and mGFR at Day 5. Plasma NGAL at Day 1 and a timed urine output predicted DGF (AUC = 0.91 and AUC 0.98). Nil or only weak correlations were identified between early biomarker levels and mGFR or eGFR at three or twelve months.

Conclusion

High plasma NGAL at Day 1 predicts DGF and is associated with initial graft function, but may not prove better than P-creatinine or a timed urine output. Early biomarker levels do not correlate with one-year graft function.

Nyreforeningen (the Danish Kidney Patient Association), Swedish Society of Medicine, A.P. Møller og hustru Chastine Mc-Kinney Møllers Fond til Almene Formaal, Aarhus University, and Aarhus University Hospital funded this study. The 18 ELISA kits for L-FABP measurements were kindly donated by @CMIC HOLDINGS Co., Tokyo, Japan. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. Marie Bodilsen Nielsen received a research scholarship from The Danish Council for Independent Research.

Competing interests: The authors have declared that no competing interests exist.

Trial registration

ClinicalTrials.gov [NCT01395719](https://clinicaltrials.gov/ct2/show/study/NCT01395719)

Introduction

Delayed graft function (DGF) is a frequent complication after deceased donor kidney transplantation. Incidence ranges from 28–38% in kidneys from brain dead donors (DBD)[1–3], and up to 85% in kidneys from donors after circulatory death (DCD)[4–6]. DGF is related to ischemia-reperfusion injury[7–9] and is associated with prolonged hospitalization in addition to an increased risk of complications and acute rejection[7,10–12]. Moreover, in some studies DGF is associated with reduced long-term graft function and graft survival[13].

DGF is most frequently defined as “the need for dialysis during the first week after transplantation”. The time to a 50% reduction in plasma (P-) creatinine (tCr50) has been proposed as an additional definition correlating with one year graft function [14]. Unfortunately, DGF defined by these criteria cannot be assessed until several days after transplantation[15,16]. Furthermore, changes in P-creatinine during the early post-transplant period do not always correspond to changes in glomerular filtration rate (GFR), and may represent pre-renal and quickly reversible changes, as well as kidney cellular damage[16,17]. Early prediction of DGF may help to optimise clinical management immediately after transplantation and will allow early preparation for dialysis.

Several, renal biomarkers have been associated with ischemia-reperfusion injury in kidney transplantation, but their ability to predict DGF has not been well established[16,18,19]. P-neutrophil gelatinase associated lipocalin (NGAL) levels are elevated in patients with end stage renal disease[20]. High concentrations of NGAL in serum and urine on the first post-transplant day have been associated with risk of DGF[3,21–24]. Increased urinary (U) liver-type fatty acid-binding protein (L-FABP) levels have been identified in renal transplant patients with low graft function[25] and are associated with increased ischemia time, reduced peritubular capillary blood flow, and longer hospitalization in renal transplant recipients[26]. U-cystatin C excretion predicted the need for renal replacement therapy in patients with acute tubular necrosis[27]. Increased U-chitinase-3-like protein 1 (YKL-40) concentrations have been observed in the first 24 hours post-transplant in patients with DGF when compared to patients with slow or immediate graft function[28].

Our aim was to evaluate the levels and changes in 1) U- and P-NGAL, 2) U-L-FABP, 3) U-cystatin C, and 4) U-YKL-40 following deceased donor kidney transplantation and to correlate these biomarkers with DGF, early graft function, including measured GFR and estimated GFR after one year. Furthermore, we compared these biomarkers to established clinical markers such as post-transplant P-creatinine, urine output, and U-albumin/creatinine ratio.

Materials and methods

Study design

This study analyzed samples and outcome measurements from patients included in the CONTEXT trial (ClinicalTrials.gov: NCT01395719)[29]. This European multicenter randomized controlled trial studied the effect of remote ischemic conditioning by repetitive inflation and deflation of a cuff around the thigh of the recipient. The intervention was without any effect on the primary endpoint of tCr50 or other markers of early graft function including DGF[29].

The CONTEXT study was approved by the relevant national data protection agencies and ethical committees in the countries involved (Denmark: The National Committee on Health Research Ethics; Sweden: Regional Ethical Board; the Netherlands: METCUMCG). Informed and written consent was obtained prior to inclusion and the study was conducted in adherence with the Declaration of Helsinki.

Inclusion

Patients undergoing deceased donor kidney transplantation were included from June 12, 2011, to December 28, 2014, at four transplant centres: Aarhus, Denmark; Gothenburg, Sweden; and Groningen and Rotterdam, the Netherlands[29].

Demographic data, P-creatinine levels and information on any dialysis procedures, were collected from hospital records. Donor characteristics were obtained from ScandiaTransplant (Aarhus and Gothenburg) and donor forms from Eurotransplant (the Netherlands). Kidney graft function was estimated at three and twelve months (to January 31, 2016) using P-creatinine, mGFR, and eGFR.

Blood and urine sampling

Plasma and urine samples for biomarker evaluation were collected at four time points: after induction of anesthesia and insertion of a urinary catheter prior to transplantation (baseline), 90 minutes after reperfusion of the kidney and Day 1 and Day 3 after transplantation (S1 Fig, S1 Table). Samples were stored at room temperature for a maximum of one hour, centrifuged at 2800G at 4°C for ten minutes, and stored at -80°C.

P-creatinine was measured once or twice daily during the first week after transplantation, twice weekly until 30 days after transplantation, or in the case of dialysis after transplantation, until 30 days after the last dialysis[29].

A 24hr urine sample was collected on Day 1 from patients included in Aarhus and Gothenburg (S1 Table). Urine output was calculated as the average milliliter output per hour during the collection period.

Delayed graft function

DGF was defined as the need for dialysis within the first week after transplantation.

Biochemical analyses

NGAL was measured in plasma and urine at the Department of Clinical Biochemistry, Aarhus University Hospital (AUH) using a particle-enhanced turbidimetric immunoassay (BioPorto Diagnostics A/S, Hellerup, Denmark). U-cystatin C was measured at the Department of Clinical Biochemistry at Viborg Regional Hospital using an automated particle-enhanced turbidimetric immunoassay (Gentian, Moss, Norway). U-YKL-40 was measured using a commercial sandwich ELISA (Bio-Techne, Minneapolis, USA). The YKL-40 kit was validated for measurements in urine before analysing the samples. The inter- and intra-assay coefficients of variance (CV%) were estimated to $\leq 7.1\%$ and $\leq 8.2\%$ respectively. U-L-FABP was measured using sandwich ELISA (CMIC HOLDINDS Co., Ltd., Tokyo, Japan) with an inter- and intraassay CV% of $\leq 12.7\%$ and $\leq 10.3\%$, respectively. All analyses were performed according to manufacturer's instructions.

P-creatinine, U-creatinine, and U-albumin were measured at the local Department of Clinical Biochemistry using automated, standard clinical assays.

All urinary biomarkers were normalized to U-creatinine level. tCr50 was calculated by modelling the changes in P-creatinine for each patient as previously described[29].

Glomerular filtration rate

GFR was measured after transplantation in patients with definite evidence of kidney graft function using ^{51}Cr -ethylenediamine tetraacetic acid (^{51}Cr -EDTA) plasma clearance [30]. The mGFR was standardized to body surface area.

eGFR was calculated for all patients using the Modification of Diet in Renal Disease (MDRD) formula[31] without correction for race (>90% of included patients were Caucasian).

Statistical analyses

Donor and recipient characteristics are presented as n (%), mean (SD), or median (interquartile range). Data, which were not normally distributed were transformed by logarithmic or square root transformation. Continuous variables were correlated using simple regression, while multiple linear regression was applied to adjust for confounders or predictors and to combine different biomarkers. Linearity and distribution of the residuals was tested. We compared biomarker levels between two groups using Student's t-test and evaluated the ability of the biomarkers to predict DGF using ROC analysis. The optimal cut-off was defined as the largest sum of sensitivity and specificity. Data analyses were performed using Stata version 13 software for Windows (StataCorp LP).

Results

Recipient and donor characteristics

A total of 225 recipients were included in the CONTEXT trial, hereof only three was withdrawn from the study (Fig 1). 200 received a kidney from a DBD and 22 received a kidney from a DCD donor. Donor and recipient characteristics are listed in Table 1. Eleven patients (nine DBD kidney recipients and two DCD kidney recipients) were excluded from the analyses of tCr50 due to either graft removal within the first week after transplantation (n = 2) or primary non-function (n = 9). 74 patients (33%) experienced DGF. Dialysis was initiated prior to Day 3 blood sampling in 89% of patients (n = 65) experiencing DGF. There was no difference between patients with DGF and patients without DGF with respect to donor age, donor's last P-creatinine, recipient age, gender, baseline P-creatinine, or U-albumin/creatinine-ratio.

Effect of remote ischemic conditioning

The intervention (remote ischemic conditioning vs. sham) had no effect on any of the biomarkers at any time point (S2 Fig). Consequently, data was pooled independently from the intervention.

Effect of variations in the time between reperfusion time and blood and urine sampling

Since blood and urine samples on Day 1 were always collected during daytime working hours on the day after surgery, the time between reperfusion and sampling on Day 1 varied. In order to avoid any potential confounding as a result of this we used information from a subset of Aarhus patients (n = 113 (plasma) and n = 89 (urine)) to analyse biomarker levels in blood and urine depending on the time between reperfusion and sampling on Day 1. No correlation was observed between the elapsed time to Day 1 blood sampling and P-NGAL neither in patients with DGF or in patients without DGF suggesting that biomarker levels were not significantly depending on

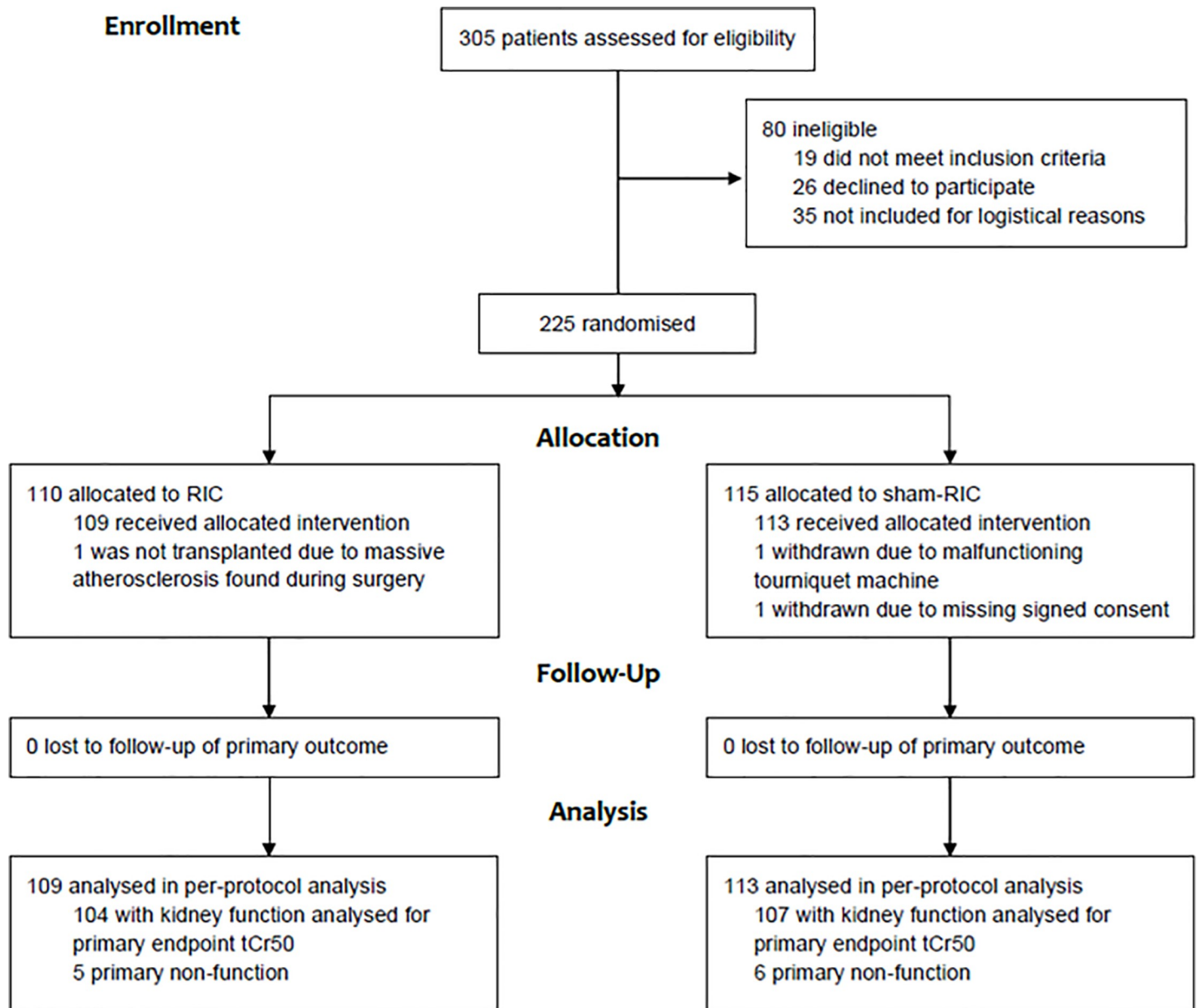


Fig 1. Flowchart of inclusion and randomization.

<https://doi.org/10.1371/journal.pone.0212676.g001>

differences in the time to first day sampling (S3 Fig). Similarly, no significant correlations were observed between the elapsed time to Day 1 sampling and other biomarkers levels (U-NGAL: $p = 0.30$, $p = 0.13$; U-cystatin C: $p = 0.97$, $p = 0.23$; U-L-FABP: $p = 0.38$, $p = 0.71$; U-YKL-40: $p = 0.60$, $p = 0.24$. P-values are for patients with and without DGF respectively).

P-NGAL, P-creatinine and timed urine output predict DGF

The baseline P-NGAL was higher in patients experiencing DGF and remained elevated on Day 1 and 3 while it decreased in patients that did not require dialysis (S4 Fig). Baseline P-NGAL was approx. 1.8 times higher in patients on dialysis prior to transplantation when compared to patients transplanted preemptive ($p < 0.001$, Table 1). We also found that 40% of

Table 1. Donor and recipient characteristics.

Donor and recipient characteristics	
Donor age (years) (n = 222)	58 (51–65)
Donor female sex (n = 222)	101 (45%)
Donor's last P-creatinine ($\mu\text{mol/l}$) (n = 190)	69 (54–88)
Cold ischemic time (h) (n = 219)	13.5 \pm 4.4
Recipient age (years) (n = 222)	59 (49–66)
Recipient female sex (n = 222)	88 (40%)
Recipient, preemptive transplantation (n = 222)	40 (18%)
Baseline P-creatinine ($\mu\text{mol/l}$) (n = 220)	636 (496–756)
Baseline P-NGAL ($\mu\text{g/l}$) (n = 218)	635 (453–848)
Baseline P-NGAL, preemptive ($\mu\text{g/l}$) (n = 40)	389 (332–485)
Baseline P-NGAL, on dialysis prior to TX ($\mu\text{g/l}$) (n = 178)	707 (512–889)
Baseline U-albumin/creatinine-ratio (mg/g) (n = 125)	688 (295–1905)
Baseline U-NGAL (ng/mg) (n = 122)	1784 (698–3924)
Baseline U-L-FABP (ng/mg) (n = 125)	112 (66–181)
Baseline U-cystatin C (mg/g) (n = 122)	15.4 (6.8–26.7)
Baseline U-YKL-40 (ng/mg) (n = 120)	54 (10–175)
Urine output Day 1 ^a (ml/h) (n = 129)	92 (36–158)
Urine output Day 3 ^a (ml/h) (n = 140)	92 (52–140)
Primary non-function (n = 222)	9 (4%)
mGFR Day 5 (ml/min/1.73m ²) (n = 91)	33 (7–99)
tCr50 (days) (n = 211)	5.8 (1.8–10.9)
DGF ^b (n = 222)	74 (33%)
mGFR three months (ml/min/1.73m ²) (n = 148)	43 (34–55)
mGFR twelve months (ml/min/1.73m ²) (n = 141)	47 (35–60)

Values are mean \pm SD, n (%) or median (interquartile range).

^aOnly patients transplanted in Aarhus and Gothenburg.

^bExcluding patients undergoing graftectomy within the first week after transplantation.

<https://doi.org/10.1371/journal.pone.0212676.t001>

the patients on dialysis prior to transplantation (n = 180) experienced DGF, whereas it was only 5% of the preemptive patients (n = 40).

P-NGAL at Day 1 predicted DGF with a sensitivity of 84% and specificity of 87% (Table 2, Fig 2) and an area under the ROC curve (AUC) of 0.91, and was superior to P-creatinine on Day 1 (p = 0.02) and to the change in P-NGAL from baseline to Day 1 (p < 0.001) (Table 2, Fig 2). Patients receiving dialysis prior to P-creatinine sampling on Day 1 were excluded from the latter analysis.

In patients transplanted preemptive P-NGAL at Day 1 predicted DGF with AUC = 0.97 (n = 40) and in patients on dialysis prior to transplantation AUC = 0.90 (n = 162).

A timed urine sample was collected at Day 1 in 58% (n = 129) of the patients enrolled in Aarhus and Gothenburg while nine patients were recorded as being anuric (urine output = 0), allowing 138 patients (62%) for this analysis. In these patients, the urine output sampled at Day 1 was superior to P-creatinine on Day 1 in prediction of DGF (AUC = 0.98 vs 0.80, n = 138), but not to P-NGAL (AUC = 0.94, n = 122; p = 0.07). In 84 (38%) patients no information on urine output was recorded on Day 1.

All urinary biomarkers measured on Day 1 were higher in patients with DGF compared to those with primary function (S4 Fig). U-NGAL and U-albumin/creatinine ratios measured on Day 1 predicted DGF (AUC's of 0.82 and 0.84, Table 2). However, the biomarkers were

Table 2. The ability of biomarkers to predict DGF. AUC = area under the ROC curve. Sens = sensitivity. Spec = specificity. ^aChange from baseline to Day 1. ^bPatients receiving dialysis after transplantation but before sampling Day 1 were excluded. ^cOnly patients transplanted in Aarhus and Gothenburg.

	Time of sampling after reperfusion	n	AUC ±SE	Optimal cut-off		
				Cut-off	Sens	Spec
ΔP-NGAL ^a	-	176	0.76 ±0.04	-132	0.69	0.74
P-NGAL	90 minutes	208	0.69 ±0.04	614	0.67	0.71
P-NGAL	Day 1	199	0.91 ±0.02	480	0.84	0.87
ΔP-creatinine ^{a,b}	-	194	0.89 ±0.02	29	0.92	0.78
P-creatinine ^b	Day 1	195	0.82 ±0.04	647	0.69	0.86
Urine output ^c	Day 1	116	0.98 ±0.01	47	0.87	1.00
U-NGAL	90 minutes	136	0.67 ±0.06	1116	0.86	0.48
U-NGAL	Day 1	171	0.82 ±0.04	829	0.79	0.76
U-L-FABP	90 minutes	135	0.52 ±0.07	559	0.33	0.80
U-L-FABP	Day 1	173	0.76 ±0.05	156	0.64	0.87
U-Cystatin C	90 minutes	135	0.63 ±0.06	13	0.70	0.49
U-Cystatin C	Day 1	173	0.73 ±0.05	9	0.65	0.78
U-YKL-40	90 minutes	137	0.60 ±0.06	58	0.66	0.50
U-YKL-40	Day 1	173	0.78 ±0.04	46	0.85	0.61
U-albumin/creatinine	90 minutes	137	0.62 ±0.06	2464	0.76	0.48
U-albumin/creatinine	Day 1	174	0.84 ±0.04	1365	0.73	0.86

<https://doi.org/10.1371/journal.pone.0212676.t002>

inferior in predicting DGF when compared to P-creatinine, P-NGAL or the timed urine output in patients where these were available (S5 Fig). In 13 (6%) patients urinary biomarkers could not be measured due to anuria on Day 1.

Biomarker levels correlate with early graft function

P-NGAL on both Day 1 and Day 3 correlated with mGFR at Day 5 ($r^2_{adj.} = 0.35, p < 0.001$ and $r^2_{adj.} = 0.56, p < 0.001$) and tCr50 ($r^2_{adj.} = 0.31, p < 0.001$ and $r^2_{adj.} = 0.52, p < 0.001$) (Table 3, Fig 3). mGFR Day 5 was only measured in Aarhus and Gothenburg (n = 91). After adjustment for age, sex, cold ischemic time, intervention, and urine output these correlations remained significant. However, when further adjusted for the change in P-creatinine from baseline to the time of sampling (Day 1 or 3) the correlation was only significant on Day 3 (Table 3). The correlation coefficients for P-NGAL and P-creatinine with respect to mGFR Day 5 or tCr50 were similar for on both Day 1 and 3. In the subset of patients with a recorded urine output on Day 1 this correlated moderately with mGFR Day 5 and tCr50 (Table 3).

U-NGAL, U-cystatin C, U-L-FABP and U-YKL-40 correlated to mGFR on Day 5 and tCr50; however, all correlations were inferior to both P-NGAL and P-creatinine (S1 Table). Similar calculations based on urinary biomarker concentration, without normalization to U-creatinine, did not change the conclusions (S2 Table).

Combining the predictive information from each of the individual, urinary biomarkers using multiple linear regression did not improve the correlations with mGFR on Day 5 or tCr50 (S1 Table). The correlations between U-NGAL alone and mGFR on Day 5 or tCr50 were stronger than any combination of urinary biomarkers.

Cold ischemic time did not correlate with U-L-FABP

A prolonged cold ischemic time was associated with a lower mGFR on Day 5 and prolonged tCr50 (p = 0.04 and p = 0.01, respectively). U-L-FABP correlated only weakly with cold ischemic time (S6 Fig).

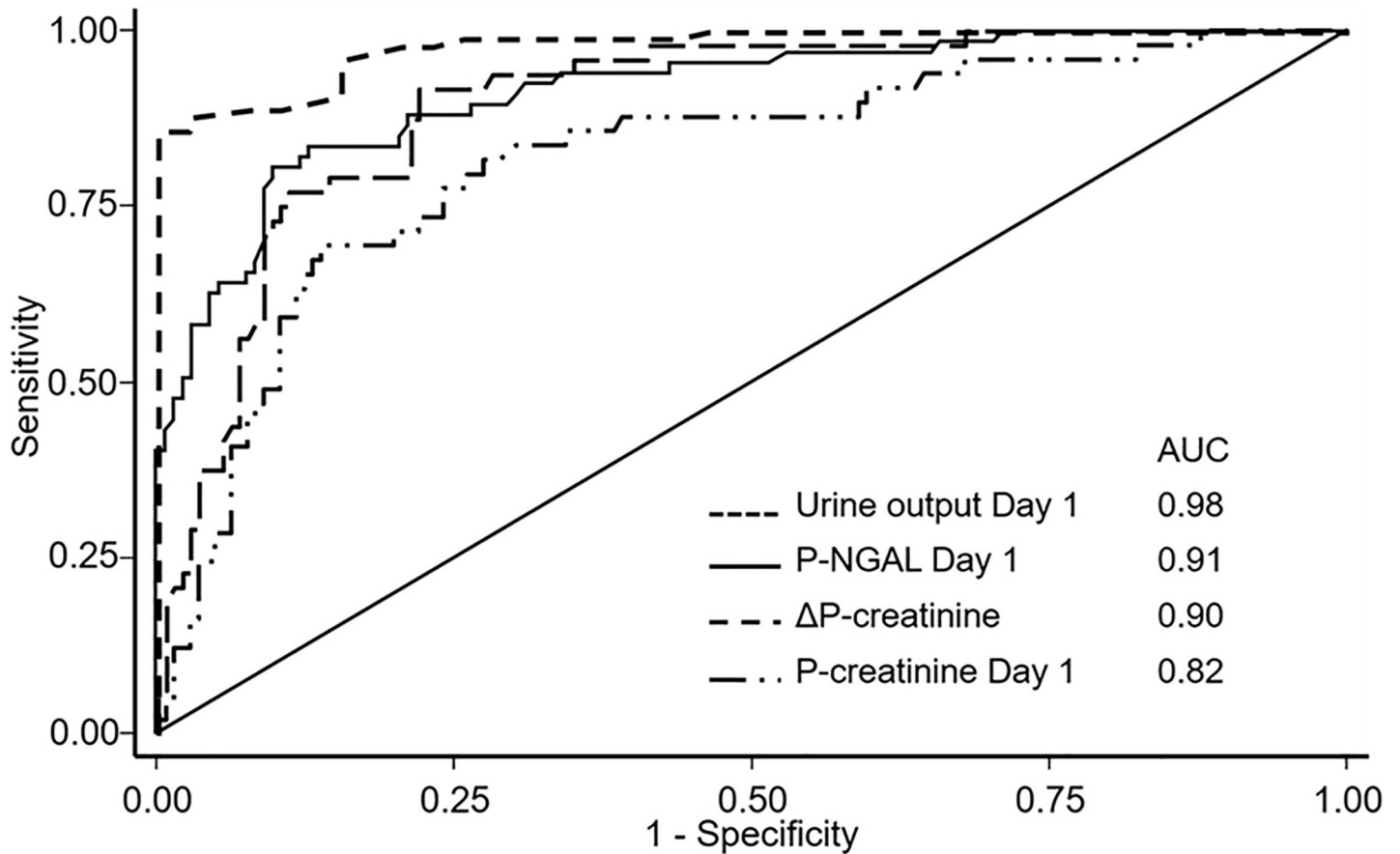


Fig 2. Prediction of DGF. ROC-analyses (AUC) showing the ability to predict DGF for the timed urine output until Day 1 (n = 138), P-NGAL level on Day 1 (n = 199), the change in P-creatinine levels from baseline to Day 1 (n = 194), and P-creatinine level on Day 1 (n = 195).

<https://doi.org/10.1371/journal.pone.0212676.g002>

Table 3. Correlations between P-NGAL, P-creatinine, or urine output and mGFR on Day 5 or tCr50.

	Time of sampling	mGFR Day 5									tCr50		
		Crude			Adjusted ^a			Adjusted ^b			n	p	r ² _{adj.}
		n	p	r ² _{adj.}	n	p	r ² _{adj.}	n	p	r ² _{adj.}			
P-NGAL	90 minutes	89	0.45	0.00	60	0.99	0.26	-	-	-			
P-NGAL	Day 1	81	<0.001	0.35	53	0.01	0.35	53	0.55	0.41	192	<0.001	0.31
P-NGAL	Day 3	86	<0.001	0.56	64	<0.001	0.61	64	<0.001	0.63	195	<0.001	0.52
P-creatinine ^c	Day 1	89	<0.001	0.30	60	0.001	0.39	60	0.12	0.45	189	<0.001	0.25
P-creatinine ^c	Day 3	84	<0.001	0.64	67	<0.001	0.66	67	<0.001	0.66	151	<0.001	0.52
Urine output ^d	Day 1	62	<0.001	0.24	60	<0.001	0.30	60	0.25	0.43	104	<0.001	0.24
Urine output ^d	Day 3	69	0.002	0.12	67	0.005	0.16	67	0.09	0.53	117	<0.001	0.13

^aadjusted for recipient age, recipient sex, cold ischemic time, treatment, and urine output.

^badjusted for ^a + change in P-creatinine from baseline to time of sampling (Day 1 or 3).

^cExcluding patients receiving post-transplant dialysis.

^dNot adjusted for urine output.

<https://doi.org/10.1371/journal.pone.0212676.t003>

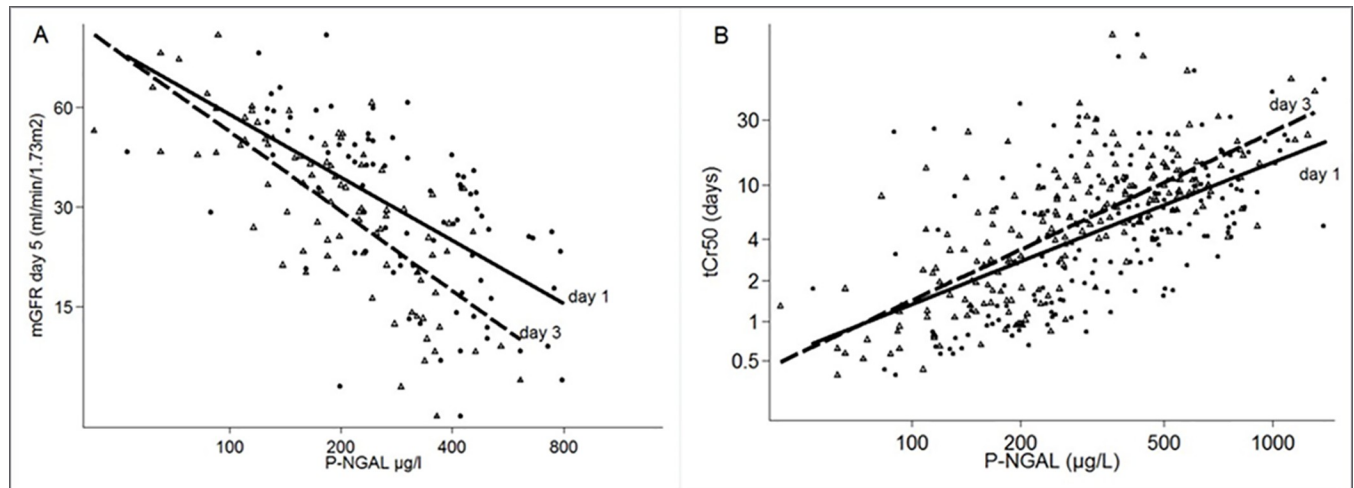


Fig 3. The correlation between P-NGAL levels on Day 1 and 3 and early kidney graft function. A: Correlation between P-NGAL measured on Day 1 (dots) or 3 (triangles) and mGFR at Day 5. B: Correlation between P-NGAL measured on Day 1 (dots) or 3 (triangles) and tCr50.

<https://doi.org/10.1371/journal.pone.0212676.g003>

Early biomarkers do not predict one-year graft function

Only very weak correlations were observed between the biomarkers on Day 1 and graft function at three or twelve months (Table 4). Early urine output did not correlate to any of the one-year graft function parameters.

Discussion

This study has identified a strong correlation between P-NGAL measured on Day 1 and the early kidney graft function. Furthermore, P-NGAL predicted DGF with acceptable sensitivity and specificity. Urinary biomarkers, either individually or combined were only weakly correlated to the initial graft function and DGF. In 62% of the patients, a 24hr urine output was recorded on Day 1. In these patients, P-NGAL was not superior to the urine output in predicting DGF, but the high number of missing samples limits the interpretation of this.

The finding that P-NGAL on Day 1 performed better when predicting DGF than P-creatinine on Day 1 is consistent with previous studies[3,20,32]. The AUC of Δ P-crea was similar to the AUC of P-NGAL suggesting that the change in P-creatinine within the first day may be as predictive as P-NGAL on Day 1. Interestingly, baseline P-NGAL prior to reperfusion was

Table 4. Correlations with graft function at three and twelve months. Simple linear regression showing the correlation between biomarkers or urine output measured on Day 1 and kidney graft function (mGFR or eGFR) at three and twelve months.

	Three months						Twelve months					
	mGFR			eGFR			mGFR			eGFR		
	n	p	r ² _{adj.}	n	p	r ² _{adj.}	n	p	r ² _{adj.}	n	p	r ² _{adj.}
P-NGAL	135	0.05	0.02	188	0.07	0.01	128	0.02	0.04	180	0.07	0.01
U-NGAL	117	0.99	-0.01	163	0.62	0.00	114	0.22	0.00	156	0.13	0.01
U-L-FABP	119	0.81	-0.01	165	0.30	0.00	115	0.97	-0.01	158	0.46	0.00
U-cystatin C	119	0.27	0.00	165	0.24	0.00	116	0.04	0.03	158	0.06	0.02
U-YKL-40	119	0.22	0.00	165	0.02	0.03	115	0.02	0.04	158	0.03	0.02
Urine output	86	0.05	0.04	121	0.49	0.00	79	0.15	0.01	116	0.81	-0.01

<https://doi.org/10.1371/journal.pone.0212676.t004>

elevated in patients who experienced DGF. This may indicate that recipient dependent factors may affect both P-NGAL and an increased risk of DGF. Higher baseline levels were observed in patients on dialysis prior to transplantation and these patients had as expected a higher risk of experiencing DGF than patients transplanted preemptive. This may partly depend on the residual function of the kidney. Unfortunately, data on residual function was not available in this cohort. Nevertheless, P-NGAL on Day 1 also predicted DGF in this subgroup.

None of the biomarkers correlated well with graft function at three or twelve months post-transplant. A review identified only one study showing that U-NGAL on Day 4 and Day 7 was associated with serum creatinine twelve months after kidney transplantation whereas the remaining, included studies found no association [22].

All urinary biomarkers, including U-albumin/creatinine ratio, correlated poorly with mGFR on Day 5 and tCr50 when compared to P-NGAL or P-creatinine. Their ability to predict DGF was also poorer than P-NGAL, P-creatinine or urine output. Two previous studies showed that U-NGAL on Day 2 predicted DGF better than P-creatinine, but no better than urine output [24,33]. Both studies were smaller with 40 and 170 transplants patients respectively. In contrast to these studies we measured the biomarkers in spot urine samples normalized to U-creatinine levels[15]. This lead to different results as GFR and thus the urine creatinine excretion rate is not in steady state immediately after kidney transplantation[34]. In addition, the inter-individual variation in muscle mass and possible muscle injury associated with surgery may also affect U-creatinine. Model calculations[34] and a previous study[2] have suggested that normalization to U-creatinine may overestimate the biomarker excretion rate; however, in our study the ability of these biomarkers to predict DGF was not improved when recalculated using urinary biomarker concentration rather than the ratio to U-creatinine. In contrast to previous studies[1,35] the combination of several urinary biomarkers using multiple regression analysis did not improve the correlation of urinary biomarkers with mGFR on Day 5 or tCr50. Our findings thus suggest that even though the urinary biomarkers may be pertinent in ischemia-reperfusion injury, their ability to predict early graft function and DGF is poor in a clinical setting and in part be affected by missing data mainly due to anuria.

The strength of this study is that a large, multicenter study on renal biomarkers in kidney transplantation. Moreover, the patients in the study represent an unselected population of deceased donor kidney transplant recipients. Our findings may be affected by the limitations associated with normalizing urinary biomarkers as mentioned above. Measuring the biomarker excretion rate in a 24hr urine sample may prove more sensitive. However, this would not only delay the measurements, but also be time consuming and possibly impractical in clinical practice. In this study the collection of timed urine samples was in fact only possible in a subset of the patients included in Aarhus and Gothenburg. Due to the clinical practice of routine blood and urine collection during daytime, the time between reperfusion and blood or urine sampling on Day 1 varied. In principle, this may cause additional variation in Day 1 biomarker levels and reduce sensitivity and specificity. However, we did not identify any systematic difference between the time interval and biomarker levels neither in patients with or patients without DGF indicating that this did not significantly affect the results. Furthermore, the sampling procedure reflects the clinical practice in which biomarkers would have to be applied.

In conclusion, P-NGAL measured on Day 1 post-transplant predicts DGF after deceased donor kidney transplantation and correlates with early graft function, while the urinary biomarkers U-NGAL, U-L-FABP, U-cystatin C, and U-YKL-40 correlated poorly and may not be useful for predicting DGF. The urine output on Day 1 was more accurate than P-creatinine and P-NGAL in predicting DGF; however, this is limited by the fact that a timed urine volume

was only measured in 62% of the patients. None of the biomarkers measured on Day 1 were useful for predicting graft function at three or twelve months.

Supporting information

S1 Fig. Time of sampling. Timeline showing the time points of mGFR measurements as well as blood and urine sampling.

(PDF)

S2 Fig. Urinary biomarkers and intervention. Biomarker levels (A: P-NGAL; B: U-NAGL; C: U-LABP, D: U-Cystatin C, E: U-YKL-40) depending on the intervention (ischemic conditioning (rIC)). No differences are observed in relation to intervention. White boxes = non-rIC.

Black boxes = rIC.

(PDF)

S3 Fig. P-NGAL and elapsed time. P-NGAL measured on Day 1 as a function of the elapsed time between kidney graft reperfusion and blood sampling. There is no significant correlation between P-NGAL-level and the time elapsed between reperfusion and first sampling for patients without DGF (•) and only a very weak, negative correlation for patients with DGF (x).

(PDF)

S4 Fig. Biomarkers and DGF. Time dependent changes of biomarkers levels depending on the presence of DGF or no DGF. A significant difference between P-NGAL, U-NGAL, U-Cystatin C and U-YKL-40, but not U-LABP, was observed at Day 1 and 3 after transplantation. Black boxes: DGF. White boxes: no DGF after transplantation.

(PDF)

S5 Fig. Urinary biomarkers and DGF prediction. ROC-analyses showing the ability of the urinary biomarkers on day 1 to predict DGF after transplantation.

(PDF)

S6 Fig. Cold ischemia time and U-L-FABP. The correlation between cold ischemia time and U-L-FABP at 90 min and 1 day after reperfusion. Only a very weak correlation was identified (90 min: $r = -0.20$; $p = 0.02$; Day 1: $r = 0.22$; $p = 0.003$).

(PDF)

S1 Table. Urinary biomarkers and kidney graft function. The correlation between urinary biomarker levels and mGFR at Day 5 or tCr50. Only weak correlations were observed between selected biomarkers at various sampling points and mGFR at Day 5 or tCr50. ³U-NGAL, U-L-FABP, U-cystatin C, U-YKL-40 and U-albumin combined using multiple linear regression. All biomarkers were normalized to U-creatinine.

(PDF)

S2 Table. The correlations of the urinary biomarkers and mGFR at Day 5 or tCr50 without normalization of the biomarkers to U-creatinine.

(PDF)

S1 Document. Protocol of the CONTEXT study in Danish.

(PDF)

S2 Document. Comments to the CONSORT checklist.

(DOC)

Acknowledgments

The efforts of study collaborators in Gothenburg, Rotterdam, and Groningen are greatly appreciated. A special thanks to Karin B. Christensen for assistance in the lab and Bo Martin Bibby for statistical assistance.

Author Contributions

Conceptualization: Nicoline V. Krogstrup, Mihai Oltean, Frank J. M. F. Dor, Bente Jespersen, Henrik Birn.

Data curation: Marie B. Nielsen, Nicoline V. Krogstrup, Gertrude J. Nieuwenhuijs-Moeke, Mihai Oltean, Frank J. M. F. Dor.

Formal analysis: Marie B. Nielsen.

Funding acquisition: Marie B. Nielsen, Nicoline V. Krogstrup.

Project administration: Marie B. Nielsen.

Supervision: Nicoline V. Krogstrup, Bente Jespersen, Henrik Birn.

Writing – original draft: Marie B. Nielsen.

Writing – review & editing: Marie B. Nielsen, Nicoline V. Krogstrup, Gertrude J. Nieuwenhuijs-Moeke, Mihai Oltean, Frank J. M. F. Dor, Bente Jespersen, Henrik Birn.

References

1. Hall IE, Doshi MD, Poggio ED, Parikh CR. A comparison of alternative serum biomarkers with creatinine for predicting allograft function after kidney transplantation. *Transplantation* 2011 Jan 15; 91(1):48–56. <https://doi.org/10.1097/TP.0b013e3181fc4b3a> PMID: 21441853
2. Pajek J, Skoberne A, Sosteric K, Adlesic B, Leskosek B, Bucar Pajek M, et al. Non-inferiority of creatinine excretion rate to urinary L-FABP and NGAL as predictors of early renal allograft function. *BMC Nephrol* 2014 Jul 16; 15:117-2369-15-117.
3. Hollmen ME, Kyllonen LE, Merenmies J, Salmela KT. Serum neutrophil gelatinase-associated lipocalin and recovery of kidney graft function after transplantation. *BMC Nephrol* 2014 Jul 28; 15:123-2369-15-123.
4. Summers DM, Johnson RJ, Allen J, Fuggle SV, Collett D, Watson CJ, et al. Analysis of factors that affect outcome after transplantation of kidneys donated after cardiac death in the UK: a cohort study. *Lancet* 2010 Oct 16; 376(9749):1303–1311. [https://doi.org/10.1016/S0140-6736\(10\)60827-6](https://doi.org/10.1016/S0140-6736(10)60827-6) PMID: 20727576
5. Tojimbara T, Fuchinoue S, Iwadoh K, Koyama I, Sannomiya A, Kato Y, et al. Improved outcomes of renal transplantation from cardiac death donors: a 30-year single center experience. *Am J Transplant* 2007 Mar; 7(3):609–617. <https://doi.org/10.1111/j.1600-6143.2007.01664.x> PMID: 17217439
6. van den Akker EK, Hesselink DA, Manintveld OC, Lafranca JA, de Bruin RW, Weimar W, et al. Ischemic postconditioning in human DCD kidney transplantation is feasible and appears safe. *Transpl Int* 2014 Feb; 27(2):226–234. <https://doi.org/10.1111/tri.12242> PMID: 24236960
7. Perico N, Cattaneo D, Sayegh MH, Remuzzi G. Delayed graft function in kidney transplantation. *Lancet* 2004 Nov 13–19; 364(9447):1814–1827. [https://doi.org/10.1016/S0140-6736\(04\)17406-0](https://doi.org/10.1016/S0140-6736(04)17406-0) PMID: 15541456
8. Siedlecki A, Irish W, Brennan DC. Delayed graft function in the kidney transplant. *Am J Transplant* 2011 Nov; 11(11):2279–2296. <https://doi.org/10.1111/j.1600-6143.2011.03754.x> PMID: 21929642
9. Kierulf-Lassen C, Nieuwenhuijs-Moeke GJ, Krogstrup NV, Oltean M, Jespersen B, Dor FJ. Molecular Mechanisms of Renal Ischemic Conditioning Strategies. *Eur Surg Res* 2015 Sep 2; 55(3):151–183. <https://doi.org/10.1159/000437352> PMID: 26330099
10. Guimaraes-Souza N, Dalboni MA, Canziani ME, Tedesco-Silva H, Batista MC, Sesso R, et al. Clinical implications of initial renal function after deceased donor transplant. *Transplant Proc* 2010 May; 42(4):1084–1089. <https://doi.org/10.1016/j.transproceed.2010.03.067> PMID: 20534229

11. Ojo AO, Wolfe RA, Held PJ, Port FK, Schmouder RL. Delayed graft function: risk factors and implications for renal allograft survival. *Transplantation* 1997 Apr 15; 63(7):968–974. PMID: [9112349](#)
12. Rosenthal JT, Danovitch GM, Wilkinson A, Ettenger RB. The high cost of delayed graft function in cadaveric renal transplantation. *Transplantation* 1991 May; 51(5):1115–1118. PMID: [2031264](#)
13. Schroppe B, Legendre C. Delayed kidney graft function: from mechanism to translation. *Kidney Int* 2014 Aug; 86(2):251–258. <https://doi.org/10.1038/ki.2014.18> PMID: [24522494](#)
14. Krogstrup NV, Bibby BM, Aulbjerg C, Jespersen B, Birn H. A new method of modelling early plasma creatinine changes predicts 1-year graft function after kidney transplantation. *Scand J Clin Lab Invest* 2016 Jul; 76(4):319–323. <https://doi.org/10.3109/00365513.2016.1161233> PMID: [27171580](#)
15. Mallon DH, Summers DM, Bradley JA, Pettigrew GJ. Defining delayed graft function after renal transplantation: simplest is best. *Transplantation* 2013 Nov 27; 96(10):885–889. <https://doi.org/10.1097/TP.0b013e3182a19348> PMID: [24056620](#)
16. Halawa A. The early diagnosis of acute renal graft dysfunction: a challenge we face. The role of novel biomarkers. *Ann Transplant* 2011 Jan-Mar; 16(1):90–98. PMID: [21436782](#)
17. Ferguson MA, Vaidya VS, Waikar SS, Collings FB, Sunderland KE, Gioules CJ, et al. Urinary liver-type fatty acid-binding protein predicts adverse outcomes in acute kidney injury. *Kidney Int* 2010 Apr; 77(8):708–714. <https://doi.org/10.1038/ki.2009.422> PMID: [19940842](#)
18. Malyszko J, Lukaszuk E, Glowinska I, Durlak M. Biomarkers of delayed graft function as a form of acute kidney injury in kidney transplantation. *Sci Rep* 2015 Jul 15; 5:11684. <https://doi.org/10.1038/srep11684> PMID: [26175216](#)
19. Cappuccilli M, Capelli I, Comai G, Cianciolo G, La Manna G. Neutrophil Gelatinase-Associated Lipocalin as a Biomarker of Allograft Function After Renal Transplantation: Evaluation of the Current Status and Future Insights. *Artif Organs* 2018 Jan; 42(1):8–14. <https://doi.org/10.1111/aor.13039> PMID: [29266311](#)
20. Kusaka M, Iwamatsu F, Kuroyanagi Y, Nakaya M, Ichino M, Marubashi S, et al. Serum neutrophil gelatinase associated lipocalin during the early postoperative period predicts the recovery of graft function after kidney transplantation from donors after cardiac death. *J Urol* 2012 Jun; 187(6):2261–2267. <https://doi.org/10.1016/j.juro.2012.01.033> PMID: [22503046](#)
21. Hollmen ME, Kyllonen LE, Inkinen KA, Lalla ML, Merenmies J, Salmela KT. Deceased donor neutrophil gelatinase-associated lipocalin and delayed graft function after kidney transplantation: a prospective study. *Crit Care* 2011; 15(3):R121. <https://doi.org/10.1186/cc10220> PMID: [21545740](#)
22. Ramirez-Sandoval JC, Herrington W, Morales-Buenrostro LE. Neutrophil gelatinase-associated lipocalin in kidney transplantation: A review. *Transplant Rev (Orlando)* 2015 Jul; 29(3):139–144.
23. van den Akker EK, Hesselink DA, Manintveld OC, IJzermans JN, de Bruijn RW, Dor FJ. Neutrophil Gelatinase-Associated Lipocalin, but Not Kidney Injury Marker 1, Correlates with Duration of Delayed Graft Function. *Eur Surg Res* 2015 Dec; 55(4):319–327. <https://doi.org/10.1159/000440718> PMID: [26451602](#)
24. Maier HT, Ashraf MI, Denecke C, Weiss S, Augustin F, Messner F, et al. Prediction of delayed graft function and long-term graft survival by serum and urinary neutrophil gelatinase-associated lipocalin during the early postoperative phase after kidney transplantation. *PLoS One* 2018 Jan 5; 13(1):e0189932. <https://doi.org/10.1371/journal.pone.0189932> PMID: [29304176](#)
25. Huang YC, Chang YS, Chen CC, Tsai SF, Yu TM, Wu MJ, et al. Urinary Liver Type Fatty Acid Binding Protein Is Negatively Associated With Estimated Glomerular Filtration Rate in Renal Transplant Recipients With Graft Loss. *Transplant Proc* 2018 May; 50(4):1083–1086. <https://doi.org/10.1016/j.transproceed.2018.01.029> PMID: [29731071](#)
26. Yamamoto T, Noiri E, Ono Y, Doi K, Negishi K, Kamijo A, et al. Renal L-type fatty acid-binding protein in acute ischemic injury. *J Am Soc Nephrol* 2007 Nov; 18(11):2894–2902. <https://doi.org/10.1681/ASN.2007010097> PMID: [17942962](#)
27. Herget-Rosenthal S, Poppen D, Husing J, Marggraf G, Pietruck F, Jakob HG, et al. Prognostic value of tubular proteinuria and enzymuria in nonoliguric acute tubular necrosis. *Clin Chem* 2004 Mar; 50(3):552–558. <https://doi.org/10.1373/clinchem.2003.027763> PMID: [14709451](#)
28. Schmidt IM, Hall IE, Kale S, Lee S, He CH, Lee Y, et al. Chitinase-like protein Brp-39/YKL-40 modulates the renal response to ischemic injury and predicts delayed allograft function. *J Am Soc Nephrol* 2013 Feb; 24(2):309–319. <https://doi.org/10.1681/ASN.2012060579> PMID: [23291472](#)
29. Krogstrup NV, Oltean M, Nieuwenhuijs-Moeke GJ, Dor FJ, Moldrup U, Krag SP, et al. Remote ischemic conditioning on recipients of deceased renal transplants does not improve early graft function: A multi-centre randomised, controlled clinical trial. *Am J Transplant* 2016 Oct 3.
30. Medeiros FS, Sapienza MT, Prado ES, Agena F, Shimizu MH, Lemos FB, et al. Validation of plasma clearance of ⁵¹Cr-EDTA in adult renal transplant recipients: comparison with inulin renal clearance.

Transpl Int 2009 Mar; 22(3):323–331. <https://doi.org/10.1111/j.1432-2277.2008.00799.x> PMID: 19055616

31. Levey AS, Bosch JP, Lewis JB, Greene T, Rogers N, Roth D. A more accurate method to estimate glomerular filtration rate from serum creatinine: a new prediction equation. Modification of Diet in Renal Disease Study Group. *Ann Intern Med* 1999 Mar 16; 130(6):461–470. PMID: 10075613
32. Lee EY, Kim MS, Park Y, Kim HS. Serum neutrophil gelatinase-associated lipocalin and interleukin-18 as predictive biomarkers for delayed graft function after kidney transplantation. *J Clin Lab Anal* 2012 Jul; 26(4):295–301. <https://doi.org/10.1002/jcla.21520> PMID: 22811364
33. Lacquaniti A, Caccamo C, Salis P, Chirico V, Buemi A, Cernaro V, et al. Delayed graft function and chronic allograft nephropathy: diagnostic and prognostic role of neutrophil gelatinase-associated lipocalin. *Biomarkers* 2016; 21(4):371–378. <https://doi.org/10.3109/1354750X.2016.1141991> PMID: 26900638
34. Waikar SS, Sabbiseti VS, Bonventre JV. Normalization of urinary biomarkers to creatinine during changes in glomerular filtration rate. *Kidney Int* 2010 Sep; 78(5):486–494. <https://doi.org/10.1038/ki.2010.165> PMID: 20555318
35. Hall IE, Stern EP, Cantley LG, Elias JA, Parikh CR. Urine YKL-40 is associated with progressive acute kidney injury or death in hospitalized patients. *BMC Nephrol* 2014 Aug 15; 15:133-2369-15-133.