

SIRT1 Expression Is Associated with the Chemotherapy Response and Prognosis of Patients with Advanced NSCLC

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Abstract

Aim: The role of Sirtuin 1 (SIRT 1) in carcinogenesis is controversial. This study was to explore the association between the SIRT1 expression and the clinical characteristics, the responsiveness to chemotherapy and prognosis in Non-small cell lung cancer (NSCLC).

Methods: We enrolled 295 patients with inoperable advanced stage of NSCLC, namely, stage III (A+B) and IV NSCLC. All patients had received platinum-based chemotherapy after diagnosis and the chemotherapy response were evaluated. All patients were followed up for overall survival (OS) and progression free survival (PFS). *In vitro*, H292 cells were transected with SIRT1 small interfering RNA (siRNA). The cell biological behaviors and chemosensitivity to cisplatin treatment were studied. The *in vivo* tumorgenesis and metastasis assays were performed in nude mice.

Results: We found that the SIRT1 expressions were significantly associated with the tumor stage, tumor size and differentiation status. Patients with high SIRT 1 expressions had a significantly higher chance to be resistant to chemotherapy than those with low SIRT 1 expression. Patients with high expression of SIRT1 had significantly shorter OS and DFS than those with low expression. Cox analyses confirmed that the SIRT 1 expression was a strong predictor for a poor OS and PFS in NSCLC patients underwent Platinum-based chemotherapy. *In vitro* studies revealed that the reduced expression SIRT 1 by siRNA technique significantly inhibited cell proliferation, migration and invasion. More importantly, SIRT1 si-RNA significantly enhanced the chemosensitivity of H292 cells to cisplatin treatment. The *in vivo* tumorgenesis and metastasis assays showed that SIRT1 knockdown dramatically reduced the tumor volume and the metastatic ability in nude mice.

Conclusion: Collectively, our data suggest that the SIRT1 expression may be a molecular marker associated with the NSLCLC clinical features, treatment responsiveness and prognosis of advanced NSCLC.

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Introduction

Lung cancer is one of the deadliest cancers worldwide, with the highest incidence and mortality amongst all cancers [1]. Non-small cell lung cancer (NSCLC) accounts for approximately 80% of primary lung cancers [2]. The prognosis of NSCLC is very poor and the 5-year survival rate of lung cancer is below 20% [2]. Platinum-based chemotherapy is the standard first-line chemotherapy for advanced NSCLC, however, drug resistance is remains a major factor influencing

the clinical outcome of patients [3–5]. Significant variability in prognosis has still been observed in patients with similar clinical features [3,6,7]. The identification of new maker predicting the chemotherapy response is important for to improve the prognosis of patients with NSCLC.

Sirtuin 1 (SIRT 1) is a member of Sirtuin family, the mammalian homologues of the silent information regulator 2 first discovered in *Saccharomyces cerevisiae* as an NAD*-dependent histone deacetylase [8]. SIRT1 has been shown to control cell cycle, proliferation and senescence [9–12]. Up-

regulation of SIRT1 has been reported in various human malignancies including prostate cancer, breast cancer, lymphoma, colon cancer, and gastric cancer [11,13-16]. In contrast, SIRT1 inhibitor can induces senescence-like growth arrest with attenuated Ras-MAPK signaling in human cancer cells [17], suggesting that SIRT1 inhibitors may have anticancer potential. Down-regulation of SIRT1 induces apoptosis and enhances radiation sensitization in A549 lung cancer cells [18]. Activation of SIRT1 significantly promotes tumor cell migration and metastasis of breast cancer in mice [15]. Moreover, a recent study in hepatocellular carcinoma (HCC) showed that the over-expression of SIRT1 promoted tumorigenesis and resistance to chemotherapeutical agent and sorafenib [19].however, the association between SIRT1 expression and the clinical characteristics, especially the responsiveness to chemotherapy and prognosis in NSCLC remain largely unknown.

Methods

Patient enrollment

A total of 295 patients with inoperable advanced stage of NSCLC, namely, stage III (A+B) and IV NSCLC confirmed cytologically or histologically were enrolled into this study. The staging system we used was the 7th edition of the TNM system [20]. All patients had received platinum-based chemotherapy after diagnosis (Table 1). The inclusion and exclusion criteria were described previously elsewhere [21]. The study was approved by the ethics committees of our hospital and written informed consent was obtained from each participant.

Chemotherapy regimens and therapeutic effect evaluation

Patient responses to treatment were determined after four cycles by the WHO criteria [21], which classify the response into four categories: complete response (CR), partial response (PR), stable disease (SD), and progressive disease (PD). CR was defined as complete disappearance of all measurable lesions. PR required at least 50% reduction in measurable lesions. Patients with SD had less than a 50% decrease or no more than a 25% increase in the size of measurable lesions. PD was assigned to patients when measurable lesions increased by more than 25% or new lesions appeared.

Outcome Data

Overall survival (OS) and progression free survival (PFS) were the end points in this study. OS was calculated from the date of chemotherapy to the date of last follow-up or death from any cause. PFS was defined as the interval between the date of chemotherapy and the date of confirmed relapse.

Immunohistochemical analysis and evaluation

Tumor samples were obtained from the biopsy before the start of chemotherapy. The tissues were fixed, paraffin embedded, and cut to 5-µm-thick sections for immunohistochemistry. Briefly, the slides were incubated with SIRT 1 primary antibody (1:200, Santa Cruz Biotechnology,

Table 1. Patient characteristics between chemotherapy responder and non-responders.

		Non-responder		
Characteristics Patient	Responder (n=110)	(n=185)	P	
Age (years)	57.4±6.6	56.4±7.2	NS	
Gender				
Male	58	96	NS	
Female	52	89		
Smoke status				
Non-smokers	54	56	<0.001	
Smoker	56	129		
Histology				
Squamous cell carcinoma	54	93	NS	
Adenocarcinoma	56	92		
Stage				
IIIA	47	38	<0.001	
IIIB	50	82		
IV	13	65		
Differentiation				
Well	46	35	<0.001	
Moderate	43	101		
Poor	21	49		
Chemotherapy regimens				
DDP/CBP+TAX/TXT/DOC	45	56	NS	
DDP/CBP+GEM	33	69		
DDP/CBP+NVB	32	60		

NS not significance, DDP cisplatin, CBP carboplatin, TAX taxol/paclitaxel, TXT taxetere, DOC docetaxel, GEM gemcitabine, NVB vinorelbine

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Santa Cruz, CA). The immunoreactive products were visualized by the catalysis of 3,3'-diaminobenzidine (DAB). SIRT1 expression were classified semiquantitatively by immunoreactive score (IRS) according to the method described previously [22]. SIRT 1 staining was defined as low expression (IRS: 0–5) and high expression (IRS: 6–12).

Tumor Cell line Culture and small interfering RNA (siRNA) transfection

A human NSCLC cell line , H292, was cultured to 75% confluence before small interfering RNA (siRNA) transfection. siRNA-annealed oligonucleotide duplexes for SIRT1 (Sequence 5'->3' sense: GCAAUAGGCCUCUUAAUUAtt; antisense: UAAUUAAGGCCUAUUGCtt) and control si-RNA purchased from GenePharma (Shanghai, China) were transfected by siLentFect Lipid Reagent (Bio-Rad, Hercules, CA, USA) for 36 hours according to the manufacturer's instructions.

Western Blot Analysis

After transfection, the expression of SIRT1 expression in treated was detected by cells by western blot assay. After immunoblot analysis, membranes were immunoblotted with SIRT 1 antibody (1:1000, Santa Cruz Biotechnology, Santa

Cruz, CA). Membranes were then washed and incubated with a secondary antibody coupled to horseradish peroxidase.

MTT Cell Proliferation Assay

Cells were seeded in a 96-well plate right after si-RNA transfection. The medium were discarded and 100 μ l of dimethyl sulfoxide was added to each well. Absorbance was measured at a wavelength of 490 nm (with 630 nm as the reference wavelength) using an ELISA microplate reader (Bio-Rad, Hercules, CA, USA). Assays were repeated at least three times

Cell invasion and migration assay

The cell invasion and migration ability was evaluated using transwell inserts with 8 µm pores (BD Biosciences, San Jose, CA, USA). For invasion assay, 2×10⁵ cells in serum free medium were added to each upper compartment of the chamber pre-coated with matrigel matrix (BD Biosciences, San Jose, CA, USA). After incubation for 48 hours, noninvasive cells were removed from the upper surface of the transwell membrane, and migrated cells were fixed with methanol, stained with Giemsa and photographed under the microscope. For migration assay, 2×10⁵ cells were placed into the top chamber without matrigel matrix pre-coated. Finally, the cells in lower compartment of the chamber that had invaded to the basal side of the membrane were counted using a light microscope in 5 random visual fields (×200) [23].

Cisplatin cytotoxicity assay

H292 cells were seeded at 1×10⁴ cells per well in 96-well plates, and were transfected by SIRT 3 siRNA and control siRNA for 48h, and subsequently exposed to cisplatin at final concentrations of 0.5, 1.0, 2.0, 4.0 or 8.0 ug/ml for 24h in triplicate wells. Cell survival was determined using a previously described colorimetric MTT assay. Mean cell viability was calculated by the ratio of absorbance units of transfected cell samples to the mean absorbance units of the control cell samples. All the experiments were repeated at least three times. The IC50 value is defined as concentration of cisplatin that is required for a 50% reduction in absorbance calculated from the growth curves.

In vivo tumorgenesis and metastasis assay

For *in vivo* tumorgenesis assay, a total of 10 nude mice were inoculated subcutaneously with H292 cells (1×10⁶/animal) transfected with SIRT1 siRNA (n=5) and control siRNA (n=5). Tumor size was assessed by caliper measurements twice a week. Mice were sacrificed four weeks after tumor cell inoculation and tumors were excised for further analysis. Tumor volumes were calculated using the following formula: (cubic millimeters) = (length × width2) × 0.5. Mouse weights were recorded every 2 days [24]. The tumor invasion in liver, lung, bone, prestate and brain were detected by histological staining. For in vivo metastasis assay, H292 cells (1×10⁶/animal) treated with transfected with SIRT1 siRNA and control siRNA were injected into nude mice (n=5 in each group). All mice were sacrificed at 14 days to obtain lung lobes. Lung

tissue were sliced and underwent the hematoxylin and eosin (HE) stain. The tumor site in the lung slice were observed under microscopy and counted in each field.

Statistical Analyses

Association between SIRT1 expression status and clinical parameters were studied using chi-square test, or independent t tests. Multivariate analysis was performed using the Cox proportional hazards model selected in forward stepwise. The odds ratios (OR) and 95% confidence intervals (CIs) were calculated. Survival was analyzed using the Kaplan–Meier method. The log-rank test was used to analyze survival differences. The data in proliferation rate, migration, invasiveness, cisplatin cytotoxicity assays and the *in vivo* tumorgenesis and metastasis assays were performed in nude mice between SIRT1 si-RNA and control si-RNA treated cells were compared by using t tests. P < 0.05 was considered statistically significant. All analyses were performed by using SAS software (version 9.2, USA).

Results

NSCLC Patient Characteristics

Among all enrolled patients, 110 patients were assigned as chemotherapy responder (CR + PR) and 185 were non-responder (SD + PD). No significant differences were noted in mean age, gender distribution, histology type and chemotherapy agent between the chemotherapy responder and non-responders (table 1). However, those non-responders had higher a smoker number, higher tumor stage, poorer differentiation than responders (all P<0.001).

The association between SIRT1 expression and clinical characteristics of NSCLC

Representative figures of SIRT1 staining are shown in Figure 1. SIRT1 was mainly expressed in the cytoplasm of cancer tissues. Table 2 shows the association between SIRT1 expression status and clinical characteristics of NSCLC patients. We found that SIRT1 expression were significantly associated with the tumor stage, tumor size and differentiation status (table 2), but not related to age, smoke status, histology and chemotherapy regimens (data not shown).

SIRT1 expression affected the chemotherapy response in advanced NSCLC

The SIRT1 high expressions were observed in 137 of 185 non-responders (74.1%) but only in 29 of 110 responders (26.4%). Table 3 shows the association between the SIRT 1 expression and chemotherapy response status. Patients with high SIRT1 expression had a significantly higher chance to be resistant to chemotherapy than those with low SIRT 1 expression (OR=7.97, 95%CI: 4.66-10.63, P<0.001, table 3).

The SIRT1 expression predicted the prognosis of NSCLC

The associations between the clinical variables and PFS as well as OS were studied by log-rank test. Patients with high

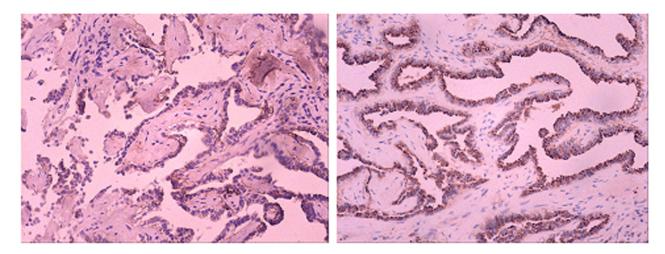


Figure 1. Representative figures of SIRT1 staining in 2 patients with adenocarcinoma NSCLC. Left: low SIRT 1 expression; right: high SIRT 1 expression.

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Table 2. The association between SIRT1 and clinical characteristics ob NSCLC.

Clinical features	High SIRT 1evel		Low SIRT1 level		OR	95%CI		P
Tumor Stage								
IIIA	36	21.69%	49	37.98%	1.00			
IIIB	77	46.39%	55	42.64%	1.91	1.10	3.31	0.02
IV	53	31.93%	25	19.38%	2.89	1.52	5.48	<0.001
Tumor differentiation								
Well	47	28.31%	65	50.39%	1.00			
Moderate	79	47.59%	51	39.53%	2.14	1.28	3.58	<0.001
Poor	40	24.10%	13	10.08%	4.26	2.05	8.83	<0.001

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Table 3. Shows the association between the SIRT 1 expression and chemotherapy response status.

SIRT1 expression	Non-responder	%	Responder	%	OR	95%CI		P
Low SIRT1	48	25.95%	81	73.64%	1.00			
High SIRT1	137	74.05%	29	26.36%	7.97	4.66	10.63	<0.001

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expression of SIRT1 expressions had markedly shorter PFS and OS than those with low SIRT1 expressions (6.8±2.1 vs. 8.8±2.4, months, P<0.001 and 11.1±7.2 vs.17.1±2.8, months, P<0.001, respectively). Kaplan–Meier survival curves were shown in Figure 2a and 2b.

Univariate and multivariate analyses were performed by using Cox proportional hazard model to evaluate the impact of SIRT1 expression and other pathological factors on the prognosis of NSCLC (Table 4). Univariate analysis showed four statistically significant variables associated with the prognosis of NSCLC: tumor stage (P<0.001), smoker (P=0.024), tumor differentiation (P=0.003) and SIRT1 expression (P<0.001). The multivariate analyses confirmed that tumor stage, tumor

differentiation and SIRT 1 expression predicted poor PFS and OS in NSCLC patients (table 4). SIRT1 had significantly higher chance of having poor PFS (HR=3.32, P<0.001) and OS (HR=2.48, P<0.001).

SIRT 1 regulated the proliferation, migration and invasion of H292 cells

Western blot results showed that the SIRT 1 protein expressions in H292 cells were significantly inhibited by SIRT1 si-RNA silencing technique (Figure 3a). The cell proliferation assays revealed the cell growth rate was significantly inhibited in H292 cells after SIRT1 si-RNA transfection by 47.3%

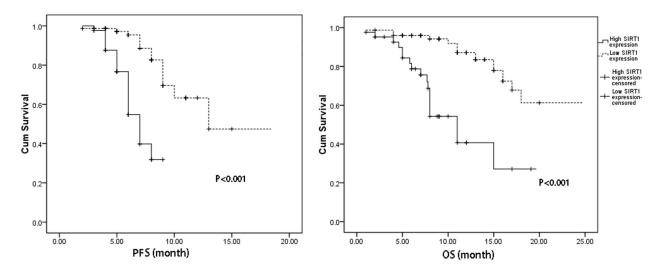


Figure 2. Kaplan–Meier survival curves analyses by SIRT1 expression. Figure 2a: the PFS analyses by SIRT1 expression status (high SIRT1 expression vs. low SIRT1 expression, P<0.001); 2b: the OS analyses by SIRT1 expression status ((high SIRT1 expression vs. low SIRT1 expression, P<0.001).

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compared to cells treated with control si-RNA (Figure 3ba). Cell migration assay showed that SIRT1 knockdown significantly decreased the migrated cell numbers by 43.8% (Figure 3c). Furthermore, silencing of SIRT1 gene dramatically inhibited the invasive ability of H292 cells by 57.6% (Figure 3d).

The SIRT1 si-RNA transfection induced chemosensitivity to cisplatin in cultured cancer cells

Exposure of SIRT1 si-RNA-transfected H292 cells to different concentrations of cisplatin induced significant proliferative inhibition. When the concentration of cisplatin was 8 ug/ml; the viability of SIRT1 siRNA-transfected cells showed no significant difference compared with those of the control siRNA-transfected and untreated cells (Figure 4). Meanwhile, the IC50 concentration of cisplatin for cancer cells decreased significantly from 6.34 ug/ml in control cells and 1.61 ug/ml in SIRT1 si-RNA-transfected cells, indicating that SIRT1 silencing significantly enhanced the chemosensitivity of H292 cells to cisplatin treatment.

The in vivo tumorgenesis and metastasis assay

The *in vivo* tumorgenesis assay showed that nude mice inoculated subcutaneously with SIRT1 si-RNA-transfected cells had markedly reduced tumor volume compared to mice received cells transfected with control si-RNA transfection (730±141vs. 1153±112, mm³, P<0.001, Figure 5a and 5b).The *in vivo* metastasis assay shows the inoculation with SIRT1 si-RNA-transfected cells in nude mice had dramatically reduced numbers of metastatic tumors in lung tissues than control si-RNA-transfected cells (4.4±0.9 vs. 2.1±0.5, P<0.001, Figure 5c).

Table 4. Multivariate Cox proportional regression analysis on PFS and OS of NSCLC patients.

	HR(PFS)	95%CI(PFS)	P(PFS)	HR(OS)	95%CI(OS)	P(OS)
SIRT1						
expression						
status						
Low expression	1		<0.001	1		0.003
High	3.32	2.32-4.83		2.48	2.28–3.76	
expression	3.32	2.32-4.03		2.40	2.20-3.70	
Tumor stage						
IIIA	1		0.0021	1		0.009
IIIB+IV	2.63	2.65-4.47		1.81	1.98-3.36	
tumor						
differentiation						
Well	1		<0.001	1		<0.001
Moderate	2.42	0.00 4.00		0.004	1.64.044	
+poor	3.13	2.03–4. 82		2.021	1.64–311	

Discussion

In the present study, we investigated the role of SIRT 1 expression in the clinical features, responsiveness to platinum-based chemotherapy and prognosis of NSCLC patients receiving Platinum-based chemotherapy. We found that SIRT1 expression were significantly associated with the tumor stage, size and differentiation status. Patients with high SIRT1 expression had a significantly higher chance to be resistant to chemotherapy than those with low SIRT 1 expression. SIRT1 expression status affected the PFS as well as OS as well. Cox analyses confirmed that SIRT 1 expression was a strong predictor for a poor OS and PFS in NSCLC patients underwent



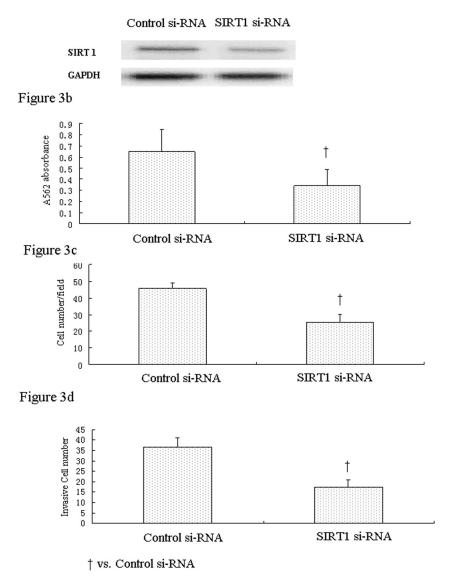


Figure 3. The proliferation, migration and invasiveness of NSCLC cells infected with SIRT 1 siRNA and control siRNA. a. the SRIT1 expression after SIRT1 si-RNA transfection in H292 cells. b the absorbance at 562nm between cells infected with SIRT 1 si-RNA and control si-RNA (P<0.001). c the cell numbers of migrated cells infected with SIRT 1 si-RNA and control si-RNA (P<0.001). d the cell numbers of invasive cells infected with SIRT 1 si-RNA and control si-RNA (P<0.001). doi: 10.1371/journal.pone.0079162.g003

platinum-based chemotherapy. Also we studied the effect of SIRT1 on the biological behaviors of NSCLC cells. *In vitro* studies revealed that inhibition of SIRT 1 by siRNA technique significantly inhibited cell proliferation, migration and invasion. More importantly, we found that the SIRT1 si-RNA significantly enhanced the chemosensitivity of H292 cells to cisplatin treatment. The *in vivo* studies in nude mice confirmed SIRT1 is involved in the regulation of tumorgenesis and metastases. Collectively, our data suggest that the SIRT1 may be a molecular marker associated with the NSLCLC clinical

features, treatment responsiveness and prognosis of advanced NSCLC.

SIRT1 is the best characterized member within the family of sirtuins with regard to life span and age-related disease. SIRT1 is expressed in all mammalian cells and was originally identified as a nuclear protein. However, recent studies showed that subcellular localization of SIRT1 differs from cell to cell. While some cells showed nuclear localization of SIRT1, others expressed it either both in the nucleus and in the cytoplasm or in the cytoplasm alone [25]. In our study, we found that the

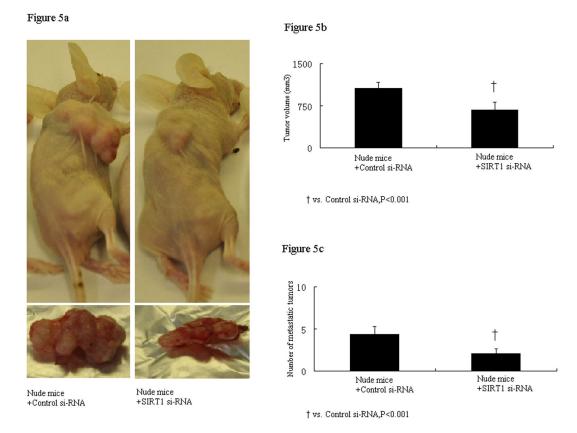


Figure 5. The in vivo tumorgenesis assay and metastasis assay in nude mice. Nude mice inoculated with SIRT1 si-RNA-transfected cells had markedly reduced tumor volume compared to mice received cells transfected with control si-RNA transfection (730±141vs. 1153±112, mm3, P<0.001, Figure 5a and 5b). The in vivo metastasis assay shows the inoculation with SIRT1 si-RNA-transfected cells in nude mice had dramatically reduced numbers of metastatic tumors in lung tissues than control si-RNA-transfected cells (4.4±0.9 vs. 2.1±0.5, P<0.001, Figure 5c). doi: 10.1371/journal.pone.0079162.g005

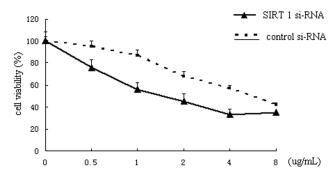


Figure 4. SIRT 1 siRNA-induced enhancement of chemosensitivity to cisplatin. Sensitivity curves of the H292 cell line toward cisplatin via MTT assay. Growth inhibition of SIRT1 siRNA-transfected cells was observed after exposure to different concentrations of cisplatin (0-8ug/mL). doi: 10.1371/journal.pone.0079162.g004

SIRT 1 expression were predominantly in the cytoplasm in NSCLC cancer tissues.

SIRT1 is considered a novel anti-aging protein involved in regulation of cell cycle arrest, cellular senescence, proliferation, and resistance to oxidative stress and apoptosis [26] [27] [28] [29] [30]. However, the role of SIRT1 in tumorogenesis is very controversial. Increased expression of SIRT1 has been reported in numerous types of cancer in human such as prostate cancer [14], leukemia [31], primary colon cancer [32], and breast cancer [33]. However, other publications have indicated that SIRT1 has tumor-suppressive functions in vivo. For instance, activation of SIRT1 by resveratrol was shown to limit cell growth and reduce tumour formation in BRCA1deficient tumour cells as well asTrp53+/-;Sirt1+/- mice [34]. SirT1 has also been shown to inhibit androgen receptordependent cell proliferation in prostate tumor cells [35]. In the present study, we found that the SIRT1 expression is associated with higher tumor stage and poorer differentiation status, and poor prognosis, indicating that at least in advanced NSCLC, SIRT1 expression was parallel with the disease severity. Thus, the effect of SIRT1 may vary according to the cell type, stage of tumor development, and accompanying mutation status of tumor related genes.

Currently, there is no study with regard to the effect of SIRT1 expression level on the chemotherapy response in NSCLC tumors. Our study was the first to investigate the association between SIRT1 expression and the responsiveness to chemotherapy in a clinical setting. We found that high SIRT 1 expression had a significantly higher chance to be resistant to chemotherapy than those with low SIRT 1 expression. In vitro, reduced expression SIRT 1 by siRNA technique significantly enhanced the chemosensitivity of H292 cells to cisplatin treatment. This is consistent with a previous study, which reported that overexpression of SIRT1promoted tumorigenesis and resistance to chemotherapeutical agent and sorafenib in liver cancer [19]. A previous study also showed that SIRT1 confers hypoxia-induced radioresistance via the modulation of c-Myc stabilization on hematoma cells [36]. Knockdown of SirT1 expression enhanced radiosensitivity and radiationinduced apoptosis in glioma CD133-positive cells [37]. SIRT1 knockout cells were more susceptible to cell death induction following ionizing radiation and cisplatin [38]. Collectively, these studies suggest that SIRT1 could serve as a novel potent pharmacological target of cancer chemotherapy.

Our *in vitro* data also showed that SIRT1 siRNA intervention significantly inhibited the Proliferation, Migration and Invasion of cultured NSCLC Cells. This observation was consistent with a previous study, which reported that SIRT1 expression improves cell survival, which could be a sign that they may in

fact promote tumorigenesis [39,40]. Another study in liver cancer cell lines revealed that overexpression of SIRT1 promoted mitotic entry of liver cells, cell growth and proliferation and inhibited apoptosis. SIRT1 enhances matrix metalloproteinase-2 expression and tumor cell invasion in prostate cancer cells [41].

Several limitations should be addressed in this study. Firstly, the sample size of clinical part in this study was relatively small and enrolled only Chinese patients. To better elucidate the role that SIRT1 played in NSCLC, further study with larger sample size and multiple ethnicity is warranted. Secondly, we did not yet explore the molecular mechanism under which SIRT1 regulated the cell biological behaviors and its influence on chemosensitivity to anti-tumor medications.

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Author Contributions

Conceived and designed the experiments: WLZ TZ. Performed the experiments: TZ NNR CWZ HYJ JC XLZ. Analyzed the data: TZ WLZ. Contributed reagents/materials/analysis tools: TZ WLZ NNR CWZ. Wrote the manuscript: TZ WLZ.

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