

RESEARCH ARTICLE

Bioequivalence between innovator and generic tacrolimus in liver and kidney transplant recipients: A randomized, crossover clinical trial

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Abstract

Background

Although the generic drug approval process has a long-term successful track record, concerns remain for approval of narrow therapeutic index generic immunosuppressants, such as tacrolimus, in transplant recipients. Several professional transplant societies and publications have generated skepticism of the generic approval process. Three major areas of concern are that the pharmacokinetic properties of generic products and the innovator (that is, “brand”) product in healthy volunteers may not reflect those in transplant recipients, bioequivalence between generic and innovator may not ensure bioequivalence between generics, and high-risk patients may have specific bioequivalence concerns. Such concerns have been fueled by anecdotal observations and retrospective and uncontrolled published studies, while well-designed, controlled prospective studies testing the validity of the regulatory bioequivalence testing approach for narrow therapeutic index immunosuppressants in transplant recipients have been lacking. Thus, the present study prospectively assesses bioequivalence between innovator tacrolimus and 2 generics in individuals with a kidney or liver transplant.

Methods and findings

From December 2013 through October 2014, a prospective, replicate dosing, partially blinded, randomized, 3-treatment, 6-period crossover bioequivalence study was conducted

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Competing interests: I have read the journal's policy and the authors of this manuscript have the following competing interests: RRA serves on the following corporate advisory committees: SangStat Medical Corporation Pharmacy Advisory Board, Biogen Pharmaceutical Corporation, Anti-CD40 Ligand Scientific Advisory Board, Protein Design Labs, Abbott Pharmaceutical Corporation, Tristar Enterprises, Inc. Clinical Trials Committee, Fujisawa Clinical Pharmacy Advisory Board, Roche Clinical Pharmacy Advisory Board, Sanofi Genzyme Advisory Board and Speakers Bureau, and Veloxis Speakers Bureau, with Research Grants with Novartis and Bristol Myers Squibb. UC reports research grants with Astellas, BioRad, Biosensors, Biotronik, HepQuant, Novartis, Roche Diagnostics, Siemens Healthcare, Thermo-Fisher, Fujirebio, Veloxis, and Waters.

Abbreviations: ABE, average bioequivalence; ALT, alanine aminotransferase; ANDA, Abbreviated New Drug Application; AST, aspartate aminotransferase; AUC, area under the curve; C_{max} , maximum concentration; C_{min} , minimum concentration; CONSORT, Consolidated Standards Of Reporting Trials; CTCAE, Common Terminology Criteria for Adverse Events; CYP, cytochrome; EMA, European Medicines Agency; FDA, United States Food and Drug Administration; HPLC, high-performance liquid chromatography; HSD, honest significant difference; MEMS, Medication Event Monitoring System; NTI, narrow therapeutic index; PK, pharmacokinetics; Reference, tacrolimus product that is used as reference product for analysis; SCABE, scaled average bioequivalence; T_{max} , time-to-maximum concentration.

at the University of Cincinnati in individuals with a kidney ($n = 35$) or liver transplant ($n = 36$). Abbreviated New Drug Applications (ANDA) data that included manufacturing and healthy individual pharmacokinetic data for all generics were evaluated to select the 2 most disparate generics from innovator, and these were named Generic Hi and Generic Lo. During the 8-week study period, pharmacokinetic studies assessed the bioequivalence of Generic Hi and Generic Lo with the Innovator tacrolimus and with each other. Bioequivalence of the major tacrolimus metabolite was also assessed. All products fell within the US Food and Drug Administration (FDA) average bioequivalence (ABE) acceptance criteria of a 90% confidence interval contained within the confidence limits of 80.00% and 125.00%. Within-subject variability was similar for the area under the curve (AUC) (range 12.11–15.81) and the concentration maximum (C_{max}) (range 17.96–24.72) for all products. The within-subject variability was utilized to calculate the scaled average bioequivalence (SCABE) 90% confidence interval. The calculated SCABE 90% confidence interval was 84.65%–118.13% and 80.00%–125.00% for AUC and C_{max} , respectively. The more stringent SCABE acceptance criteria were met for all product comparisons for AUC and C_{max} in both individuals with a kidney transplant and those with a liver transplant. European Medicines Agency (EMA) acceptance criteria for narrow therapeutic index drugs were also met, with the only exception being in the case of Brand versus Generic Lo, in which the upper limits of the 90% confidence intervals were 111.30% (kidney) and 112.12% (liver). These were only slightly above the upper EMA acceptance criteria limit for an AUC of 111.11%. SCABE criteria were also met for the major tacrolimus metabolite 13-O-desmethyl tacrolimus for AUC, but it failed the EMA criterion. No acute rejections, no differences in renal function in all individuals, and no differences in liver function were observed in individuals with a liver transplant using the Tukey honest significant difference (HSD) test for multiple comparisons. Fifty-two percent and 65% of all individuals with a kidney or liver transplant, respectively, reported an adverse event. The Exact McNemar test for paired categorical data with adjustments for multiple comparisons was used to compare adverse event rates among the products. No statistically significant differences among any pairs of products were found for any adverse event code or for adverse events overall. Limitations of this study include that the observations were made under strictly controlled conditions that did not allow for the impact of nonadherence or feeding on the possible pharmacokinetic differences. Generic Hi and Lo were selected based upon bioequivalence data in healthy volunteers because no pharmacokinetic data in recipients were available for all products. The safety data should be interpreted in light of the small number of participants and the short observation periods. Lastly, only the 1 mg tacrolimus strength was utilized in this study.

Conclusions

Using an innovative, controlled bioequivalence study design, we observed equivalence between tacrolimus innovator and 2 generic products as well as between 2 generic products in individuals after kidney or liver transplantation following current FDA bioequivalence metrics. These results support the position that bioequivalence for the narrow therapeutic index drug tacrolimus translates from healthy volunteers to individuals receiving a kidney or liver transplant and provides evidence that generic products that are bioequivalent with the innovator product are also bioequivalent to each other.

Trial registration

ClinicalTrials.gov [NCT01889758](https://clinicaltrials.gov/ct2/show/study/NCT01889758).

Author summary

Why was this study done?

- Consensus documents developed by professional transplantation societies worldwide have cautioned the use of generic immunosuppressants such as tacrolimus in individuals with a solid organ transplant. Reasons have included repeated switching between innovator (that is, “brand” products) and generics and among different generics, especially when not controlled by physicians.
- There was uncertainty in the transplant community as to whether tacrolimus generics that are bioequivalent to the innovator are also bioequivalent to each other.
- For market approval, generic drug products of the narrow therapeutic index drug tacrolimus had to be studied only in healthy individuals and not in the much more complex organ transplant population.

What did the researchers do and find?

- We performed a randomized, prospective, 3-treatment, 6-period, crossover, replicate dose study in individuals with a kidney or liver transplant.
- Thirty-five individuals with a kidney transplant and 36 individuals with a liver transplant receiving tacrolimus were studied to compare the tacrolimus time concentration profiles of 3 different products in their blood: namely, Innovator (Prograf), Generic Hi (Sandoz), and Generic Lo (Dr. Reddy) 1.0 mg tacrolimus capsules.
- Generic products were selected based upon pharmacokinetic data from healthy volunteer studies since bioequivalence data were not available in individuals with an organ transplant.
- We observed bioequivalence based on average bioequivalence and scaled average bioequivalence criteria in individuals after kidney or liver transplant between tacrolimus innovator and the 2 generics on the US market as well as between the 2 generics.

What do these findings mean?

- Similar tacrolimus exposure is expected in individuals with a kidney or liver transplant when receiving Prograf, Sandoz generic, or Dr. Reddy’s generic tacrolimus.

Introduction

Most individuals receiving a solid organ transplant require lifelong immunosuppression. Switching to generic immunosuppressants may lead to significant savings and improved

adherence [1,2], which is essential for long-term graft survival [3]. The current US Food and Drug Administration (FDA) generic drug approval process has performed well [4]. However, concerns persist regarding whether 2-way crossover studies in healthy individuals using conventional average bioequivalence (ABE) acceptance criteria of a 90% confidence interval contained within the confidence limits of 80.00% to 125.00% are a valid approach for generic immunosuppressant approval for use after transplantation [5,6]. This debate started when cyclosporine generics were developed over 15 years ago [7,8] and was reinvigorated when tacrolimus generics were approved. Consensus documents developed by professional societies from the US, Europe, and Canada [9–12] have cautioned against generic immunosuppressant use, citing (1) the lack of data in transplant recipients, especially “high risk” transplant recipients; (2) the need to implement stricter bioequivalence standards, as tacrolimus is a narrow therapeutic index (NTI) drug for which small changes in dose or exposure can result in therapeutic failure or toxicity; and (3) the lack of bioequivalence data between generics. Molnar et al. published a systematic review and meta-analysis to compare the clinical efficacy and bioequivalence of generic immunosuppressive drugs in individuals with a transplant and concluded that high-quality data were lacking. The authors went further to state that given the serious consequences of rejection and allograft failure, well-designed studies on the bioequivalence and safety of generic immunosuppression in individuals with a transplant are needed [13].

Differing worldwide bioequivalence regulatory standards for NTI drugs make it difficult to interpret bioequivalence study results [14–16]. For NTI drugs, the European Medicines Agency (EMA) requires a narrower 90.00%–111.11% acceptance criterion for the area under the curve (AUC, a measure of actual body exposure to a drug) but uses the usual 80.00%–125.00% acceptance criterion for the concentration maximum (C_{max}) for NTI drugs [14]. Health Canada has adopted standards similar to those of EMA, with an AUC acceptance criterion of 90.00%–112.00% [15]. The FDA has classified tacrolimus as an NTI drug and recommended the scaled average bioequivalence (SCABE) approach to determine bioequivalence [16,17]. With this SCABE approach, both generic and innovator products are given twice with fully replicating measurements in each individual. An innovator pharmaceutical product is the one that was first authorized for marketing on the basis of quality, safety and efficacy. This allows for determination of within-subject variability, which is then used for scaling the bioequivalence acceptance limits based on the reference product for all products tested. This approach creates more stringent bioequivalence criteria: (1) the ABE limits for both AUC and C_{max} are narrowed based on the within-subject variability of the reference product and are never wider than 80.00%–125.00%, and (2) the within-subject variabilities of all products are compared to each other.

Tacrolimus has a complex pharmacokinetic profile, as it is metabolized mainly by hepatic and intestinal cytochrome (CYP) P4503A enzymes and over 90% is eliminated as metabolites. CYP3A5 expressers (*CYP3A5**1/*1 and *CYP3A5**1/*3) are considered patients who “poorly absorb” and may exhibit higher within-subject variability of tacrolimus pharmacokinetics than nonexpressers (*CYP3A5**3/*3). These genetic differences have been associated with poorer outcomes. Tacrolimus is also a substrate of the drug efflux protein p-glycoprotein, ABCB1, thus impacting tacrolimus exposure [17–24]. Because of these complex metabolic and transport processes, stringent ABE testing is used to ensure product excipients do not impact these processes.

Given the aforementioned public concerns [9–12], we hypothesized that 2 generic tacrolimus products currently on the US market meet both FDA ABE and SCABE limits in individuals with a kidney or liver transplant when compared to innovator tacrolimus and when compared to each other in a high-quality study. All products met these bioequivalence criteria.

In addition, we applied EMA NTI ABE criteria, and all products met the criteria except for one that narrowly fell above the AUC limit.

Methods

Study conduct and oversight

The study design was developed in collaboration with the American Society of Transplantation (Mount Laurel, New Jersey, US), the American Society of Transplant Surgeons (Arlington, Virginia, US), and the FDA. Individuals were recruited from the University of Cincinnati Medical Center and The Christ Hospital in Cincinnati. This trial adhered to the Declaration of Helsinki and was approved by local institutions' review boards (2012–4891) and the FDA Research Involving Human Subjects Committee (13-018D). All individuals provided written informed consent. The study was monitored locally and by the FDA and registered on clinicaltrials.gov (NCT-01889758). Methodologies for tacrolimus quantification in whole blood (Tables A–F in [S1 Appendix](#) and Fig A in [S1 Appendix](#)) and genetic polymorphism testing (Table G in [S1 Appendix](#)) are described. The study protocol and statistical analysis plan are also included in the supporting information as [S1 Text](#) and [S2 Text](#). Changes from the prespecified analysis plan included the analysis of the minimum concentration (C_{\min}) in lieu of C_0 and C_{12} tacrolimus concentrations as appropriate based upon guidance documents, and dose normalization was not performed because each individual received the same dose in all treatment periods. No interim analyses were conducted prior to these data analyses.

Test product selection

At study initiation, 5 FDA-approved generic tacrolimus products were available in addition to the innovator product, Prograf (Astellas, Northbrook, Illinois) [25]. Abbreviated New Drug Applications (ANDAs) are submitted to the FDA for all generic products and represent the only data readily available for all products. ANDA data include, but are not limited to, pharmacokinetic data in healthy volunteers that demonstrate bioequivalence between the innovator and generic products by evaluating the pharmacokinetic parameters of AUC and C_{\max} . Product composition, manufacturing, and pharmacokinetic data for all approved generics were reviewed to identify the 2 most disparate generics. ANDA pharmacokinetic data from each product are provided in Table H–I in [S1 Appendix](#). Additional manufacturing comparisons are summarized in Table J in [S1 Appendix](#) [26–31]. One tacrolimus product (Panacea; Baddi, India) was FDA-approved but not commercially available, and it was therefore excluded. Pharmacokinetic parameters of AUC and C_{\max} were examined for the greatest difference between the generic and the innovator product as being the most disparate and named Generic Hi and Generic Lo. Sandoz tacrolimus (Sandoz, Princeton, New Jersey, US) was identified as Generic Hi based upon higher point estimates and higher upper 90% confidence interval compared to innovator. Dr. Reddy tacrolimus (Dr. Reddy, Bachupally, India) was identified as Generic Lo based upon lower point estimates and lowest lower 90% confidence interval compared to innovator. Single tacrolimus 1 mg capsule lots (the most frequently prescribed dosage strength) of Innovator (Prograf), Generic Hi (Sandoz, Princeton, New Jersey, US), and Generic Lo (Dr. Reddy, Bachupally, India) were purchased from a pharmacy wholesaler and controlled by the University of Cincinnati Medical Center Investigational Drug Services. The University of Iowa Pharmaceuticals (Iowa City, Iowa, US), iC42 Clinical Research and Development (Aurora, Colorado, US), and the FDA independently performed dissolution, purity, and content uniformity testing according to applicable US Pharmacopeia Convention guidelines [29]. Similar results were obtained by both groups. The FDA results are reported in Tables K–O in [S1 Appendix](#).

Study population, randomization and blinding

Individuals with a kidney or liver transplant included in the present study were at least 18 years old, with stable organ function and no evidence of rejection. Said individuals were at steady state and on stable doses of immunosuppressants including tacrolimus with no expected changes to their immunosuppressive drug regimens to eliminate confounders that occur early post-transplant or during times of rejection. Other eligibility criteria are listed in Section F of [S1 Appendix](#). Study participants were stratified by organ type and randomized to 1 of 3 treatment sequences, each including 2 periods of Innovator (Prograf), Generic Hi (Sandoz), and Generic Lo (Dr. Reddy) ([Fig 1](#)). The replicate dosing design of administering each product twice allowed for analysis of within-subject variability by product. An independent statistician generated a randomization list using SAS (version 9.03, SAS Institute, Cary, North Carolina, US) and provided it to the investigational drug pharmacist. Eligible transplant recipients were recruited from 2 clinical sites, but all screening visits occurred at the University of Cincinnati Medical Center. The investigational drug pharmacist consecutively assigned individuals to a treatment sequence as received, independent of site. All parties were blinded to the randomization sequence allocation until after the pharmacokinetics (PK) analysis was completed. (Additional blinding information is located in Section G of [S1 Appendix](#).)

Treatment protocol

Eligible transplant recipients were screened via telephone. Potential study participants completed a baseline visit, including written informed consent and laboratory, physical, and genetic polymorphism testing (including *CYP3A5**3, *CYP3A4**1B, *CYP3A4**22, *POR**28, and 3 *ABCB1* SNPs) [[18–24](#)]. The study assessment schedule is shown in [Table P](#) in [S1 Appendix](#). Study participants were seen 2 weeks later for baseline laboratory examination and randomization and were provided with study drug. Medications were dispensed with a Medication Event Monitoring System (MEMS, AARDEX, Palo Alto, California) bottle cap for electronic monitoring of study medication access. Pill counts were recorded at each pharmacokinetic visit. Individuals were excluded from the analysis if they were nonadherent within 48 hours of PK assessment (Section I in [S1 Appendix](#)).

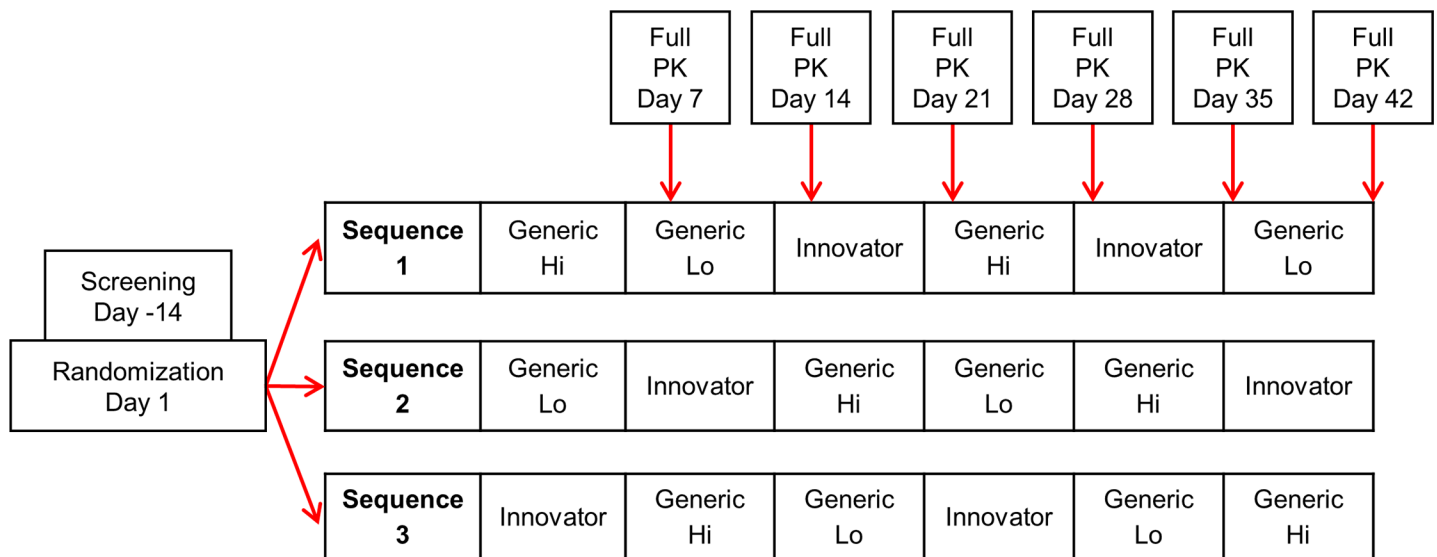


Fig 1. Randomization sequence and study design. PK, pharmacokinetics.

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Tacrolimus doses remained constant during the entire study period. After a 7-day treatment period, individuals underwent a 12-hour tacrolimus pharmacokinetic assessment with dosing and sampling times strictly controlled and monitored. The 7-day treatment period was adequate to reach steady state based upon observed half-life in individuals receiving a kidney or liver transplant. Fifteen tacrolimus samples were collected at C_0 (before the morning dose) and at 20, 40, 60, 80, 100, 120, 140, and 160 minutes and 3, 4, 5, 6, 8, and 12 hours following dosing. Six 12-hour pharmacokinetic assessments were completed after 7 days of administration of each tacrolimus product. All samples were analyzed for tacrolimus and metabolites using a validated high-performance liquid chromatography-tandem mass spectrometry (LC-MS/MS) assay (Sections A and B in [S1 Appendix](#)). All individuals fasted until a standardized meal was allowed after the 4-hour and 12-hour sample collection. At each visit, study participants were assessed for safety lab tests, adverse events, medication adherence, and medication regimen changes (Table P in [S1 Appendix](#)).

Statistical, pharmacokinetic, and bioequivalence analysis

Data were stored electronically using a REDCap database [32], including all laboratory, patient diary, and bioanalytical data. Data monitoring and analysis plans defined a priori were executed. After data were monitored and all queries resolved, the database was locked. Only individuals completing all 6 pharmacokinetic study periods were analyzed. Actual sample collection times were used for analysis. For each type of organ transplant, a sample size of 24 individuals was required to achieve 90% statistical power for concluding bioequivalence in crossover trials at an alpha of 0.05 using standard bioequivalence limits of 80%–125% and assuming a true difference of 0 [16,33,34].

The primary outcome was to determine whether Innovator (Prograf), Generic Hi (Sandoz), and Generic Lo (Dr. Reddy) tacrolimus products were bioequivalent with each other by comparing their AUC and C_{max} using conventional ABE limits (the 90% CIs of the ratio of geometric means of the 2 products for C_{max} and AUC were within the range of 80%–125%) [34] and reference SCABE limits [16]. The observed C_{min} represented the minimum concentration and was analyzed in lieu of C_0 or C_{12} . Observed T_{max} represented the actual time at which the maximum concentration was measured. Each patient served as his or her own control; therefore, dose normalization was not required. The investigators and the FDA independently analyzed the primary end-point data using WinNonlin software (version 6.4, Phoenix, Certara, Princeton, New Jersey, US) and SAS (version 9.3, SAS Institute, Cary, North Carolina), respectively. The investigators' analysis results are reported.

Secondary outcomes included ABE and SCABE assessment in prespecified subgroups and assessments for safety and efficacy. Subgroups in which there were at least 6 recipients and the statistical model converged are reported. The prespecified subgroups included recipient sex; age; African-American race; diabetes; CYP3A4/5, POR 28, and ABCB1 polymorphisms; and donor CYP3A5, as these subgroups are reported to strongly influence tacrolimus concentrations [18]. The study was not powered to identify differences by subgroup. In addition, pharmacokinetic parameters of the primary tacrolimus metabolite, 13-O-desmethyl tacrolimus, were compared.

Safety was assessed at baseline and weekly throughout the study by complete metabolic panels and complete blood cell count with differential. Baseline and weekly assessments included markers of transplant function in individuals with a kidney transplant (creatinine) or liver transplant (aspartate aminotransferase [AST], alanine aminotransferase [ALT], and alkaline phosphatase). To compare kidney and liver function tests among products, a mixed effects model was run with a term designating the product received in each period and a random

subject term and using the Tukey's honest significant difference (HSD) test for multiple comparisons, where needed [35]. The study was not powered to assess differences in transplant organ function. Total daily tacrolimus dose data were summarized using means and standard deviation, and between-group differences were analyzed using the *t* test. Reports of adverse events were collected at each visit and coded utilizing the Common Terminology Criteria for Adverse Events (CTCAE v4.0) [36]. The Exact McNemar test for paired categorical data with adjustments for multiple comparisons was used to compare adverse event rates among the products [37]. No statistically significant differences among any pairs of products were found for any adverse event code or for adverse events overall.

ABE was assessed within each transplant organ group (i.e., kidney and liver) by using a mixed effects analysis of variance model for a 6-period crossover design with the \log_e -transformed pharmacokinetic parameter estimates (AUC, C_{\max} , and C_{\min}) as the response variable. Fixed effect terms in the model included sequence, period, and treatment. Random effects included subject nested within sequence. The error variance structure accounted for the repeated measures of treatments within each subject. Two-sided 90% confidence intervals using the differences in least square means and the appropriate error terms from the model were calculated for each pairwise assessment of bioequivalence. The estimates and end points of the confidence intervals were back-transformed to obtain the ratios of the parameters being assessed for bioequivalence and the corresponding 90% confidence interval for the ratios. If the entire confidence interval was contained within the range of 80% to 125%, then ABE was established.

To assess SCABE, the estimate of within-subject variability for each treatment was obtained by using a mixed effects model within each organ type and treatment group. Fixed effect terms included sequence, replication (i.e., first or second), and sequence-by-replication interaction. Random effect terms include subject nested within sequence. This model provided estimates of the within-subject variability for each treatment, and these were then used to adjust the bioequivalence end points to obtain the SCABE limits and calculate the criterion bound in accordance with FDA guidance for NTI drugs [16]. SCABE was concluded if each of the following criteria were met: (1) the 2-sided 90% confidence interval calculated for the ABE assessment must fall entirely within the SCABE limits, (2) the criterion bound must be less than 0, and (3) the upper 90% confidence limit for the ratio of the within-subject variabilities for the 2 treatments being assessed must be less than 2.5 [16].

Results

Study individuals

From December 2013 through October 2014, 42 individuals with a kidney transplant and 40 individuals with a liver transplant were consented and followed as per the study protocol. Seventy-one individuals were analyzable (kidney, $n = 35$; liver, $n = 36$). The most frequent causes of noneligibility during screening were (1) a greater than 3-hour drive from the study center, (2) receiving the 0.5-mg tacrolimus dosage form, (3) renal function < 35 ml/min, (4) not receiving tacrolimus, (5) a history of multiorgan transplant (i.e., kidney and pancreas or liver and kidney), (6) documented nonadherence, or (7) a history of cancer. Moreover, individuals with a liver transplant and active hepatitis C were not eligible. A complete list of inclusion and exclusion criteria is in Section F in [S1 Appendix](#). Consolidated Standards Of Reporting Trials (CONSORT) flow diagrams ([Fig 2](#)) and checklist ([S1 CONSORT Checklist](#)) are provided.

The demographic and baseline characteristics reported to impact the tacrolimus pharmacokinetics of the analyzed individuals are summarized in [Table 1](#) and were similar to intent-to-treat individuals ([Table Q](#) in [S2 Appendix](#)). Most individuals received tacrolimus, mycophenolate,

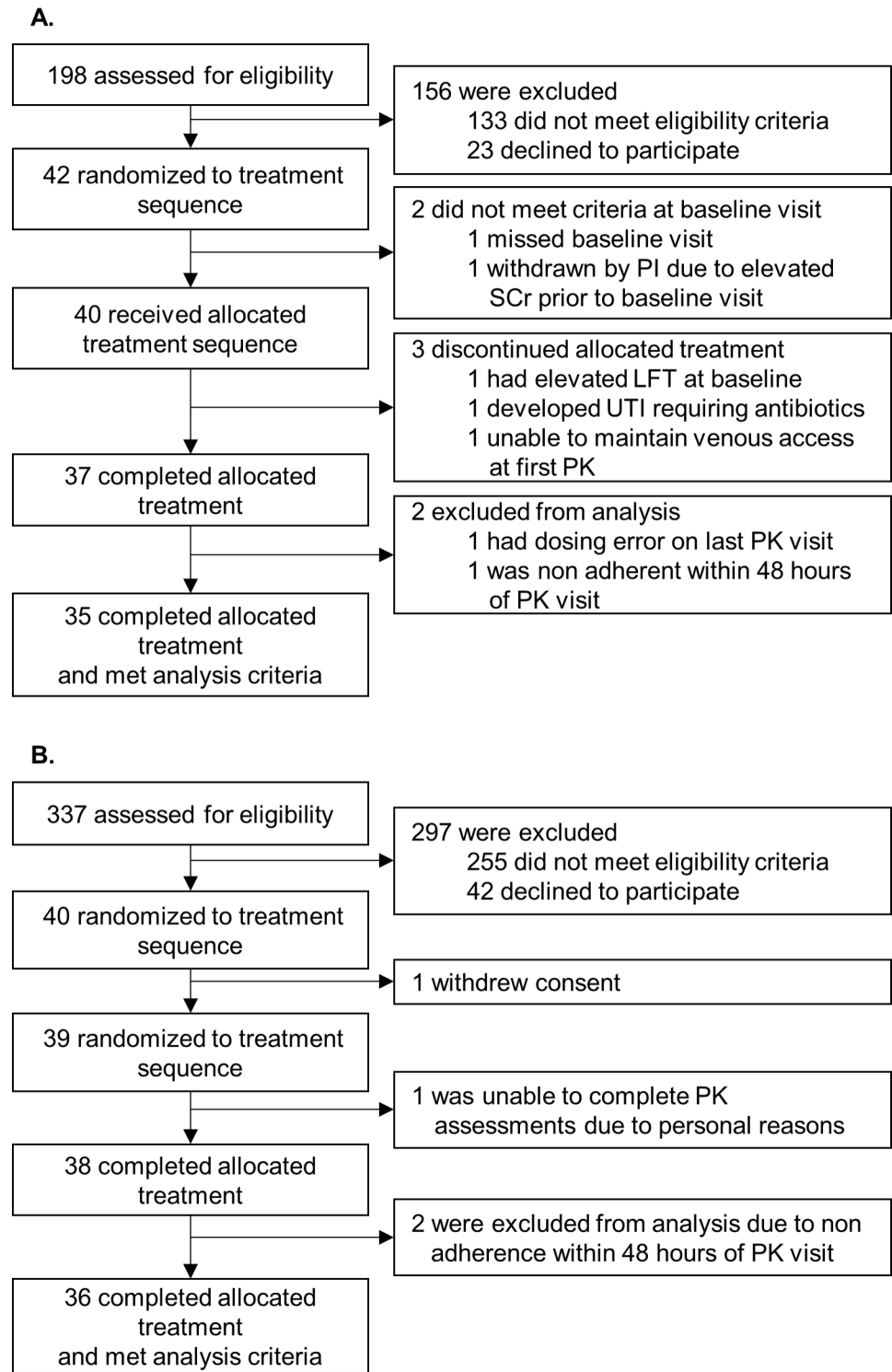


Fig 2. Kidney (A) and liver (B) Consolidated Standards Of Reporting Trials (CONSORT) flow diagram. (A) Individuals with a kidney transplant. (B) Individuals with a liver transplant. LFT, liver function tests; PI, principal investigator; PK, pharmacokinetics; SCr, serum creatinine; UTI, urinary tract infection.

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Table 1. Demographic and baseline characteristics of analyzed study individuals with a kidney or liver transplant.

Variable	Individuals with a kidney transplant (n = 35)	Individuals with a liver transplant (n = 36)
Age (y) median (IQR)	52 (39.0–59.0)	57 (48.5–61.0)
Gender (male)	65.7%	47.2%
Race (African American)	17.1%	2.8%
Transplant donor type		
Deceased	25.7%	100%
Living related	34.3%	0%
Living unrelated	40%	0%
Time post-transplant (y) median (IQR)	4.5 (3.3–7.9)	3.2 (1.8–6.9)
Presence of diabetes (%)	37.1	27.8
Maintenance immunosuppression		
Steroids (%)	14.3	8.3
Mycophenolic acid (%)	100	88.9
Median mg/d tacrolimus dose (IQR)	5.0 (4.0–8.0)	4.0 (3.0–6.0)

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and corticosteroid-free immunosuppression. Immunosuppressive regimens, including tacrolimus doses, remained constant throughout the 6-week study. Individuals with a kidney or liver transplant received a median mg/day (IQR) tacrolimus dose of 5.0 (4.0–8.0) or 4.0 (3.0–6.0), respectively. During the study, no patient initiated, discontinued, or changed doses of known CYP3A inhibitors or inducers that could impact pharmacokinetic observations.

Adherence monitoring

Adherence was evaluated using the MEMS system to insure the quality of the pharmacokinetic evaluations. Adherence was defined as the degree to which the number of medication doses taken each day matched the number of prescribed doses. Over 6 weeks, MEMS-based adherence was 99.75% (range: 97.67%–100%). Three individuals were excluded from the analysis due to nonadherence (kidney, *n* = 1; liver, *n* = 2) (Fig 2). MEMS-based adherence rates are reported in Tables R and S in S2 Appendix.

ABE, SCABE, and within-subject variability comparison

Tacrolimus 12-hour concentrations following chronic dosing are presented for individuals with a kidney (Fig 3A) or liver transplant (Fig 3B). Two tacrolimus concentration-time curves for each product are depicted, representing the first and second exposures to the product. Values for the mean and the standard deviation (SD) for each pharmacokinetic time point are reported in Table 2. There were no statistically significant differences observed by product between time points as assessed by Kruskal-Wallis [38].

Pharmacokinetic parameters for individuals with a kidney or liver transplant by product are summarized in Table 3. Point estimates of the geometric means with the resulting 2-sided 95% confidence intervals are presented for AUC, C_{max} , C_{min} , and T_{max} by product. Product selection was based upon pharmacokinetic studies in healthy individuals as previously described. The Generic Hi (Sandoz) observed exposure was numerically higher than that of Innovator in both individuals with a kidney transplant and those with a liver transplant. In this study, the point estimate for Generic Lo exposure was numerically higher than that of both Innovator and Generic Hi in both individuals with a kidney transplant and those with a liver transplant. However, this can be expected to be caused by random variability since these products were shown to be bioequivalent. (Table 3)

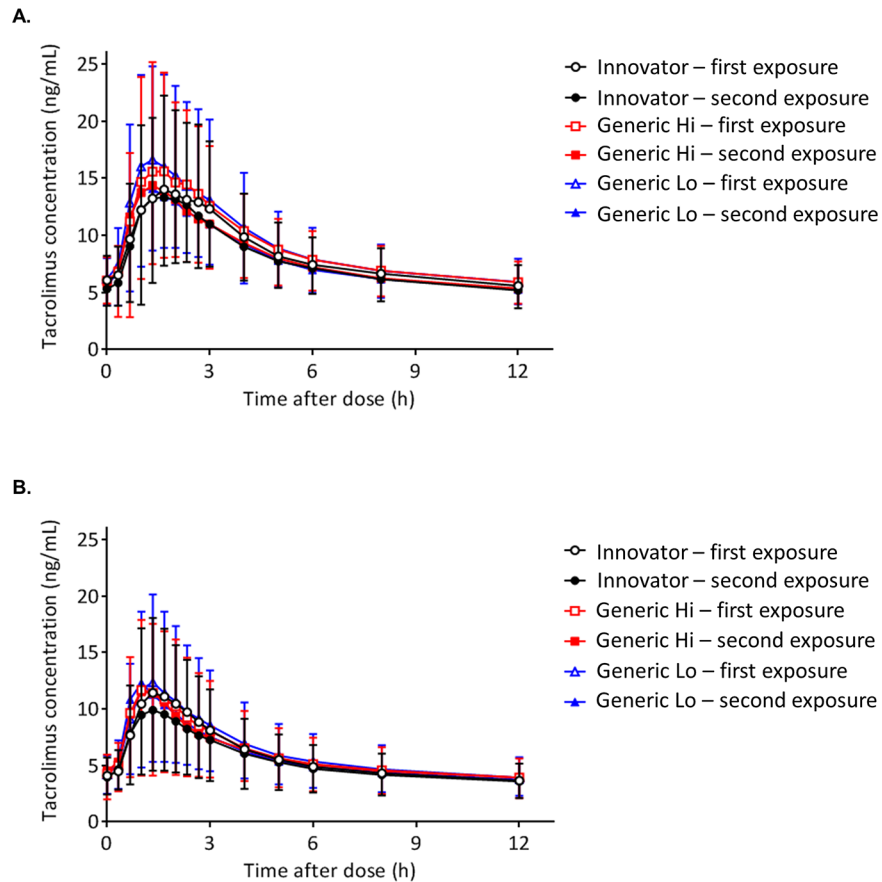


Fig 3. Comparison of tacrolimus time concentration curves by product. (A) Individuals with a kidney transplant. (B) Individuals with a liver transplant. Fifteen tacrolimus blood samples were collected at C_0 (before the morning dose) and at 20, 40, 60 (1 hour), 80, 100, 120 (2 hours), 140, 160, and 180 (3 hours) minutes and 4, 5, 6, 8, and 12 hours to construct the tacrolimus concentration-time curves. Each tacrolimus product—Innovator, Generic Hi, and Generic Lo—was administered according to the randomly assigned treatment sequence (Fig 1) twice for 1 week before collection of steady-state pharmacokinetic profiles. Each point on the curve displays the tacrolimus mean whole blood concentrations; standard deviations are depicted by error bars. There were no statistically significant differences between any time points.

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The primary end point of bioequivalence of these pharmacokinetic parameters was tested using SCABE on log-transformed data. When comparing Innovator with Generic Hi and Generic Lo, and Generic Hi with Generic Lo, AUC, C_{max} , and C_{min} fell within conventional ABE limits of 80%–125%, as well as within the tighter SCABE acceptance limits. Comparing interindividual variability of systemic tacrolimus exposure (AUC), within-subject variability ranged from 12.11% to 15.81%. Similarly, C_{max} within-subject variability ranged from 17.96% to 24.72% for all products. Per the FDA guidance for SCABE testing, all products exhibited similar pharmacokinetic variability since the upper limit of the 90% confidence interval for the ratio of within-subject variability was equal to or less than 2.5 (Tables 4 and 5). SCABE criteria were met for all product comparisons for AUC and C_{max} in both individuals with a kidney and those with a liver transplant. In reference to the EMA bioequivalence acceptance range for AUC of 90.00%–111.11%, these limits were met, with the only exception being in the case of Innovator versus Generic Lo. Here the upper limits of the 90% -confidence intervals—111.30% (kidney) and 112.12% (liver)—were slightly above the upper EMA AUC acceptance

Table 2. Tacrolimus level results at each time point for individuals with a kidney or liver transplant.

Individuals with a kidney transplant													
PK time point (min/h)	Innovator				Generic Hi				Generic Lo				p-Value
	First exposure		Second exposure		First exposure		Second exposure		First exposure		Second exposure		
	Mean ng/ml	SD	Mean ng/ml	SD	Mean ng/ml	SD	Mean ng/ml	SD	Mean ng/ml	SD	Mean ng/ml	SD	
0	6.1	2.2	5.3	1.5	6.1	2.0	5.7	1.7	6.2	1.8	5.6	1.7	0.36
20 min	6.5	2.5	5.8	2.0	6.8	2.3	6.9	4.0	7.4	3.3	6.8	3.0	0.25
40 min	9.7	4.9	9.0	4.9	11.2	6.0	11.6	8.8	12.9	6.8	11.5	6.4	0.06
1 h	12.2	7.4	12.3	8.4	14.7	9.2	13.7	7.6	16.0	8.0	13.9	6.7	0.11
80 min	13.2	7.1	13.3	7.4	15.6	9.6	14.4	6.9	16.6	8.2	14.1	5.4	0.33
100 min	14.0	8.2	13.3	5.9	15.6	8.7	13.9	5.8	16.0	8.1	13.4	4.5	0.72
2 h	13.6	7.4	13.2	5.6	14.6	7.0	13.1	5.0	15.2	7.9	13.0	4.1	0.86
140 min	13.1	6.7	12.7	5.0	14.5	6.5	12.1	4.5	14.3	7.3	12.0	3.6	0.76
160 min	12.9	6.8	11.7	4.6	13.6	5.9	11.4	3.8	13.7	7.4	11.6	3.5	0.72
3 h	12.3	5.9	11.0	3.7	12.6	5.2	11.0	3.9	13.1	7.0	11.0	3.6	0.79
4 h	9.9	3.8	9.0	3.0	10.4	3.3	9.3	3.1	10.7	4.8	9.3	3.5	0.37
5 h	8.2	3.0	7.7	2.3	8.8	2.7	8.0	2.4	8.9	3.2	7.8	2.3	0.44
6 h	7.4	2.4	7.1	2.3	7.9	2.5	7.2	2.1	7.9	2.8	7.0	2.0	0.54
8 h	6.6	2.2	6.2	1.9	6.9	2.2	6.2	1.6	6.9	2.3	6.2	1.7	0.50
12 h	5.6	1.8	5.2	1.6	5.9	1.8	5.3	1.3	5.9	2.1	5.3	1.3	0.51
Individuals with a liver transplant													
0	4.1	1.6	3.9	1.5	4.3	1.7	4.5	2.5	4.2	1.7	4.2	1.7	0.90
20 min	4.5	1.9	4.7	1.8	5.0	2.0	5.3	2.6	5.0	2.2	5.4	2.5	0.57
40 min	7.7	4.4	7.8	4.5	9.7	4.9	9.5	5.6	9.1	4.9	10.9	6.6	0.18
1 h	10.5	6.7	9.5	5.3	11.7	6.2	11.5	7.4	11.8	6.9	12.3	7.5	0.53
80 min	11.4	6.6	9.9	5.4	11.4	6.1	11.4	7.3	12.4	7.8	11.3	6.0	0.85
100 min	11.1	6.0	9.5	5.0	10.9	6.0	10.6	6.2	11.4	7.2	10.4	5.1	0.91
2 h	10.5	5.2	8.9	4.5	10.2	5.9	9.4	5.2	10.7	6.7	9.5	4.3	0.80
140 min	9.7	4.6	8.2	4.1	9.3	5.3	8.4	4.4	9.7	5.9	8.7	3.7	0.68
160 min	8.8	4.0	7.6	3.8	8.5	4.7	7.8	3.9	9.1	5.4	8.0	3.4	0.72
3 h	8.1	3.6	7.3	3.6	8.0	4.4	7.4	3.5	8.5	4.9	7.5	3.1	0.81
4 h	6.4	2.7	6.1	3.1	6.5	3.3	6.4	2.8	6.9	3.7	6.2	2.4	0.89
5 h	5.5	2.2	5.3	2.5	5.6	2.7	5.5	2.5	5.9	2.8	5.4	2.0	0.95
6 h	4.9	1.9	4.7	2.1	5.1	2.3	5.1	2.4	5.4	2.4	4.9	1.9	0.88
8 h	4.3	1.7	4.2	1.8	4.6	2.1	4.5	2.0	4.7	2.1	4.4	1.7	0.92
12 h	3.7	1.5	3.6	1.5	3.9	1.7	3.9	1.8	3.9	1.8	3.7	1.4	0.96

PK, pharmacokinetics; SD, standard deviation.

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criterion [14], whereas only Innovator versus Generic Lo in liver transplant recipients was also above the Health Canada AUC bioequivalence acceptance limits for critical dose drugs of 90%–112% [15].

Individual pharmacokinetic tacrolimus time-concentration curves for each pharmacokinetic period by product are presented (Figs D and E in S2 Appendix) [39]. Upon visual inspection of individual pharmacokinetic curves, differences can be observed upon comparison between products and between replicate administration of the same product.

Table 3. Comparison of key pharmacokinetic parameters after administration of the different tacrolimus products.

PK metrics	Individuals with a kidney transplant		
	Point estimate geometric mean (95% confidence interval)		
	Innovator	Generic Hi	Generic Lo
AUC ¹ (ng*h/ml)	92.86 (83.41–103.38)	97.93 (88.33–108.58)	98.38 (88.57–109.28)
C _{MAX} ² (ng/ml)	14.47 (12.57–16.65)	15.72 (13.67–18.08)	15.88 (13.79–18.30)
C _{MIN} ² (ng/ml)	4.89 (4.42–5.41)	5.13 (4.67–5.63)	5.11 (4.65–5.61)
T _{MAX} ³ (h)	1.70 (1.50–1.94)	1.47 (1.27–1.70)	1.48 (1.28–1.72)
PK metrics	Individuals with a liver transplant		
	Point estimate geometric mean (95% confidence interval)		
	Innovator	Generic Hi	Generic Lo
AUC ¹ (ng*h/ml)	62.26 (53.87–71.96)	65.26 (56.02–76.01)	66.87 (57.70–77.49)
C _{MAX} ² (ng/ml)	10.49 (8.92–12.34)	11.16 (9.38–13.29)	11.73 (9.85–13.97)
C _{MIN} ² (ng/ml)	3.26 (2.83–3.76)	3.49 (3.00–4.05)	3.42 (2.96–3.94)
T _{MAX} ³ (h)	1.35 (1.19–1.52)	1.16 (1.00–1.34)	1.19 (1.05–1.35)

AUC, area under the curve; PK, pharmacokinetics.

¹AUC is quantified by the units of tacrolimus concentration (ng/ml) over time (h).

²The C_{MAX} and the C_{MIN} tacrolimus concentrations are reported in units of ng/ml and represent the highest and lowest observed concentration, respectively.

³T_{max} is the time the maximum concentration is observed and is reported in hours (h).

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Subgroup analysis

The study was not powered to show a difference by any subgroup analyzed. A majority of individuals with a kidney transplant were genotyped as nonexpressers with CYP3A5 *3/*3 (*n* = 23), and 12 were expressers carrying *1/*3 (*n* = 10), and *1/*1 (*n* = 2) variants (Figs F and G in [S2 Appendix](#)). Most individuals with a liver transplant were genotyped as nonexpressers (*n* = 30); however, 6 expressed the *1/*3 variant (Figs H and I in [S2 Appendix](#)). Donor samples were available for 17 individuals with a kidney transplant and for 24 with a liver transplant. Most kidney donors were genotyped as nonexpressers (*n* = 12); however, 5 were expressers with *1/*3 (*n* = 4) and *1/*1 (*n* = 1) variants (Figs J and K in [S2 Appendix](#)). Most liver individual donors were genotyped as CYP3A5 nonexpressers (*n* = 14); however, 10 were expressers with *1/*3 (*n* = 5) and *1/*1 (*n* = 5) variants (Figs L and M in [S2 Appendix](#)).

Individuals with a kidney transplant expressing CYP3A5 required significantly higher tacrolimus doses to achieve target tacrolimus trough blood levels (8.17 ± 2.5 versus 4.26 ± 1.9 mg/day [*p* = 0.0002]). In individuals with a liver transplant, recipient CYP3A5 genotype had no effect on the tacrolimus doses required to achieve target trough blood levels (4.67 ± 2.2 versus 4.5 ± 1.2 mg/day [*p* = 0.80]). When assessing the donor variant status, donor CYP3A5 expression had no impact on tacrolimus dose requirements for either individuals with a kidney transplant (6.25 ± 2.1 versus 5.40 ± 4.2 mg/day [*p* = 0.69]) or those with a liver transplant (4.71 ± 1.9 versus 5.70 ± 2.4 mg/day [*p* = 0.29]). No differences in within-subject variability were observed by CYP3A5 genotype in individuals with a kidney or liver transplant. No association between AUC and ABCB1 genotype as well as no impact on dosing requirements for individuals with a kidney (ANOVA *p* = 0.08) or liver transplant (ANOVA *p* = 0.35) was found (Figs N and O in [S2 Appendix](#) for AUCs).

ABE and SCABE limits for AUC were calculated for subgroups to assess for consistency of results (Figs PA–PC in [S2 Appendix](#) [kidney] and Figs QA–QC in [S2 Appendix](#) [liver]). In general, all FDA ABE limits were met, with most also meeting the stricter SCABE limits;

however, most exceeded the EMA upper limit of 111.11%. For subgroups not meeting the ABE or SCABE criteria, the number of observations was 10 or fewer, resulting in low statistical power to conclude ABE or SCABE, except for POR*28. ABE was concluded for both POR*28 carriers and noncarriers for individuals with a kidney or liver transplant. For POR*28 carriers, SCABE was demonstrated except in the case of Generic Lo versus Innovator in individuals with a kidney transplant ($n = 17$) and Generic Hi versus Generic Lo in individuals with a liver transplant ($n = 17$). For POR*28 noncarriers, SCABE was demonstrated except for Generic Hi versus Innovator in individuals with a kidney transplant ($n = 18$) and individuals with a liver transplant ($n = 19$) and for Generic Lo versus Innovator in individuals with a liver transplant ($n = 19$). ABE criteria were met for most subgroups, except for individuals with a kidney transplant and a CYP3A4*1B genotype and individuals with a liver transplant and a CYP3A5 *1/*1 or *1/*3 genotype (Figs PA-C in [S2 Appendix](#) [kidney], Figs QA-C in [S2 Appendix](#) [liver]).

Tacrolimus metabolite exposure

The blood concentrations of the major tacrolimus metabolite, 13-O-desmethyl tacrolimus, were also found to meet FDA ABE and SCABE AUC bioequivalence acceptance criteria in individuals with a kidney or liver transplant, but they failed EMA AUC acceptance limits (Tables T–U in [S2 Appendix](#)).

Safety

One serious adverse event of pyelonephritis was reported in an individual with a kidney transplant, resulting in hospitalization prior to study drug administration. This event resolved with treatment, and the individual was withdrawn. Fifty-two percent and 65% of all individuals with a kidney or liver transplant, respectively, reported an adverse event. The adverse events are sorted by formulation and by individuals with a kidney or liver transplant and CTCAE disorder classification. The Exact McNemar test for paired categorical data with adjustments for multiple comparisons was used to compare adverse event rates among the products. No statistically significant differences among any pairs of products were found for any adverse event code or for adverse events overall (Table V in [S2 Appendix](#)).

No acute rejections occurred during the study period of 6 weeks. Baseline and weekly assessments included markers of transplant function in individuals with a kidney (creatinine) or liver (AST, ALT, and alkaline phosphatase) transplant. To compare kidney and liver function tests among products, a mixed effects model was run with a term designating the product received in each period and a random subject term and using the Tukey HSD test for multiple comparisons, where needed. No statistically significant differences were found among products (Fig R–W in [S2 Appendix](#)).

Discussion

Public concerns remain regarding generic tacrolimus use in individuals with a kidney or liver transplant despite the significant market penetration of generic tacrolimus in the US. Historically, concerns were generated by a lack of definitive clinical evidence with properly controlled trials in target populations [13]. Limitations of previous studies [40–45] include retrospective evaluations, case reports, poor study design (underpowered or without appropriate controls), analysis of trough concentrations only, lack of analysis of confounders such as comedications and comorbidities, incorrect pharmacokinetic analysis, and use of nonspecific immunoassays in which metabolites may interfere with tacrolimus concentration measurements, thus leading to considerable bias and limited conclusions [13].

Table 4. Results of bioequivalence testing using average bioequivalence (ABE) and scaled average bioequivalence (SCABE) metrics for the area under the curve (AUC) (A), maximum concentration (C_{max}) (B), and the minimum concentration (C_{min}) (C) in individuals with a kidney transplant.

(A) AUC									
Reference	Test	AUC geometric mean ratio (%)	Reference within-subject variability (S _{wr} , %)	Observed 90% confidence interval	Confidence bound	SCABE limit	Variability comparison (1 statistics of σ_{WT}/σ_{WR})	Product approval standards	
								FDA ²	EMA ³
Innovator	Generic Hi	105.47	12.11	101.44–109.65	-0.0060	88.02–113.61	1.62	Pass	Pass
Innovator	Generic Lo	105.95	12.11	100.85–111.30	-0.0035	88.02–113.61	1.75	Pass	Fail
Generic Hi	Generic Lo	100.46	14.62	95.45–105.72	-0.0163	85.72–116.65	1.45	Pass	Pass
Generic Lo	Generic Hi	99.54	15.81	94.59–104.76	-0.0192	84.65–118.13	1.24	Pass	Pass
(B) C _{MAX}									
Reference	Test	C _{MAX} geometric mean ratio (%)	Reference within-subject variability (S _{wr} , %)	Observed 90% confidence interval	Confidence bound	SCABE limit	Variability comparison (1 statistics of σ_{WT}/σ_{WR})	Product approval standards	
								FDA ²	EMA ³
Innovator	Generic Hi	108.68	22.74	102.77–114.94	-0.0293	80.00–125.00	1.46	Pass	Pass
Innovator	Generic Lo	109.80	22.74	101.06–119.29	-0.0207	80.00–125.00	1.12	Pass	Pass
Generic Hi	Generic Lo	101.02	24.72	93.69–108.93	-0.0469	80.00–125.00	1.03	Pass	Pass
Generic Lo	Generic Hi	98.99	18.99	91.81–106.73	-0.0266	81.86–122.16	1.75	Pass	Pass
(C) C _{MIN}									
Reference	Test	C _{MIN} geometric mean ratio (%)	Reference within-subject variability (S _{wr} , %)	Observed 90% confidence interval	Confidence bound	SCABE limit	Variability comparison (1 statistics of σ_{WT}/σ_{WR})	Product approval standards	
								FDA ²	EMA ³
Innovator	Generic Hi	104.85	12.24	100.74–109.12	-0.0071	87.90–113.77	1.24	Pass	Pass
Innovator	Generic Lo	104.48	12.24	99.68–109.51	-0.0067	87.90–113.77	1.66	Pass	Pass
Generic Hi	Generic Lo	99.65	11.33	94.81–104.73	-0.0094	88.75–112.68	1.80	Pass	Pass
Generic Lo	Generic Hi	100.36	15.14	95.49–105.48	-0.0177	85.25–117.30	1.01	Pass	Pass

EMA, European Medicines Agency; FDA, US Food and Drug Administration; σ_{WR} , within-subject standard deviation for the reference product; σ_{WT} , within-subject standard deviation for the test product.

¹Upper limit of the 90% confidence interval of the ratio of within-subject standard deviation of test product to reference product, σ_{WT}/σ_{WR} .

²FDA product approval standards for SCABE require the AUC and the C_{MAX} observed 90% confidence intervals to fall within the SCABE limit.

³EMA product approval standards for tacrolimus ABE require the observed 90% confidence interval to fall within 90.00%–111.10% for AUC and within 80.0%–125.0% for C_{MAX}.

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Table 5. Results of bioequivalence testing using average bioequivalence (ABE) and scaled average bioequivalence (SCABE) metrics for the area under the curve (AUC) (A), maximum concentration (C_{max}) (B), and the minimum concentration (C_{min}) (C) in individuals with a liver transplant.

(A) AUC									
Reference	Test	AUC geometric mean ratio (%)	Reference within-subject variability (S _{wr} , %)	Observed 90% confidence interval	Confidence bound	SCABE limit	Variability comparison (1 statistics of σ_{wT}/σ_{WR})	Product approval standards	FDA ² EMA ³
Innovator	Generic Hi	104.81	14.26	101.28–108.47	-0.0124	86.05–116.22	1.34	Pass	Pass
Innovator	Generic Lo	107.40	14.26	102.88–112.12	-0.0071	86.05–116.22	1.19	Pass	Fail
Generic Hi	Generic Lo	102.47	14.26	97.93–107.23	-0.0142	86.05–116.22	1.19	Pass	Pass
Generic Lo	Generic Hi	97.59	12.70	93.26–102.12	-0.0106	87.48–114.32	1.50	Pass	Pass
(B) C _{MAX}									
Reference	Test	C _{MAX} geometric mean ratio (%)	Reference within-subject variability (S _{wr} , %)	Observed 90% confidence interval	Confidence bound	SCABE limit	Variability comparison (1 statistics of σ_{wT}/σ_{WR})	Product approval standards	FDA ² EMA ³
Innovator	Generic Hi	106.39	17.96	101.96–111.02	-0.0192	82.76–120.83	1.83	Pass	Pass
Innovator	Generic Lo	111.84	17.96	105.98–118.03	-0.0050	82.76–120.83	1.69	Pass	Pass
Generic Hi	Generic Lo	105.12	24.61	98.50–112.19	-0.0424	80.00–125.00	1.23	Pass	Pass
Generic Lo	Generic Hi	95.13	22.67	89.14–101.52	-0.0348	80.00–125.00	1.45	Pass	Pass
(C) C _{MIN}									
Reference	Test	C _{MIN} geometric mean ratio (%)	Reference within-subject variability (S _{wr} , %)	Observed 90% confidence interval	Confidence bound	SCABE limit	Variability comparison (1 statistics of σ_{wT}/σ_{WR})	Product approval standards	FDA ² EMA ³
Innovator	Generic Hi	106.88	12.03	103.35–110.54	-0.0043	88.09–113.52	1.44	Pass	Pass
Innovator	Generic Lo	104.64	12.03	100.89–108.54	-0.0074	88.09–113.52	1.09	Pass	Pass
Generic Hi	Generic Lo	97.91	12.94	93.96–102.03	-0.0118	87.25–114.61	1.02	Pass	Pass
Generic Lo	Generic Hi	102.14	9.84	98.02–106.43	-0.0057	90.15–110.93	1.76	Pass	Pass

EMA, European Medicines Agency; FDA, US Food and Drug Administration; σ_{WR} , within-subject standard deviation for the reference product; σ_{wT} , within-subject standard deviation for the test product.

¹Upper limit of the 90% confidence interval of the ratio of within-subject standard deviation of test product to reference product, σ_{wT}/σ_{WR} .

²FDA product approval standards for SCABE require the AUC and the C_{MAX} observed 90% confidence intervals to fall within the SCABE limit.

³EMA product approval standards for tacrolimus ABE require the observed 90% confidence interval to fall within 90.00%–111.10% for AUC and within 80.0%–125.0% for C_{MAX}.

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The present randomized, prospective, 3-treatment, 6-period, crossover, replicate-dosing study in stable individuals with a kidney or liver transplant systematically addresses the aforementioned public concerns regarding generic tacrolimus. The replicate dosing study design allowed the analysis of tacrolimus products using the tighter SCABE standards required by the FDA for NTI drugs. Clinically, the present study represents a scenario in which an individual with a kidney or liver transplant is randomly switched between 3 tacrolimus products every week for 6 weeks. The pharmacokinetic parameters (AUC and C_{max}) demonstrated bioequivalence by SCABE criteria, implying similar tacrolimus exposure is achieved when individuals with a kidney or liver transplant are switched between these tacrolimus products. Although not required for bioequivalence testing, C_{min} also met the SCABE criteria.

These results support a previous prospective, multicenter, open-label, randomized, 2-period, crossover, pharmacokinetic study comparing twice-daily generic tacrolimus (Sandoz) versus reference tacrolimus (Prograf) in stable kidney transplant recipients [30]. In 68 kidney transplant recipients, there were no significant differences in AUC C_0 , C_{max} , or T_{max} between the generic and reference products, resulting in ratios of the geometric mean and 90% CI for AUC and C_{max} that were reported as 102% (97%–108%) and 109% (101%–118%), respectively [46]. Post hoc analysis revealed the products also met SCABE acceptance criteria [47]. In contrast to the present study, the latter did not include comparisons to other generic tacrolimus products or the comparison of 2 generics, and liver transplant recipients and relevant genetic polymorphisms were not analyzed.

Pharmacogenomic profiling of individuals was performed, specifically, genotyping of CYP3A5 polymorphisms to identify the “poor absorber” [20,23]. The genetic polymorphisms most important for tacrolimus pharmacokinetics were assessed and, in general, had no effect on bioequivalence. The only exceptions were that bioequivalence of tacrolimus AUC was not found for individuals with a kidney transplant expressing CYP3A4*1B and individuals with a liver transplant expressing CYP3A5, but this analysis was underpowered for said subgroups. Moreover, an influence of POR*28 polymorphism on bioequivalence using SCABE metrics could not be excluded, although ABE criteria were met. Dosing differences by genotype were similar across products [48]. The major tacrolimus metabolite concentrations were bioequivalent for AUC. In contrast to tacrolimus, 13-O-desmethyl tacrolimus is not directly administered but formed from tacrolimus, mostly by intestinal and liver cytochrome P4503A enzymes. Thus, its formation is greatly influenced by the aforementioned polymorphisms and its pharmacokinetics more variable than that of tacrolimus.

In this study, the 2 tacrolimus generic products met US FDA SCABE criteria when compared to the innovator product and with each other in individuals after a kidney or liver transplant. However, when applying the more rigid EMA criteria, the Generic Lo product failed AUC testing when compared to Innovator in individuals receiving a kidney or liver transplant. The EMA requires a narrow 90% confidence interval contained within the confidence limits of 90.00%–111.11% acceptance criterion for AUC, but not for C_{max} , for which the usual 80.00%–125.00% acceptance limit applies [14]. As shown in Table 4, in general these acceptance criteria were met, with the only exception being that of Innovator versus Generic Lo, for which the upper limits of the 90% confidence intervals—111.30% (kidney) and 112.11% (liver)—were slightly above the upper EMA acceptance criterion for AUC. The conflicting approval guidelines lead to different interpretations of the bioequivalence data of the same study. In this context, it should be considered that the EMA and Health Canada bioequivalence limits for NTI drugs were set with single-dose healthy volunteer studies in mind, a population that is inherently less variable than individuals receiving a transplant after multiple doses [4]. While the FDA SCABE limits adjust based on the pharmacokinetic variability of the innovator in the study population, the EMA and Health Canada bioequivalence limits for NTI drugs are fixed,

which explains the different conclusions when FDA, EMA, or Health Canada limits are employed. This study highlights the need for global harmonization of bioequivalence approval standards of NTI drugs to prevent different interpretations of bioequivalence study results.

Individual pharmacokinetic time concentration curves for all products are provided in Section C in [S2 Appendix](#). These data visually depict the intraindividual variability that can be observed within the same product despite administering the same lot at the same dose in a controlled setting. Such observed intraindividual variability led the FDA to require repeat crossover study designs for NTI drugs, which requires that each individual receives each of the tested products twice to assess and to compare intraindividual variability between tacrolimus innovator and generic products. Upon visual inspection of individual pharmacokinetic curves, differences can be observed between products and between replicate administration of the same product.

Safety was similar across products over the observation period of 6 weeks. Although we did not evaluate the long-term impact of generic tacrolimus on acute rejection and graft survival, this study evaluates pharmacokinetic parameters as a surrogate for safety exposure.

Several design elements strengthen the findings, such as validated, specific, and sensitive high-performance liquid chromatography (HPLC)-tandem mass spectrometry analysis of tacrolimus and metabolites, quality control of the tacrolimus test batches, independent parallel analysis by the study team and the FDA, genotypic analysis of individuals donating or receiving a kidney or liver transplant, and close adherence monitoring using a combination of diaries, MEMS caps, and pill counts. Finally, this study was adequately powered to assess bioequivalence in both kidney and liver transplant recipients. However, our study also had certain limitations. A differential carryover or sequence effect cannot be fully excluded, even though we did not detect any statistically significant sequence effects in statistical modeling. When considering the half-life of immediate-release tacrolimus and the length of the treatment period, this effect is unlikely to occur. The pharmacokinetic profiling occurred in strictly controlled conditions with recipients who were highly adherent, which did not allow the evaluation about the impact of nonadherence or the impact of feeding on possible pharmacokinetic differences between products. The study design also did not allow for assessment of the potential impact of differing appearances of the 3 tacrolimus products on adherence. The safety data should be interpreted cautiously in the light of the small number of participants and short observation periods. Lastly, only the 1 mg tacrolimus dosage strength was utilized, limiting generalizability to the 0.5 mg and 5 mg dosage strengths; however, the 1 mg capsule is the most common clinically utilized dosage strength.

The present study was specifically designed to address lingering concerns in the transplant community [8–11]. While typical single-dose healthy volunteer bioequivalence studies mainly assess prescribability, our study in steady-state, stable individuals with a kidney or liver transplant mainly assessed the more important switchability between innovator and generics and between generics [5,6]. The present study suggests that tacrolimus and the tested generic products in healthy volunteers were also bioequivalent in individuals with a kidney or liver transplant. Moreover, the generics were bioequivalent to each other. Even the tighter FDA SCABE criteria were met, and there was no difference between the different tacrolimus products in terms of within-subject variability.

Supporting information

S1 Consort Checklist. Consolidated Standards Of Reporting Trials (CONSORT) checklist.
(DOC)

S1 Text. Trial protocol.
(PDF)

S2 Text. Statistical analysis plan.

(DOCX)

S1 Appendix. Supporting information methods.

(DOCX)

S2 Appendix. Supporting information results.

(DOCX)

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