

Citation: Wozniak-Knopp G, Stadlmayr G, Perthold JW, Stadlbauer K, Gotsmy M, Becker S, et al. (2018) An antibody with Fab-constant domains exchanged for a pair of C_H3 domains. PLoS ONE 13(4): e0195442. https://doi.org/10.1371/journal.pone.0195442

Editor: Krishna M.G. Mallela, University of Colorado Anschutz Medical Campus, UNITED STATES

Received: September 13, 2017

Accepted: March 22, 2018

Published: April 9, 2018

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Data Availability Statement: All relevant data are within the paper and its Supporting Information files.

Funding: This study was funded by Christian Doppler Research Society (Christian Doppler Gesellschaft, CDG, Austria) to GWK, (CD Laboratory for Innovative Immunotherapeutics) and F-star (www.f-star.com). These funders had no role in the study design, data collection and analysis, decision to publish, or preparation of the

RESEARCH ARTICLE

An antibody with Fab-constant domains exchanged for a pair of C_H3 domains

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Abstract

We have designed a complete antibody-like construct where the C_H1 and C_K domains are exchanged for a pair of the C_H3 domains and efficient pairing of the heavy and light variable domain is achieved using "Knobs-into-Holes" strategy. This construct, composed of only naturally occurring immunoglobulin sequences without artificial linkers, expressed at a high level in mammalian cells, however exhibited low solubility. Rational mutagenesis aimed at the amino acid residues located at the interface of the variable domains and the exchanged C_H3 domains was applied to improve the biophysical properties of the molecule. The domain-exchanged construct, including variable domains of the HER2/neu specific antibody trastuzumab, was able to bind to the surface of the strongly HER2/neu positive cell line SK-BR3 4-fold weaker than trastuzumab, but could nevertheless incite a more potent response in an antibody-dependent cell cytotoxicity (ADCC) reporter assay with FcyRIIIa-overexpressing T-cells. This could be explained with a stronger binding to the FcyRIIIa. Importantly, the novel construct could mediate a specific ADCC effect with natural killer cells similar to the parental antibody.

Introduction

The IgG molecule is a globular protein composed of two identical heavy chains, folded into four domains, and two identical light chains, folded into two domains. Two of these units are Fab fragments composed of each V_H , $C_H 1$, V_L and C_L domain, and the third unit is the Fc fragment, a homodimer of two $C_H 2$ and two $C_H 3$ domains. Domain members of the immunoglobulin superfamily are characterized by their β -sandwich topology comprising two β -sheets, each forming a Greek-key folding motif [1]. Despite the remarkable variation in sequence and function, the members of the immunoglobulin superfamily exhibit few distinct variable folding sets [2]. C-class immunoglobulin domains are of particular interest because of their high stability and the potential to elicit effector functions. Although mostly the variable domains are engaged with determining the antibody specificity and the Fc fragment with triggering the phenomena related to the biological effect of an antibody such as lysis or phagocytosis of target cells via binding to



manuscript. Merck KGaA provided support in the form of salary for author SB, but did not have any additional role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript. The specific role of this author is articulated in the 'author contributions' section.

Competing interests: We have the following interests: Stefan Becker is an employee of Merck KgaA. Partial funding for this study was received from F-star (www.f-star.com). There are no patents, products in development or marketed products to declare. This does not alter our adherence to all the PLOS ONE policies on sharing data and materials.

the different Fc receptor molecules, the efforts to functionalize constant domains of antibodies to harbor newly designed antigen binding sites and simultaneously incite effector functions have already been successful [3, 4] and even proceeded to the point of clinical relevance [5]. Several successful improvements of the stability of constant domains have been reported [6–8], which illustrates their potential for further functionalization of antibodies.

There is little published data on how the Fab constant domains can contribute to the conformational stability and subsequently antigen binding mediated by variable domains. It has been shown that both C_H1 and C_L domains are required for stabilization and only the disulfide bridged C_H1/C_L pair has reached the stability of the most stable variable domains [9]. A systematic study aimed at rational stability engineering of a mouse IgG1 Fab fragment has exploited (1) the mutagenesis of the flexible loop connecting the first two β -strands in the C_H1 domain, (2) introduction of hydrophobic residues in the hydrophobic core regions of C_H1 and C_L and (3) introduction of hydrophobic residues into the two largest hydrophobic cavities at the interface of the C_H1 and C_L -domains and the final strategy proved beneficial for both the thermal stability and the expression level of the antibody fragment [10].

In the antibodies the movement of the V_H - V_L dimer relative to the C_H 1- C_L dimer involves the interactions of three V_H and two C_H 1 residues that form the molecular equivalent of a ball-and-socket joint [11], and similar features have been described in the C_H 2- C_H 3 interface [12]. Although the C_H 2- C_H 3 interface is tightly packed, water structure can be observed in the high resolution structures of Fc fragments of different classes of IgGs. The C_H 2- C_H 3 domain interface is conserved across all antibody isotypes and stabilized with 2 salt bridges, 2 hydrogen bonds and further via interactions over bridging water molecules [13]. There is however no systematic generalization that could correlate the relative position of the C_H 2 to the C_H 3 domains and the functional differences in the corresponding antibodies, such as Fc receptor binding [13].

In naturally occurring antibodies, heavy and light chain of the Fab fragment are usually connected with a disulfide bond that is structurally located at the bottom of the constant domains. The exact position of the cysteine and the torsion angle hence formed between the C-termini of the $C_H 1$ domain and the C_L domain differ among the antibodies and this appears to influence strongly their stability as well as their ability to bind to $Fc\gamma$ receptors ($Fc\gamma R$), as illustrated by the example of deletion of the naturally occurring serine residue from the sequence of the antibody chains of the lambda class, which improved their resistance to chemical degradation as well as their potency in target cell lysis via antibody-dependent cell cytotoxicity (ADCC) [14].

The homology in the structure of $C_H 1/C_K$ heterodimer and the $C_H 3/C_H 3$ domain pair has prompted us to attempt to exchange the pair of the constant domains of the variable region of trastuzumab (Herceptin®) with a pair of C_H3 domains. The region between the variable and constant domains was composed only of their naturally present amino acids. To improve the yield of heterodimeric species, the minimal mutational variant of "Knobs-into-Holes" (Thr366Tyr/Tyr407Thr) [15] or ZW1 heterodimerization mutations [16], assigning a higher thermostability to the construct, were introduced. The 3 C-terminal amino acid residues of the newly introduced $C_{\rm H}3$ domains were replaced for the Fab-inherent C-terminal sequences that enable the formation of an interdomain cysteine bond analogous to one connecting $C_H 1$ and C_K domains [17]. This modification led to an antibody-like molecular species (TRA-C_H3_{KiH} or TRA-C_H3_{ZW1}) that was in over 95% homodimeric, however exhibited low solubility and a lower avidity to the cell-bound antigen HER2/neu than the parental antibody. As shown with analogously designed Fab-like constructs (TRA-Fab-C_H3_{KiH} or TRA-Fab-C_H3_{ZW1}), monovalent antigen binding was less affected by the exchange of constant domains. Several point mutations, intended to optimize the novel interface between variable and constant domains, were tested for their influence on the thermal stability and the solubility of the initial construct. Although the binding of the domain-exchanged antibody to



the strongly HER2/neu—positive cell line SK-BR3 was about 4-fold weaker than trastuzumab, it was surprisingly able to elicit a more potent response of Fc γ RIIIa-overexpressing T-cells in an ADCC reporter bioassay, which could be explained with its stronger binding to the Fc γ RIIIa. In an ADCC assay with natural killer cells from na $\ddot{\nu}$ e donors, the domain-exchanged antibody was able to lyse the target cells to the same extent as the unmodified trastuzumab antibody.

Materials and methods

Design of the domain-exchanged antibody

The pair of the C_H3-domains (source: PDB 1OQO) was superimposed with the coordinates of the trastuzumab Fab fragment (source: PDB 1FVE, chains A and B) and resulted in an allatom RMSD (root-mean-square deviation) after outlier rejection of only 1.62 Å of the overlay of both structures (Fig 1A). This result indicates a high degree of structural similarity between the constant domains. For the heavy chain the superimposition suggested the best fit when the last residue of the V_H was Gly122 (EU numbering scheme) and the start of the C_H3 domain was Glu345 (amino acid sequences of all constructs are listed in S1 Table). For the light chain the best fit was with Ala111 as the last residue of the V_{κ} and Glu345 as the start of the C_H3 domain. The C-terminal residues of the C_H3 domain (ProGlyLys) were modified to LysSerCys when fused with the V_H and to GlyGluCys when fused with the V_K, to allow the formation of an interdomain cysteine bond [17]. The amino acid sequence of the heavy chain of the construct continued into an unmodified hinge region, C_H2 domain and C_H3 domain sequence of the IgG1 (S1 Table). Efficient heterodimerization of the light and heavy chain was achieved by the introduction of the "Knob" mutation Thr366Tyr into V_H-linked C_H3 domain and the "Hole" mutation Tyr407Thr of the V_{κ} -linked C_H3 domain (Fig 1B). This constellation was favored because one could expect a relatively larger amount of undesired "Hole-Hole" homodimers comparing with "Knob-Knob" homodimers upon expression [15], which are purified with Protein A along with the desired heterodimeric species. This construct was named TRA-C_H3_{KiH} and the analogous construct with the ZW1-heterodimerization mutations was named TRA- C_H3_{ZW1} .

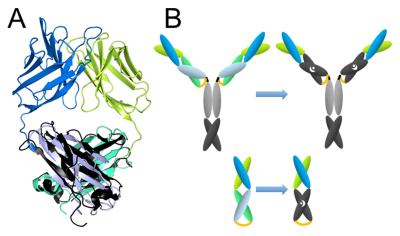


Fig 1. Graphical representation of the domain-exchanged antibody. (A) Superimposition of cartoon diagrams of structures of the 4D5 fragment (PDB: 1FVE) and C_H3 domains (PDB: 1OQO). The figure was prepared using PyMol Molecular Graphics System. (B) Schematic of domain-exchanged antibody and the analogous Fab-like fragment. V_H : dark blue, C_H1 : light blue, V_κ : lemon, C_κ : teal, C_H2 : gray, C_H3 : black. Heterodimerization motif is indicated with white symbols dot and crescent for "Knob and Hole". Cysteine bond connecting the novel C_H3 domains is in orange.

https://doi.org/10.1371/journal.pone.0195442.g001



Optimization of the variable domain—C_H3 interface

To improve biophysical characteristics such as thermostability and solubility of the domainexchanged antibodies, an optimization of the newly created variable domain-CH3 interface was performed. To guide the selection of possible stabilizing mutations, a structural model of the TRA-C_H3_{KiH} Fab part was constructed. The structure of a C_H3-domain dimer (source: PDB 1OQO) was superimposed to the constant domains of the trastuzumab Fab fragment (source: PDB 1FVE, chains A and B) using the PyMOL Molecular Graphics System (Schrödinger, LLC.). The constant domains of the trastuzumab Fab were then removed and the variable domains connected to the C_H3-domains according to the sequences given in S2 Table. The connecting residues were rotated slightly around their Phi/Psi dihedral angles to allow geometrically for the formation of the peptide bonds between the variable and C_H3-domains. To remove possible high-energy conformations, especially in the regions of the newly created interface and of the residues connecting the variable and the constant domains, the constructed molecule was energy-minimized using the RepairPDB function of the FoldX software [18] (version 3.0b6). Potential mutations that could contribute to optimization of the novel variable and constant domain interface were identified by visual inspection of the modelled $TRA-C_{H}3_{KiH}$ Fab molecule and comparison to the variable domain- $C_{H}1-C_{L}$ interface. Models of the investigated mutants (shown in S1 Fig) were constructed from the structural model of the wild-type TRA-C_H3_{KiH} Fab using the mutagenesis wizard of PyMOL, which selects sidechain rotamers of the mutated residues based on their occurrences in protein structures.

Mutagenesis and production of the recombinant protein

The chains of the domain-exchanged mutants (amino acid sequences in S1 Table) were cloned as a fusion protein of V_{H^-} and V_{κ^-} with a C_{H^-} 3 domain into a pTT5 vector (CNRC). Knob-into-Hole and ZW1 mutations driving heterodimerization (S1 Table) as well as the interface stabilizing mutations (S2 Table) were introduced using Quikchange Lightning Site-Directed Mutagenesis kit (Agilent), exactly according to manufacturer's instructions, using the oligonucleotides listed in S3 Table. HEK293-6E cells (CNRC) were transfected with the heavy and the light chain of the construct in 1:1 mass ratio and kept under 5% CO_2 in humidified atmosphere at 37°C on an orbital shaker at 180 rpm for the 5-day-course of protein production. Supernatant was then harvested by centrifugation and purified using one-step Protein A chromatography: after loading, the column was washed with 0.1M Na-phosphate buffer, pH 7.0, elution was achieved with 0.1M glycine, pH 3.5, and protein containing fractions were neutralized immediately by addition of 2M Tris and dialysed into phosphate-buffered saline (PBS) overnight at 4°C. Multimeric fraction was removed using gel filtration with a HiLoad 16/600 Superdex 200 pg column.

Size exclusion chromatography (SEC)

Shimadzu LC20A Prominence system equipped with a diode array and a refractive index detector was used to perform size exclusion HPLC with Superdex 200 Increase 10/300 GL. The mobile phase buffer was PBS with 200 mM NaCl. Chromatography was performed with a constant flow rate of 0.75 ml/min. A total of 20 μ g of protein were loaded on the column for analysis. Gel filtration standard was from Bio-Rad and included proteins of 670, 158, 44, 17 and 1.35 kDa in size.

Mass spectrometry (MS)

Protein analysis. The samples were deglycosylated using PNGase F prior to the measurement. To determine the correct pairing of the heterodimer chains, the proteins were directly injected to a liquid chromatography-electrospray ionization-mass spectrometry (LC-ESI-MS)



system (LC: Dionex Ultimate 3000 LC). A gradient from 20 to 80% acetonitrile in 0.05% trifluoroacetic acid (using a Thermo ProSwift™ RP-4H column of 0.2 x 250 mm dimensions) at a flow rate of 8 µL/min was applied (30 min gradient time). Detection was performed with a Q-TOF instrument (Bruker maXis 4G) equipped with the standard ESI source in positive ion, MS mode (range: 750–5000 Da). Instrument calibration was performed using ESI calibration mixture (Agilent). Data was processed using Data Analysis 4.0 (Bruker) and the spectrum was deconvoluted by MaxEnt.

Glycan analysis. Glycosylation was analyzed using LC-ESI-MS analysis of peptides originating from protease treatment. The samples were digested in solution. The proteins were S-alkylated with iodoacetamide and digested with Trypsin (Promega). The digested samples were loaded on a BioBasic C18 column (BioBasic-18, 150 x 0.32 mm, 5 μ m, Thermo Scientific) using 65 mM ammonium formiate buffer as the aqueous solvent. A gradient from 5% B (B: 100% acetonitrile) to 32% B in 35 min was applied, followed by a 15-min gradient from 32% B to 75% B that facilitates elution of large peptides, at a flow rate of 6 μ l/min. Detection was performed with QTOF MS (Bruker maXis 4G) equipped with the standard ESI source in positive ion, DDA mode, switched to MS/MS mode for eluting peaks). MS-scans were recorded (range: 150–2200 Da) and the 3 highest peaks were selected for fragmentation. Instrument calibration was performed using ESI calibration mixture (Agilent).

Manual glycopeptide searches were made using DataAnalysis 4.0 (Bruker). For the quantification of the different glycoforms the peak areas of EICs (Extracted Ion Chromatograms) of the first four isotopic peaks were summed, using the quantification software Quant Analysis (Bruker).

Differential scanning calorimetry (DSC)

DSC experiments were performed using an MicroCal PEAQ-DSC Automated system (Malvern). Protein samples at 5 μ M in PBS were heated from 20 °C to 110 °C at 1 °C/min heating rate, cooled *in situ* and heated again under the same conditions. The second scan was used as a baseline to subtract from the thermogram resulting from the first scan. After normalization, data were evaluated using Origin 7.0 for DSC and fitting was performed using the non-2-state transition mechanism. Melting temperature (Tm) values presented are an average derived from at least three thermal scans.

Cell surface binding

HER2/neu-positive cell line SK-BR3 and antigen negative cell line MB-MDA468 were cultured in DMEM with 10% fetal calf serum (FCS), 4 mM glutamine and 1x penicillin-streptomycin at 37°C and 5% CO₂. The cells were harvested and diluted to 100 000 cells/ well, blocked on ice for 30 min with 2% bovine serum albumin (BSA)-PBS, and stained with trastuzumab and trastuzumab-derived constructs in a 3-fold dilution series in blocking buffer on ice for 30 min. The binding of the antibodies was detected after staining with an anti-C_H2-fluorescein isothiocyanate (FITC) conjugate (Bio-Rad), diluted 1:200 in 2% BSA-PBS for 30 min on ice. To examine the staining with trastuzumab (TRA)-Fab derived constructs, cells were first incubated with a 3-fold dilution series of the antibody fragment starting with 100 nM, and the detection was with anti-kappa-FITC conjugate (Sigma-Aldrich) for TRA-Fab and anti- γ chain-FITC conjugate (Sigma-Aldrich) for TRA-Fab and cells were gated away using 7-aminoactinomycin D (7-AAD). Mean fluorescence intensity of the live cell population was detected and the values corresponding to the percent of maximal fluorescence were fitted with a 4-parameter curve using Sigma Plot 13.0 program. EC₅₀ values presented are derived from two experiments where the individual stainings were performed in duplicates.



ADCC reporter bioassay

The first test of the biological activity of the antibody was the ADCC Reporter Bioassay (Promega), which gives a readout at an early point in ADCC pathway activation: the activation of gene transcription through the nuclear factor of activated T-cells (NFAT) pathway in the effector cells. The effector cells are engineered Jurkat cells stably expressing the Fc γ RIIIa receptor, V158 (high affinity) variant, and an NFAT response element driving expression of firefly luciferase. Biological activity of the antibody is quantified through the luciferase produced as a result of NFAT pathway activation and luciferase activity in the effector cell is quantified with luminescence readout.

For this test, 15 000 SK-BR3 cells per well were seeded in 100 μ l culture medium and kept at 37°C, 5% CO₂, in humidified atmosphere overnight. Then the culture medium was removed and replaced with 25 μ l RPMI containing 4% low-IgG serum. Antibodies were added in 25 μ l in 3-fold serial dilution starting from 20 nM concentration, and finally engineered Jurkat-FcyRIIIa reporter cells were added in 25 μ l RPMI with 4% low-IgG serum at a ratio of effector to target cells (E:T) of 5:1. After a 6-h-incubation at 37°C, plates were removed and equilibrated to room temperature. The luminescent substrate was added and the luminescent signal measured in a Tecan microplate reader. The data was fit using 4-parameter curve using Sigma Plot 13.0. EC₅₀ values presented are an average of three experiments. HER2/neu-negative MB-MDA468 cell line as target cells were used as a negative control.

Binding to FcyRIIIa

The relative affinity constant of trastuzumab and the modified constructs towards Fc γ RIIIa was measured by Biolayer Interferometry (BLI) using an Octet system (Pall Forte Bio Europe) and Forte Bio Acquisition Software in 96-well-microtiter plates at 30 °C with orbital sensor agitation of 1000 rpm. Fc γ RIIIa (R&D Systems) was loaded onto His2 biosensors, a baseline was established in PBS buffer supplemented with 10% (v/v) 10x Kinetics buffer (Pall Forte Bio Europe) followed by 300 s association of the analyte at graded concentrations starting from 2.5 μ M. Sensors were then rinsed in 1x Kinetics Buffer for 600 s. Double reference was recorded in 1x Kinetics Buffer and was subtracted from all measurements. Octet Analysis Software version 6.4 was used for automatic data processing. Biosensor data were fit using a 1:1 binding model.

ADCC assay with NK cells

NK cells were isolated from blood from naïve donors using negative selection. Venous blood was collected into citrate tubes (Beckton Dickinson) and incubated with RosetteSepTM Human NK Cell Enrichment Cocktail (Stemcell Technologies) for 20 min at RT. The mix was overlayed onto a Ficoll-Paque layer in Sep-Mate tubes (Stemcell technologies) and the NK cells were collected in the upper layer after centrifugation at 1200g for 10 min with brake on. After two wash steps with 2% low IgG serum-PBS at 300g for 8 min, cells were counted and their purity was verified by staining with an anti-human CD56 antibody (Stemcell Technologies). They were then added in a E:T ratio of 5:1 to the target SK-BR3 cells or the control MB-MDA468 cells that were seeded on the previous day at 10 000 cells/well into a 96-well plate and preincubated with graded concentrations of test proteins for 20 min at 37°C. Human IgG1 isotype antibody (Sigma) was used at a concentration of 30 nM as a negative control. Cetuximab antibody at a concentration of 66 nM was used to induce lysis of MB-MDA468 cells. Incubation was for 6 h at 37°C. Finally, cell lysis was determined using Cytotox-GloTM Cytotoxicity Assay (Promega). AAF-GloTM reagent (Promega) was added, incubated for 15 min at RT and the luminescence was recorded with a Tecan Reader Spectrometer. Percent lysis was calculated from readings of duplicate test wells. Luminescent signals of the wells where the cells were lysed with digitonin



according to the manufacturer's instructions were considered complete lysis and the values corresponding to the cells without antibody coating were considered as background originating from spontaneous lysis and were subtracted.

Results

Expression of the domain-exchanged antibody

Chains of the $TRA-C_H3_{KiH}$ and the corresponding monovalent constructs (schematic representation in Fig 1) were simultaneously transfected into HEK293-6E cells and yields of about 100 mg/l supernatant after Protein A purification were achieved. The solubility of the protein was 1.8 mg/ml after dialysis into PBS, pH 7.4. The protein was over 95% monomeric as shown by HPLC-SEC in native conditions and the multimeric fraction could be removed with a single step of SEC (Fig 2A). With $TRA-C_H3_{ZW1}$ similar yields and solubility were observed. This mutant was continuously precipitating upon storage and was therefore not used for antigen binding experiments and studies of biological activity.

Mass spectrometry analysis of TRA and TRA-C_H3_{KiH} showed a homogenous spectrum, in each sample only one variant was detected (S1 Fig). All samples were lacking the terminal lysine

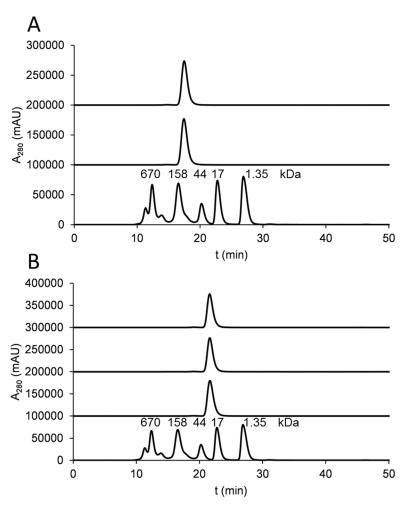


Fig 2. The HPLC profiles of the domain-exchanged antibody and the corresponding Fab-like fragments. (A) From top to bottom: trace for TRA- $C_{H}3_{KiH}$, trastuzumab and the gel filtration standard. (B) From top to bottom: trace for TRA-Fab- $C_{H}3_{ZW1}$, TRA-Fab- $C_{H}3_{KiH}$, 4D5 Fab and the gel filtration standard.

https://doi.org/10.1371/journal.pone.0195442.g002



on the heavy chain, were completely deglycosylated and all cysteine bridges were formed. For both samples, the measured molecular weight matched the theoretical molecular weight (calculation shown in the S4 Table).

The glycan pattern was investigated with an LC-ESI-MS analysis of peptides originating from protease treatment, and was similar for TRA and TRA-C_H3_{KiH} (S1 Fig). The major glycoforms are complex type glycans (major glycan GnGnF). Other glycoforms (Man5, GnMF, AGnF, AAF, NaAF, NaNaF and GnGn) were detected as well. In the S5 Table the relative proportions of the different glycoforms are shown (quantified by integration of EIC of the first 4 isotopic peaks). All samples contained less than 1% of the non-glycosylated peptide EEQYNSTYR. The proglycan nomenclature (http://www.proglycan.com/protein-glycosylation-analysis/nomenclature) was used and only one possible isomer is annotated.

The Fab fragment of trastuzumab (TRA-Fab) and the corresponding fragments with exchanged constant domains (TRA-Fab- $C_{\rm H}3_{\rm KiH}$ and TRA-Fab- $C_{\rm H}3_{\rm ZW1}$) were expressed in HEK293-6E cells and purified with Protein A affinity chromatography at a similar yield to the unmodified Fab fragment of about 100 mg/l. The amount of aggregate was 10% for TRA-Fab- $C_{\rm H}3_{\rm KiH}$ and 9% TRA-Fab- $C_{\rm H}3_{\rm ZW1}$ and could be removed with a single step of SEC (Fig 2B).

Thermal stability

For trastuzumab, differential scanning calorimetry revealed two transitions: at 72.60 ± 0.26 and $80.69\pm0.20^{\circ}$ C (Fig 3A), which fits well to published data [19]. For the TRA-C_H3 three transitions could be observed: at $65.25\pm0.09^{\circ}$ C, $70.30\pm0.07^{\circ}$ C and $81.95\pm0.01^{\circ}$ C. The last transition corresponds to the melting of the unmodified $C_{H}3$ domain and the first two transitions result hence from several sequential phenomena such as denaturation of the $C_{H}3_{KiH}$ domains, denaturation of the $C_{H}2$ domains, dissociation of $C_{H}2$ and $C_{H}3$ domains, thermal denaturation of the variable domains of the Fab fragment and their dissociation from the newly introduced pair of $C_{H}3$ domains [20–22]. A similar degree of thermal destabilization upon constant domain exchange was observed for monovalent fragment TRA-Fab- $C_{H}3_{KiH}$, which denatured in a single thermal transition at $66.58\pm0.10^{\circ}$ C while for unmodified TRA-Fab a Tm of $81.87\pm0.13^{\circ}$ C could be observed (Fig 3B). Destabilization to melting temperatures of 69° C or less has been reported previously for heterodimers of $C_{H}3$ domains with mutations introduced at the core interface [23]. TRA-Fab- $C_{H}3_{ZW1}$ was of a higher thermal stability with 2 transitions at $71.08\pm0.25^{\circ}$ C and $86.87\pm0.54^{\circ}$ C, resulting from design of heterodimeric $C_{H}3$ with improved stability [16] and an additional C-terminal cysteine bond [17].

Optimization of the variable domain—C_H3 interface

To improve the interaction contacts between variable domains and newly introduced constant domains, several point mutations and their combinations were introduced in the sequence of the original TRA- C_{H3}_{KiH} construct (S2 Fig, S2 Table). A structural model of the TRA- C_{H3}_{KiH} Fab part was constructed to identify possible mutation sites in the novel domain interface. Potential mutations that could contribute to optimization of the novel variable and constant domain interface were identified by visual inspection of the modelled molecule and comparison to the variable domain– C_{H1} - C_{L} interface. A summary of the rationales of the selected mutations and of the effects on thermostability is given in S2 Table. HPLC-SEC of all constructs was performed and the aggregate amounted to less than 5% of total protein for all constructs tested (S3 Fig). All constructs eluted at a time typical for trastuzumab, corresponding to 158 kDa as indicated by the molecular weight marker. Thermostability of the mutants in comparison with TRA- C_{H3}_{KiH} is presented in S4 Fig.



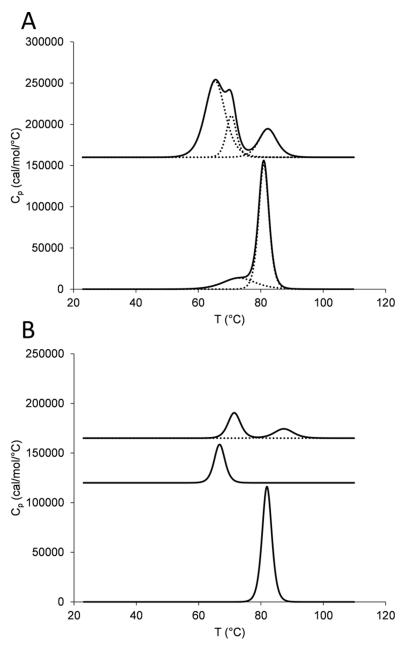


Fig 3. Thermal stability of the domain-exchanged antibody and the Fab-like fragments. (A) Deconvolution of the thermogram for TRA- $C_H 3_{KiH}$ (upper trace) and trastuzumab (lower trace), (B) from top to bottom: deconvolution of the thermogram of TRA-Fab- $C_H 3_{ZW1}$, TRA-Fab- $C_H 3_{KiH}$ and 4D5 Fab.

https://doi.org/10.1371/journal.pone.0195442.g003

Heavy chain

Positions Ser375 and Asp376. The alteration of the amino acid at position 376 aimed at an increase in hydrophobic packing of the interface that could exert a stabilizing effect on the conformational rigidity, and was first attempted by the introduction of a Leu residue. However, this both destabilized the molecule (Tm at 63.90 ± 0.01 , 70.14 ± 0.00 and 82.45 ± 0.01 °C) and decreased the solubility to $630~\mu g/ml$, which could be explained by greater solvent exposure of the Leu residue as suggested by the structural model. The destabilizing effect could however be



alleviated by the mutation Ser375Asp. Double mutant Ser375Asp/Asp376Leu exhibited both an increased thermal stability (Tm at 64.40±0.12, 69.76±0.19 and 82.60±0.39°C) and an improved solubility over 2.6 mg/ml in PBS. To account either for overpacking of the hydrophobic cavity or to introduce a residue that is of a more appropriate van der Waals' radius, the smaller residue Val was introduced at the position 376, and the Asp at the position 375 remained as a residue that could form hydrogen bonding to the water network between the variable and constant domains.

Position Phe404. The mutation Phe404Tyr was introduced to decrease the hydrophobicity of the formerly buried interface residue which is possibly solvent exposed in the variable-domain– C_H3 interface. Moreover, the corresponding residue in the C_H1 domain identified by the structural alignment is also Tyr (Tyr180). The mutant was created to increase solubility of the domain-exchanged antibody. This mutant exhibited both an increase in thermostability (Tm at 66.56 ± 0.18 , 70.67 ± 0.29 and 82.81 ± 0.01 °C) as well as an improvement in the solubility in PBS that was now 4.4 mg/ml. As the analysis of the structural model suggested that Asp375 could also form a hydrogen bond to the Tyr404, a combination of the three mutations Ser375-Asp/Asp376Val/Phe404Tyr was introduced into one chain. Judging from the thermogram overlay (S4 Fig), the combined mutant was of higher thermostability than the parental molecule TRA- C_H3_{KiH} H:Phe404/Tyr.

Position Gly10 in the variable heavy domain. Upon the visual inspection of the heavy chain structure, the stretch of amino acids Gly8/Gly9/Gly10 appeared a promising target for mutagenesis, especially as at the position 10 a bulkier amino acid characteristic for the motive Gly8/Ala9/Glu10 is common in several antibody germlines of human variable heavy domain families V_H1 , V_H5 and V_H7 [24]. In the proposed mutant Gly10Arg a stabilizing salt bridge could be formed to Glu430 of the newly introduced C_H3 domain. The mutant Gly10Arg indeed exhibited an improved stability in comparison with the unmodified TRA- C_H3_{KiH} judging from overlays of their thermograms (S4 Fig).

Light chain

Position Ser375. At this position a mutation to Arg was intended to introduce a stabilizing salt bridge to the residue Glu105 of the variable domain of the light chain, similarly as in the wild-type Fab sequence. This mutant indeed exhibited an improved thermostability. The mutant Ser375Lys was constructed to test another basic amino acid in this position, however the thermostability of this construct was on the level of the unmodified TRA- $C_{\rm H}3_{\rm KiH}$ judging from the thermogram overlays in S4 Fig.

Position Phe404. The mutation Phe404Tyr was introduced because Tyr possibly could form a stabilizing hydrogen bond with Glu105 and the corresponding residue in the C_{κ} domain identified by the structural alignment was also a Tyr (Tyr173). The mutation indeed increased the thermostability of the modified protein as intended (Tm at 66.01±0.06, 70.63±0.13 and 82.77±0.07°C).

Position Glu430. The mutation Glu430Gln was introduced to remove unbalanced charge as this residue in the unmodified Fc fragment forms a salt bridge with Lys338 located in the $C_{\rm H2}$ domain. A positive effect on thermal stability of this mutant could be established (Tm at 66.01 ± 0.36 , 70.49 ± 0.19 and 82.87 ± 0.18 °C).

Interface mutations of the light chain do not stabilize in combination. The mutant with the combination of Ser375Arg and Phe404Tyr was not more stable than TRA- C_H3_{KiH} L:Phe404Tyr alone (Tm at 64.78±0.30, 70.30±0.24 and 82.72±0.10°C). A possible explanation for this outcome is that both mutated residues were selected because of possible interactions with residue Glu105 of the variable domain, but the single favorable interactions are not additive or do not



occur simultaneously. The combination of stabilizing mutations Ser375Arg and Glu430Gln resulted in a molecule less stable than any of the stabilized mutants alone (Tm at 64.11 ± 0.58 , 69.28 ± 0.31 and $82.81\pm0.15^{\circ}$ C) and even less stable than the unmodified TRA-C_H3_{KiH}. Even a less stable molecule was produced by combining the mutations Phe404Tyr and Glu430Gln, where the melting points of the first and the second thermal transition were decreased from those characteristic for unmodified TRA-C_H3_{KiH} by 5.9 and 4.1 °C respectively. The protein with combined mutations TRA-C_H3_{KiH} L:Ser375Arg/Phe404Tyr/Glu430Gln was still less stable than the unmodified TRA-C_H3_{KiH} with Tms of the first and the second thermal transition decreased by 1.3 and 0.7 °C respectively and less evolved heat upon denaturation (S4 Fig). Possible explanations for these outcomes are structural rearrangements upon mutation which cannot be predicted by the used modeling approach.

Combination of stabilizing mutations in the heavy and the light chain of the TRA- C_H3_{KiH} . The most potent stabilizing mutations, Ser375Asp/Asp376Val/Phe404Tyr in the heavy chain and Phe404Tyr in the light chain, were combined to yield the mutant H: Ser375Asp/Asp376Val/Phe404Tyr/L:Phe404Tyr that was superior to unmodified TRA- C_H3_{KiH} in thermostability, but not better than TRA- C_H3_{KiH} L:Phe404Tyr (Tm at 65.89±0.17, 70.643±0.27 and 82.69±0.26°C). The stabilizing effect was potentiated by the combination of the single point mutation Phe404Tyr in the light chain and in the heavy chain (Tm at 66.57±0.20, 71.06 ±0.19 and 82.71±0.23°C) and this could also be inferred from the overlay of the thermograms in S4C Fig. The expression level of this protein was about 200 mg/l supernatant and the solubility in PBS was 5.5 mg/ml. In the MS analysis of this protein only one heterodimeric species could be detected (S1 Fig) and its molecular weight matched the calculated molecular weight of the heterodimer (S4 Table), similarly as found for trastuzumab and TRA- C_H3_{KiH} . The glycosylation pattern was identical to trastuzumab and TRA- C_H3_{KiH} (S1 Fig).

Cell surface binding

The full length and the Fab-like domain-exchanged antibody variants were tested for binding to the cell surface of the strongly HER2/neu-positive cell line SK-BR3 (Fig 4). After staining with graded concentrations of trastuzumab, TRA- $C_{\rm H}3_{\rm KiH}$ antibody or its interface optimized variant H:Phe404Tyr//L:Phe404Tyr, the binding of the antibodies to the cell surface was determined by measuring the mean fluorescence intensity of the bound detection reagent, the anti- $C_{\rm H}2$ antibody whose binding epitope maps to the C-terminal part of the $C_{\rm H}2$ domain [25]. TRA- $C_{\rm H}3_{\rm KiH}$ H:Phe404Tyr//L:Phe404Tyr bound to the SK-BR3 cells with an E $C_{\rm 50}$ of 9.2±1.4 nM and the non-optimized version with an E $C_{\rm 50}$ of 9.4±0.8 nM. Both were weaker than trastuzumab, for which an E $C_{\rm 50}$ of 2.2±0.4 nM was measured. The constructs showed no staining of the HER2/neu—negative cell line MB-MDA468.

The lower binding affinity could not be ascribed to a diminished monovalent binding as the EC_{50} values for cell surface staining of Fab-like fragments with exchanged constant domains were very similar to that measured for TRA-Fab: 11.8±0.8 nM for TRA-Fab- $C_{\rm H}3_{\rm KiH}$ and 8.5±1.2 nM for TRA-Fab- $C_{\rm H}3_{\rm ZW1}$ compared well with 9.8±0.5 nM determined for the unmodified TRA-Fab (Fig 4B and 4C). Similar EC_{50} could be observed also for TRA-Fab- $C_{\rm H}3_{\rm KiH}$ H:Phe404Tyr//L: Phe404Tyr (11.1±1.1 nM) and TRA-Fab- $C_{\rm H}3_{\rm ZW1}$ H:Phe404Tyr//L:Phe404Tyr (11.6±1.4 nM).

Domain-exchanged antibody elicits a potent activation of NFAT response and an ADCC similar to the parental antibody

TRA- C_H3_{KiH} H:Phe404Tyr//L:Phe404Tyr was tested in an ADCC reporter bioassay that measures the activation of NFAT pathway of Fc γ RIIIa-overexpressing T-cells. The EC $_{50}$ of activation was 0.22 \pm 0.06 nM in comparison with 0.47 \pm 0.04 nM of trastuzumab at an E:T ratio of 5:1



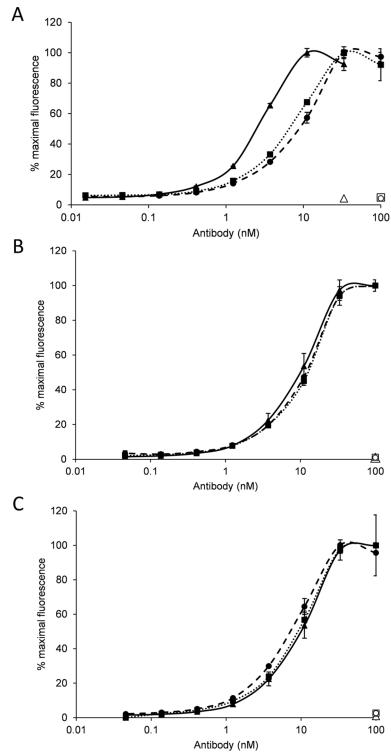


Fig 4. Cell surface binding of domain-exchanged antibody and the Fab-like fragments to SK-BR3 cells. (A) Binding to HER2/neu positive SK-BR3 cells of trastuzumab (full line—full triangles), TRA- C_H3_{KiH} (dotted line—full squares) and TRA- C_H3_{KiH} H:Phe404Tyr//L:Phe404Tyr (dashed line—full circles). No binding to HER2/neu negative MB-MDA468 could be detected with either trastuzumab (empty triangle), TRA- C_H3_{KiH} (empty circle) or TRA- C_H3_{KiH} H:Phe404Tyr//L:Phe404Tyr (empty square). (B) Binding to HER2/neu positive SK-BR3 cells of trastuzumab Fab (full line—full triangles), TRA-Fab- C_H3_{KiH} (dotted line—full squares) and TRA-Fab- C_H3_{KiH} H:Phe404Tyr//L:Phe404Tyr (dashed line—full circles), (C) Binding to HER2/neu positive SK-BR3 cells of trastuzumab Fab (full line—full



triangles), TRA-Fab- $C_{\rm H}3_{\rm ZW1}$ (dotted line—full squares) and TRA-Fab- $C_{\rm H}3_{\rm ZW1}$ H:Phe404Tyr//L:Phe404Tyr (dashed line—full circles). No binding to HER2/neu negative MB-MDA468 could be detected with any of the constructs (corresponding empty markers in each panel).

https://doi.org/10.1371/journal.pone.0195442.g004

(Fig 5A). Additionally, the engineered T-cell response was higher with the domain-exchanged construct. No response could be measured when they were tested with antibodies applied with the antigen-negative cell line MB-MDA468. To be able to compare the assay results with the manufacturer's data, we have performed the experiment with trastuzumab at an E:T ratio of 15:1 and could determine the EC_{50} of 0.094 nM, comparable with 0.067 nM as suggested by

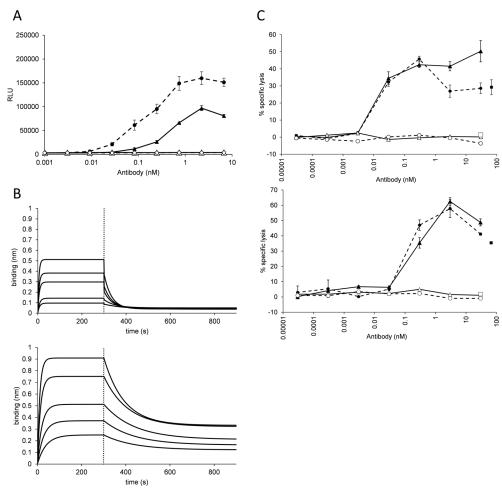


Fig 5. Domain-exchanged antibody elicits a more potent activation of NFAT pathway in FcγRIIIa-overexpressing T-cells than the parental antibody trastuzumab due to stronger binding to FcγRIIIa. (A) T-cell activation was tested at an E:T ratio of 5:1 (upper pannel) and 15:1 (lower panel). The activation of T-cells in response to HER2/neu positive SK-BR3 cells coated with trastuzumab (full line–full triangles) or TRA- C_{H3}_{KiH} H:Phe404Tyr/L:Phe404Tyr (dashed line—full circles) and HER2/neu—negative MB-MDA468 cells incubated with trastuzumab (full line–empty triangles) or TRA- C_{H3}_{KiH} H:Phe404Tyr/L:Phe404Tyr (dashed line—empty circles). (B) Results of BLI assay for trastuzumab (upper panel) and TRA- C_{H3}_{KiH} H:Phe404Tyr/L:Phe404Tyr (lower panel) binding to FcγRIIIa. The curves correspond to the response of 2500, 1250, 625, 312.5 and 156.3 nM antibody. Dotted lines indicate the start of dissociation. (C) ADCC assay with NK cells from two donors (upper and lower panel) with trastuzumab (full line–full triangles) or TRA- C_{H3}_{KiH} H:Phe404Tyr/L:Phe404Tyr (dashed line—full circles) and HER2/neu—negative MB-MDA468 cells incubated with trastuzumab (full line-empty triangles) or TRA- C_{H3}_{KiH} H:Phe404Tyr/L:Phe404Tyr (dashed line—empty circles). Incubation of target cells with isotype control is indicated with an empty square and the lysis of the control MB-MDA468 cells, induced with Cetuximab, with a full square.

https://doi.org/10.1371/journal.pone.0195442.g005



the product manual and published data [26]. The binding to the isolated FcR γ IIIa was then evaluated with the BLI system and a binding constant of 1.0 x 10⁻⁷ M for TRA-C_H3_{KiH} H: Phe404Tyr//L:Phe404Tyr in comparison with 2.69 x 10⁻⁷ M for trastuzumab could be determined (Fig 5B). The binding affinity of trastuzumab to Fc γ RIIIa was similar as described before (252 nM) [27].

The domain-exchanged antibody was able to elicit NK-cell mediated ADCC to specifically lyse target SK-BR3 cells to an extent similar to the parental antibody (Fig 5C). There was no effect on the target-negative Her-1 positive cell line MB-MDA468, which could however be lysed with the Her-1 targeting antibody Cetuximab. At the same time, there was no specific cell lysis when the target cells were incubated only with effector cells or with isotype antibody and the effector cells. With one donor, the EC_{50} for both trastuzumab and the domain-exchanged antibody was between 0.1 and 0.01 nM, which agrees well with the literature cited value of 0.02 nM [4]. With the NK cells from the second donor, the EC_{50} was between 1 and 0.1 nM for both tested compounds.

Discussion and conclusions

We set out to construct an antibody-like molecule with a C_H3-homodimer replacing the $C_H 1$ - C_K domain pair in the Fab fragment. Containing only natural amino acid sequences of the domains that have been brought into proximity, a heterodimerization motif to allow selective pairing of variable domains and a C-terminal cysteine bond connecting the newly introduced C_H3 dimer, the domain-exchanged antibody could be produced at a high level in a standard lab-scale mammalian expression system. With a series of point mutations aimed at stabilization of the interface interactions of the variable and $C_{\rm H}3$ domains we obtained information on the possibility of improving the biophysical properties of the construct. While the structural model of the TRA-C_H3_{KiH} Fab helped to identify single stabilizing mutations, the combination of different mutations was often not having an additional stabilizing effect, sometimes even deteriorating the former stabilizing effects. This can probably be attributed to more complex structural movements or rearrangements not captured by the relatively simple and static structural model. More extensive conformational searching or sampling procedures might allow for the coverage of such effects. Regarding the relatively small number of amino acid exchanges that have been introduced, it is likely that its solubility can be further improved by performing a more extensive mutagenesis.

The surface staining of the HER2/neu positive cell line SK-BR3 with the domain-exchanged antibody was found to be less strong than with trastuzumab. Cell surface staining implies the engagement of both binding sites of the bivalent antibody, which could amplify a negative effect of constant domain exchange on antigen binding affinity mediated by the variable domains. Initially, we ascribed this property to imperfect heterodimerization typical of the minimal "Knobsinto-Holes" mutagenesis applied [15]. This could be improved with more efficient heterodimerization strategies, such as combination with electrostatic steering [28, 29], interface modification as described for the novel BEAT antibodies [30] or the very stable ZW1 motive [16]. At the same time, MS analysis of the domain-exchanged antibody suggested a very high proportion of correctly heterodimerized species. Cell surface staining with monovalent Fab-like constant domain-exchanged fragments was very similar to the trastuzumab Fab. For these fragments there is only one binding site for Protein A mapping onto V_H domain, theoretically leading to heterodimeric product contaminated only with the V_H-C_H3 -"Knob" homodimeric species after the purification step. In this view, purification-assisted techniques could assist in increasing the yield of heterodimeric whole-length antibody, but would involve tagging or mutagenizing of one of the chains to allow selective affinity purification.



In spite of the weaker cell binding, TRA-C_H3_{KiH} H:Phe404Tyr//L:Phe404Tyr mediated a more potent activation of NFAT pathway in FcγRIIIa-overexpressing effector cells than observed for trastuzumab, which could be explained by the stronger binding to FcγRIIIa. This phenomenon was interesting and unexpected, especially considering the weaker target cell surface binding. As the N-glycan pattern was similar for trastuzumab and the domain-exchanged antibodies, such changes could most likely be attributed to the conformational change in the hinge region of the antibody caused by the exchange of the constant domains of the Fab. Fcy receptor binds asymmetrically in the region of the hinge between the C_H2 domains [31] and the receptor binding spreads the C_H2 domains apart [32, 33]. The functional data revealing the differences in FcyR binding describes mainly the effects of the mutations in the Fc-hinge region [34, 35], but also mutational variants located at the C_H2-C_H3 interface and even mutagenesis of C_H3 domains [30, 36], signifying that also the relatively remote residues influence the conformation of the hinge region, presumably through the adjustment of the position or restriction of the movement of the C_H2 domains [13]. The ability of the domain-exchanged antibody to incite a specific ADCC mediated by NK cells was similar to the effect of the parental antibody in spite of the weaker binding to the surface of target cells.

It is widely accepted that sustained circulation levels of IgG1 are largely dependent on their interaction with neonatal Fc receptor (FcRn) [37]. A majority of the residues involved in this interaction are located in the junction of the C_H2 and C_H3 domains, however several residues located in the C_H3 domain govern importantly this interaction including His433 and His435 that conduct the pH-dependency of the binding [38]. Early reports on scFv fragments fused to C_H3 domains with connecting peptides describe an improved tumor targeting functionality of such agents as compared to their monomers due to a longer biological half-life resulting from a higher molecular weight and gain in avidity, but also further improvements in serum persistence of the format with an increased solvent accessibility of residues His433 and Asn434 of the C_H3 domain [39]. It will be very interesting to study this interaction with the domain-exchanged antibody that harbors an additional site that could potentially engage FcRn in pH-dependent manner as well as the influence of the domain exchange on the pharmacokinetic properties of the antibody modified in this way *in vivo*.

With the increasing interest in the field of bispecific antibodies several strategies have been developed to overcome the promiscuous pairing of light chains with heterodimerized heavy chains, such as use of an scFv and an Fab fragment on each heavy chain, "CrossMab" format [40] or application of common light chain [41, 42]. As one option, a C_H3 domain-exchanged Fab fragment at one arm could be combined with an unmodified Fab of a different specificity at the other arm of a heterodimeric antibody.

Finally, it would be attractive to explore the possibility of introducing novel antigen binding sites into the context of the C_H3 domains at an unusual position, analogously as described for Fc fragments with antigen binding capability (Fcabs) [4], which incorporated into a complete antibody lead to a bispecific molecule (mAb^2) . Such molecule with antigen-binding C_H3 domains would have the potential of harboring even an additional antigen specificity or ability of multivalent binding. Positioning of the antigen-binding C_H3 domains at the C-terminal structural loops of the constant domain of the modified Fab fragment allows a small distance between the binding sites for the novel antigen and the effector molecules. This spatial proximity could cause a potentiated cellular response, as described for other immune synapse bridging molecules that allow a close contact of effector and target cells [43].

To conclude, the principles used in the construction of domain-exchanged antibody described here could be implemented for construction of several novel bispecific and multispecific binding agents.



Supporting information

S1 Fig. Mass spectrometry analysis of the domain-exchanged antibody. (A) intact mass analysis of TRA, TRA- $C_H 3_{KiH}$ and TRA- $C_H 3_{KiH}$ H:Phe404Tyr//L:Phe404Tyr, (B) glycan pattern analysis of trastuzumab and the domain exchanged antibody variants. The peak heights in the MS spectra roughly reflect the molar ratios of the glycoforms (note that more than one charge state is present per glycoform). (TIF)

S2 Fig. Interface mutations to improve the stability and solubility of the domain-exchanged antibody. (A) interface mutations in the heavy chain, (B) interface mutations in the light chain, (C) surfaced cartoon diagram of TRA-CH3KiH H:Phe404Tyr//L:Phe404Tyr. V_H : blue, V_κ : green, C_H 3: gray, mutated residues: teal. (TIF)

S3 Fig. HPLC profiles of domain-exchanged antibody interface mutants. (A) interface mutants modified in the heavy chain, (B) interface mutants modified in the light chain, (C) interface mutants modified in both chains. (TIF)

S4 Fig. Thermostability of domain-exchanged antibody interface mutants. (A) thermograms of interface mutants modified in the heavy chain, (B) thermograms of interface mutants modified in the light chain, (C) thermograms of the interface mutants modified in both chains. Thermogram of the parental construct TRA-CH3KiH is depicted with dashed line and thermograms of the mutants with full line. Where the comparison to other mutants is shown, the thermogram of TRA-CH3KiH L:Phe404Tyr is depicted with a dotted line and the thermogram of TRA-CH3KiH H:Phe404Tyr with a dash-dot-dash line. (TIF)

S1 Table. Amino acid sequences of the domain-exchanged antibody and the Fab-like constructs. The lettering is in the color code corresponding to the construct schematic in Fig 1. Mutations driving the heterodimerization are in red. (DOCX)

S2 Table. List of point mutations and their combinations introduced to optimize the novel interface between variable Fab and $C_{\rm H}3$ domains. Melting points of the mutants as determined with DSC are given in bold and are average of at least three experiments. (DOCX)

S3 Table. List of oligonucleotides used to introduce interface point mutations and their combinations into the TRA- $C_{\rm H}$ 3 constructs. (DOCX)

S4 Table. Theoretical molecular weight of TRA, TRA- $C_{H}3_{KiH}$ and TRA- $C_{H}3_{KiH}$ H:Phe404-Tyr//L:Phe404Tyr. The theoretical mass is calculated by the summation of all chains (2*HC + 2*LC), minus the number of cysteine bridges multiplied by two (-32, in the theoretical mass cysteines are reduced—so the hydrogen mass has to be subtracted), plus the number of glycosylation sites (+2, deglycosylation will lead to N \rightarrow D conversion) and +1 for the charge. The theoretical masses were calculated using the online tool peptide mass (http://web.expasy.org/peptide_mass/). (DOCX)



S5 Table. The relative proportions of the different glycoforms of TRA, TRA-C_H3_{KiH} and TRA-C_H3_{KiH} H:Phe404Tyr//L:Phe404Tyr. Proportion of glycoforms in % including the non-glycosylated peptide. The proglycan nomenclature (http://www.proglycan.com/protein-glycosylation-analysis/nomenclature) was used and only one possible isomer is annotated. (DOCX)

Acknowledgments

We are grateful to Clemens Grünwald-Gruber, Daniel Maresch and Friedrich Altmann (Glycobiology Division, BOKU, Vienna) for excellently performed MS analyses and to Alison McGhee (F-star, Cambridge, UK) for worthful discussions.

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