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Data Availability Statement: SEDFIT version 16.50 equipped with the command line interface, as well as the source code of an accompanying family of MATLAB scripts mISEDFIT can be freely downloaded from sedfitsedphat.nibib.nih.gov/ software. Example input and output files can be downloaded from sedfitsedphat.nibib.nih.gov/ tools. All data can be downloaded from the Harvard Dataverse https://doi.org/10.7910/DVN/CZDWRZ.

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RESEARCH ARTICLE

An automated interface for sedimentation velocity analysis in SEDFIT

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Abstract

Sedimentation velocity analytical ultracentrifugation (SV-AUC) is an indispensable tool for the study of particle size distributions in biopharmaceutical industry, for example, to characterize protein therapeutics and vaccine products. In particular, the diffusion-deconvoluted sedimentation coefficient distribution analysis, in the software SEDFIT, has found wide-spread applications due to its relatively high resolution and sensitivity. However, a lack of suitable software compatible with Good Manufacturing Practices (GMP) has hampered the use of SV-AUC in this regulatory environment. To address this, we have created an interface for SEDFIT so that it can serve as an automatically spawned module with controlled data input through command line parameters and output of key results in files. The interface can be integrated in custom GMP compatible software, and in scripts that provide documentation and meta-analyses for replicate or related samples, for example, to streamline analysis of large families of experimental data, such as binding isotherm analyses in the study of protein inter-actions. To test and demonstrate this approach we provide a MATLAB script mISEDFIT.

Author summary

Sedimentation velocity analytical ultracentrifugation (SV-AUC), a classical first-principles based method to study size-distributions of macromolecules and particles in solution, has become a popular technique in a variety of disciplines, such as physical biochemistry, structural biology, supramolecular chemistry, and nanoparticles due to its high resolution, wide size-range, and label-free detection. It has also assumed an important role in pharmaceutical development of therapeutics and vaccines. One factor complicating the implementation of SV-AUC in biotechnology is the lack of compatibility of the most widely used software, SEDFIT, with regulatory requirements in the good manufacturing practices (GMP) environment. In this paper we present a solution to this problem, by introducing a command line interface that permits automated configuration of SEDFIT, data loading, and retrieval of results. In this way, SEDFIT may be used as a computational module integrated in GMP-compliant software. We demonstrate the validity of the results from this approach. In addition, this automation facilitates meta-analyses of families of SV-AUC experiments, for example, in binding isotherm analysis of interacting macromolecules.

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Introduction

Sedimentation velocity analytical ultracentrifugation (SV-AUC) is a classical first-principles based method to study particles that sediment or float as a result of a gravitational field generated in a centrifuge [1-3]. In the last two decades, this technique has been used in a growing number and types of applications, coinciding with a significant advance in the computational data analysis [4]. Specifically, the combination of efficient Lamm equation modeling [5], novel size-distribution techniques for direct data fitting with and without diffusional deconvolution [6-8], and systematic noise analysis [9,10] as combined in the $ls_{-g}^{*}(s)$ and the high-resolution c(s) approach implemented in the software SEDFIT has garnered widespread applications. These include analyses of size-distributions, hydrodynamic properties, and interactions of particles across the entire size-range accessible to SV-AUC from below 1 kDa to above 10 GDa, ranging from small carbohydrates and peptides [11-13] to proteins and protein complexes [14–17], carbohydrates [18], synthetic polymers [19], small and large nanoparticles [20,21], multi-protein complexes and interacting systems [15,22-25], lipid vesicles and emulsions [26,27], viral particles [28–34], and entire cellular organisms [35], at concentrations from picomolar to millimolar [3,36,37]. Due to the high resolution and sensitivity, and the measurement of particle sedimentation free in solution in the absence of surfaces or labels, this approach has also proven to be advantageous in biotechnology for the characterization of therapeutic and vaccine products, for example including antibody and other protein therapeutics [38-47], protein/polymer conjugates [48,49], and AAV products [28-32].

One of the challenges of applying SV-AUC in the context of biopharmaceutical production is the lack of software compliant with current good laboratory practices (cGLP) and current good manufacturing practices (cGMP) that satisfies part 11 of Title 21 of the Code of Federal Regulations; Electronic Records; Electronic Signatures (21CFR11) by FDA [50]. Other existing limitations are the required expertise and the laboriousness of serial analyses, for example, of replicate sets or of titration series in the study of interacting systems [43,44,51]. Both would greatly benefit from some degree of automation and streamlining of the data analysis. For this purpose, the present work presents an extension of the SEDFIT software for partially automated operation through the use of a command line interface. The interface allows starting SEDFIT in a specific state, as well as communicating data, analysis parameters, and results. Any secondary software utilizing this interface can spawn SEDFIT, automatically load specific data, execute a specific model for analysis, and retrieve the best-fit results of SEDFIT for documentation, further aggregation, and to carry out meta-analyses of multiple sedimentation coefficient distributions. While this allows streamlined SV-AUC analysis of replicates and titration series, importantly, this approach will be particularly suitable for preserving custody of data and associated analysis results and generating audit trails consistent with 21CFR11.

As illustrated in the present work, the results from such command-line controlled analyses are equivalent to the standard entirely manual use of SEDFIT. Due to the universality of SV-AUC, this approach can be applied to the analysis of therapeutic proteins, carbohydrates, nucleic acids, protein/polymer conjugates, any viral vectors (recombinant or wildtype) including adeno-associated virus (AAV), adenovirus, and lentivirus, lipid vesicles and lipid-based nanoparticles, metal- and other nanoparticles with and without protein conjugates or associated macromolecules such as loaded nucleic acids or proteins.

Method

The general strategy for command line operated SEDFIT is for it to be spawned by a secondary software, initiating a controlled input of data and providing a controlled return of the analysis

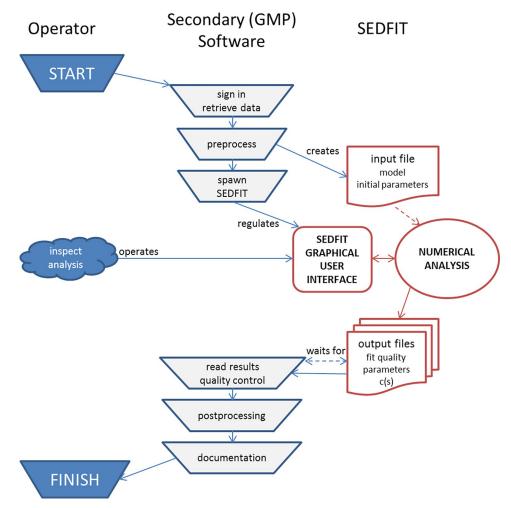


Fig 1. Flowchart for the use of SEDFIT in command line operation with a secondary software. The secondary software organizes access control and preprocesses data. After SEDFIT is spawned by the secondary program, it reads a specifically formatted input file, and provides a graphical user interface with options controlled by the secondary program. Upon termination of SEDFIT analysis, its output files are read, and quality control, postprocessing and documentation by the secondary program can take place. This flow allows a single or multiple copies of SEDFIT to be utilized solely as a computational module within a framework of the secondary program, which may enforce GMP compatibility, incorporate results into meta-analyses, and/or provide an expert system or AI for automated analysis and quality control.

results (Fig 1). This will allow logging of all activities and safely record results for further processing. SEDFIT may be also manually executed from the command line, for example, from within the DOS command prompt in Windows, although this would not take advantage of much of the graphical user interface for loading and saving data that is provided in the conventional stand-alone operation of SEDFIT. As a roadmap for the envisioned incorporation of command line operated SEDFIT into secondary software, we have created a set of MATLAB scripts mlSEDFIT, which can be freely downloaded from sedfitsedphat.nibib.nih.gov/software.

The command line-controlled run of SEDFIT requires three command line parameters. The first is a number that identifies the SEDFIT mode of operation, at present with possible values of "111" and "112". The mode "111" will invoke SEDFIT with a standard menu allowing the full range of SEDFIT analyses models, and "112" will present SEDFIT with a reduced set of menu functions more narrowly tailored to elementary size-distribution analyses. In this restricted mode, non-standard settings are inaccessible to the operator. Different values of this command line parameter are possible in future implementations with alternate behavior. The second command line parameter is an arbitrary string that serves to identify the SEDFIT call. It will be repeated by SEDFIT after completion of the analysis, and thereby provides a layer of security that the reported results do belong to the requested analysis. The third parameter is the path of the input file. For example, the command line could be "sedfit.exe 111 handshakestring c:\datafolder\TestInput.xml" (without the quotes) where 'handshakestring' can be replaced by any string, and 'c:\datafolder\TestInput.xml' should be replaced by the appropriate directory and filename to point to a valid xml input file in the correct format.

An example for an input file is provided in **S1 File**. For convenience in generating and parsing the input file, it is in xml format version 1.0, as indicated in the first line by the prolog string **<?xml version="1.0" encoding="UTF-8"?>**. This is followed by the root 'cGMPSedfitCall' as written in an initial **<cGMPSedfitCall>** call, which is paired with a final **</cGMPSedfitCall>** statement at the end of the file. In between these opening and closing root identifiers are the analysis parameters. They can be in any order, but must adhere to the xml syntax of **<ParameterName>value string</ParameterName>**, where 'ParameterName' is any of the identifiers described below, and 'value string' can be a number, a file path, the string TRUE, FALSE, or other string, as appropriate for the parameter. A complete list of all parameters, their purpose and possible values can be found in <u>Table 1</u>. Unless the menu of SEDFIT is restricted (in mode "112", see above), all parameters can also be set later as usual in the SEDFIT user interface by the operator.

While most of the input parameters are strictly related to the data analysis, some are related to the SEDFIT interface and analysis flow. Most importantly, the parameter AllDoneFlagFile will establish the path and name of the file that will be created upon completion of the SEDFIT analysis. For example, it might be called 'c:\datafolder\finished.txt'. Importantly, this file must not exist prior to the SEDFIT call, such that its creation can be used as a convenient flag to the secondary software that SEDFIT has created the results files and was exited. The only content of this file will be the handshake string (second command line parameter, see above), which may be checked by the secondary software for consistency with the calling parameter. The parameter **OutputResultsDirectory** is a path that points to the directory where SEDFIT will create several output files describing the results after conclusion of the analysis, as detailed below. For example, the string 'c:\datafolder\analysisresults\results1' will cause SEDFIT to create the subfolder 'results1' within the existing 'c:\datafolder\analysisresults' directory, and all results files will be located in this 'results1' subfolder. Finally, it is possible to use a parameter **PassThrough** to declare any string that, for convenience and clarity, should be repeated in the output xml file. For example, this could be a sample name, user notes, or some additional control strings to be recorded alongside the results. It may also be itself an xml-formatted string, but in this case it should not contain any regular parameter identifiers used in the SEDFIT interface to avoid conflicts.

To define the data to be analyzed, the input file must specify the folder containing the SV-AUC scan files in the parameter **DataDirectory**, the parameter **Channel** that identifies the scan file extension, and the parameters **FirstScan**, **LastScan**, and **ScanInterval** that specify the set of scans to be loaded. It is assumed that the scan files are in the customary SV-AUC numeric format with leading zeros. This information substitutes for manually invoking the Load New Files function in the Data menu of SEDFIT. For example, if **DataDirectory** is 'c:\datafolder\Run158', **Channel** is 'IP2', **FirstScan** is '1', **LastScan** is '101', and **ScanInterval** is '10', SEDFIT will load 11 files named 'c:\datafolder\Run158\000011.ip2', 'c:\datafolder\Run158\00021.ip2', ..., 'c:\datafolder\Run158

 Table 1. List of Input Parameters. Input parameters will be read from a file named as third command line parameter. It is in xml format and parameters are case sensitive. An example can be found in <u>S1 File</u>.

Parameter Name	Values	Purpose	
AllDoneFlagFile	path to non-existent file in existing directory	creation of this file will serve as a flag that SEDFIT analysis has concluded	
OutputResultsDirectory	path to non-existent directory	folder location where results files will be saved	
PassThrough	arbitrary string	for additional information, such as sample name, to be repeated in output file; can be xml formatted	
DataDirectory	path to data folder	for accessing scan files	
Channel	file extension of scan file defines cell number and scan type		
FirstScan	integer first scan to be loaded		
LastScan	integer	last scan to be loaded	
ScanInterval	integer	interval of scans to be loaded	
FilterDataSpikes	TRUE/FALSE	switch to filter adventitious spikes in scan files	
DataSpikeThreshold	floating point number	threshold for recognition of isolated data spikes	
Meniscus	floating point number	air/solution interface distance from center of rotation, in cm	
MeniscusLowerLimit	floating point number	lower limit of meniscus during fit	
MeniscusUpperLimit	floating point number upper limit of meniscus during fit		
Bottom	floating point number distal end of the solution column, in cm from center of rotation, in cm		
BottomLowerLimit	floating point number lower limit of bottom position during fit		
BottomUpperLimit	floating point number upper limit of bottom position during fit		
LeftFitLimit	floating point number minimum radial position of the data range included in the analysis, in cm		
RightFitLimit	floating point number	maximum radial position of the data included in the analysis, in cm	
AutoRun	TRUE/FALSE switch to automatically call the "Run" command after loading data		
AutoFit	TRUE/FALSE	switch to automatically call the "Fit" command after loading data and optional "Run"	
AutoSubtractSystematicNoise	TRUE/FALSE	switch to subtract all best-fit systematic noise components from the data in the scan file display of the SEDFIT window	
ShowResidualsHistogram	TRUE/FALSE	switch to display the residuals histogram next to the residuals overlay in the SEDFIT window	
Model	'cofs' or 'lsgofs' (no quotation marks) model selection		
Resolution	integer number of grid points in the distribution		
Smin	floating point number	minimum s-value of the distribution	
Smax	floating point number maximum s-value of the distribution		
GridfromFile	TRUE/FALSE	switch to read the distribution grid from "sdist" file	
UseLogSpaceSgrid	TRUE/FALSE switch to abandon equidistant spacing of grid points in favor of logarithmic spacing		
RegularizationType	'maxent' or 'Tikhonov' (no quotation defines the regularization used in the distribution analysis marks)		
RegularizationPvalue	floating point number	p-value for scaling the extent of regularization, between 0.5 and 1.0	
SuppressBaselineCorrelation	TRUE/FALSE	switch to use Bayesian prior to reduce correlation of smallest <i>s</i> -value in the distribution with baselines	
NumberComputationThreads	integer	number of computing cores available for SEDFIT	
FittingAlgorithm	'Simplex' or 'Levenberg-Marquardt' (no choice of algorithm for non-linear regression quotation marks)		
StartingFrictionalRatio	floating point number	frictional ratio value to be used for $c(s)$ analysis	
FrictionalRatioFitted	TRUE/FALSE	switch to optimize the frictional ratio in non-linear regression	
MeniscusFitted	TRUE/FALSE switch to optimize the inclusion ratio in non-incurregression		
BottomFitted	TRUE/FALSE switch to optimize inclusion		
BaselineFitted	TRUE/FALSE switch to optimize outoin position TRUE/FALSE switch to optimize radial- and time-invariant baseline		
RINoiseFitted	TRUE/FALSE switch to optimize radial-invariant baselines		
TINoiseFitted	TRUE/FALSE	switch to optimize time-invariant baselines	

(Continued)

Table 1.	(Continued)
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Parameter Name	Values	Purpose
Vbar	floating point number	partial-specific volume to be used in hydrodynamic scaling law to scale diffusion coefficient from frictional ratio in $c(s)$ analysis, in mL/g
BufferDensity	floating point number	density of solvent, in g/mL
BufferViscosity	floating point number	viscosity of solvent, in g/mL

\00101.ip2'. Scan data not conforming to the assumed filename convention should be renamed accordingly by the secondary software prior to spawning SEDFIT. The parameters **FilterDataSpikes** and **DataSpikeThreshold** will control whether to ignore isolated spikes in the scan data and the threshold for defining a spike, analogous to the corresponding Loading Options and Tools function of the Options menu of SEDFIT. If the parameters relating to spike filtering are not set then the default filtering with a threshold of 0.4 will be applied.

The input parameters related to the SEDFIT workflow include **AutoRun** and **AutoFit**, both of which take Boolean values TRUE or FALSE. If TRUE they will automatically initiate the SEDFIT Run or Fit command, respectively, directly after loading the data. This will be equivalent to manually invoking these commands from the SEDFIT menu. (It should be noted that when using **AutoFit** the analysis is carried out before the SEDFIT window appears on the screen.) To enhance the performance of SEDFIT, a parameter

NumberComputationThreads can be set according to the computational cores that SED-FIT should employ for multithreaded computations. In c(s) distribution analyses in SEDFIT, key computational steps will be approximately *n*-fold faster if n > 1 threads are specified and available [52]. While the default is 2, the optimal value will depend on the computer hardware and on concurrently running software, which may be other instances of SEDFIT. The best choice for *n* is usually the total number of processing cores of the computer used. Finally, parameters related to the graphical data presentation are **ShowResidualsHistogram** and **AutoSubtractSystematicNoise**, which will take Boolean values TRUE or FALSE and control the visual appearance of the SEDFIT analysis window as in their corresponding SED-FIT menu functions [9,10,53]. In addition to changing the graphical display of the SEDFIT window during data analysis, an image of this window will be saved for documentation at the end of the analysis. Scan data, best-fit values, and residuals will also be saved in an output file, so that the graph showing the quality of fit can be recreated (see below).

Ancillary parameters needed for the analysis are the estimated locations of the meniscus and bottom of the solution column, and their fitting limits. Meniscus and Bottom, as well as **LeftFitLimit** and **RightFitLimit**, are specified as numerical values in cm from the center of rotation, and will function equivalently to their customary graphic input in SEDFIT. During the SEDFIT analysis, they can still be readjusted by the operator or by the fitting routine. If the parameter **MeniscusFitted** is set TRUE, the meniscus value will be optimized during the fit, remaining within the bounds specified in the **MeniscusLowerLimit** and MeniscusUpperLimit parameters (which should bracket the Meniscus value and be smaller than LeftFitLimit). Fitting for the meniscus is usually recommended, and therefore coarse initial estimates may suffice, for example, from a table of expected solution column heights dependent on filling volume in standard double-sector cells provided in [3], from a simple identification of the meniscus artifact in scan files, or from a preliminary analysis. Analogous parameters are available for fitting the bottom of the solution column (Table 1), however, unless significant back-diffusion is affecting the sedimentation process, typically the bottom position does not need to be fitted in standard analysis and the corresponding value for **BottomFitted** would be FALSE.

Most importantly, the parameter **Model** specifies the data analysis model. It currently can take string values of 'lsgofs' for the ls- $g^*(s)$ model [7,8] and 'cofs' for the c(s) model [6,7]. Specifying these models is equivalent to their selection in the Model menu of SEDFIT. Both are sedimentation coefficient distribution models that require definition of the discrete grid of svalues through Smin, Smax, and Resolution. Analogous to the manual entry of these parameters in the model parameter boxes of SEDFIT, they take numerical values describing the range of the distribution and the number of grid points. Alternatively, if the parameter GridfromFile is set TRUE, the grid of s-values can be read from a file 'sdist' with the same extension as the scan files (such as 'sdist.ip2') that must be located in the same folder as the scan data. This text file will automatically be created after each analysis and contains a single column of s-values of the distribution grid, but it can also be edited and augmented and serve as a template for new distribution analyses with custom-spaced grid, for example, to efficiently describe very small or very large sedimenting species outside the range of the majority particles of interest. Another option to modify the grid is **UseLogSpaceSgrid**, which when TRUE abandons equidistant grids in favor of logarithmically increasing s-value intervals. When using the command line interface these grid functions operate identically with their common standalone use of SEDFIT.

The c(s) analysis applies diffusional deconvolution to achieve sedimentation coefficient distributions with high hydrodynamic resolutions. When using the SEDFIT command line interface, the most commonly used standard c(s) variant is applied where the diffusion coefficients associated with each sedimentation coefficient are based on a hydrodynamic scaling law *via* a constant hydrodynamic frictional ratio. Thus, the parameter

StartingFrictionalRatio must be set. The numerical values of the predicted diffusion coefficients also depend on the partial specific volume **Vbar** (to be specified in mL/g) and the buffer density **BufferDensity** (in g/mL). During the analysis, non-linear regression will be used to optimize the frictional ratio during the fit when

FrictionalRatioFitted is TRUE. It should be noted that, unless the final frictional ratio is to be interpreted quantitatively, the partial specific volume values can be rough estimates. The distribution analysis also requires regularization to avoid spurious peaks and error amplification [6,52], which is set through the parameter **RegularizationType**. It currently can take values 'maxent' for maximum entropy regularization or 'Tikhonov' for Tikhonov-Philips regularization [6]. As is standard in SEDFIT, the tolerated increase in the root-mean-square deviation (rmsd) of the fit allowed for regularization is scaled by F-statistics and a p-value specified in the parameter **RegularizationPvalue** [7]. Since sedimentation patterns of very small particles are very similar to baseline offsets, a correlation between baselines and distribution values at small *s*-values can exist. As shown previously, this correlation can be suppressed through a Bayesian prior at the smallest *s*-value [54], and this is specified by setting the parameter **SupressBaselineCorrelation** to TRUE.

Lastly, details of the fit can be specified through the command line interface. The fitting algorithm is chosen by setting the parameter **FittingAlgorithm** to either 'Simplex' or 'Levenberg-Marquardt'. In addition to the above mentioned fitting of meniscus and bottom of the solution column and the frictional ratio, the treatment of baselines can be specified in the parameters **BaselineFitted**, **RINoiseFitted**, and **TINoiseFitted**. If set to TRUE this will cause a spatio-temporally uniform baseline, a time-dependent baseline, and/or a radial-dependent baseline to be fitted during the nonlinear regression, respectively [9,10]. It should be noted that even when the SEDFIT operation is set to automatically execute a Run and Fit command, these procedures can be interrupted and/or manually executed by the SEDFIT operator as usual. Even in the reduced SEDFIT menu it is possible to readjust solution column parameters and other fitting parameters to achieve the best fit prior to concluding the analysis. The

usual side effect of the SEDFIT analysis is the creation of the above mentioned 'sdist' file containing the *s*-value grid of the distribution analysis, as well as a file named ~tmppars that, when manually reloading the scan files will allow to restore the last best-fit analysis. Both files are located in the data directory and have the same file extension as the scan files loaded.

When the operator invokes the Exit function of SEDFIT, several files are created prior to the termination of the SEDFIT process and placed in the designated output folder defined in the OutputResultsDirectory parameter. The first is a bitmap image of the SEDFIT window, saved as 'screenshot.bmp'. Besides the graphical display of the scan file overlay and fit, residuals overlay, distribution, and optional residuals bitmap, it shows the customary informational text that provides information about the data files and fitting parameters including the overall rmsd of the fit. Further, it creates text files 'RInoise.dat' and 'TInoise.dat' which contain two columns with the best-fit time- and radial-dependent baseline values. A file 'ScanRMSD.dat' provides information about the rmsd of the fit to each scan file separately. This may help to recognize trends or outliers. The file 'distribution.dat' contains two columns with the distribution in form of grid s-values vs. c(s) values. This distribution file can later be integrated used for further analysis in the secondary software. A file 'dfr.dat' saves the fitted boundary data for recreation of the data and fit overlay plot, and a residuals plot or bitmap. It is an ASCII text file in the form of a matrix with columns: radius (TI noise), TI noise, RI noise, radius (scan 1), raw data (scan 1), fit value (scan 1), radius (scan 2), raw data (scan 2), fit value (scan 2), etc., and rows corresponding to consecutive radii or scan times, respectively. Finally, SEDFIT creates an output file 'ResultParameters.xml' in the same xml format as the input file and containing the same parameters, some of which may have changed due to adjustments during the fit or by operator actions. Such changes may be registered in the spawning program. In addition, it reports the SEDFIT version, file paths of all input SV-AUC scan data files and all output files, as well as the **PassThrough** parameter.

As statistical measures of the quality of fit the output file reports the overall rmsd (**RMSD**), the number of data points fitted (**RMSD-points**), the sum of squared residuals (**RMSD-SSR**), the runs test Z-value (**RunsTestZ**), and the histogram H (**HistogramH**) [7,53]. Additional information about the data include whether scan file time stamps could be accessed for correction (**CheckTimeStamps**) [55], the rotor speed (**RotorSpeed**), the time and accumulated ω^2 t value of the last scan (**tLastScan** and **w2tLastScan**), the rotor temperature at the time of the first and last scan (**TemperatureStart** and **TemperatureEnd**), as well as the temperature average and largest temperature difference (**TemperatureAverage** and **TemperatureDiffMax-Min**). These parameters may be used for experimental quality control to flag the possible presence of convection artifacts [56,57]. A full list of the output parameters can be found in **Table 2**.

To indicate termination of the SEDFIT analysis and to allow hand-over to the secondary software, the **AllDoneFlagFile** is created. As mentioned above, this ASCII text file contains as sole entry the handshake string from the command line starting SEDFIT. Creation of this file also indicates that the other results files have been created, which will not be the case if SEDFIT is prematurely exited.

In summary, to access the SEDFIT command line interface any secondary software must carry out the following tasks (**Fig 1**): 1) organize data such as scan files and starting analysis parameters; 2) generate an input xml file that contains the desired SEDFIT completion flag file (which must not exist yet) and designate the directory for results files; 3) execute SEDFIT with the command line parameters, including a handshake string; 4) wait for completion of the analysis by periodically checking for the creation of the specified completion flag file containing the handshake string; 5) read the results from the xml and other output files created by SEDFIT; 6) perform optional quality checks, optional integration of the distribution results,

Parameter Name	Values	Purpose	
SEDFITVersion	string	document the SEDFIT version	
ScreenShotPath	path to screenshot.bmp file	documented screenshot at end of analysis	
CofsDataPath	path to distribution.dat file	two-column ASCII text file with the best-fit sedimentation coefficient distribution for further analysis	
TINoisePath	path to TInoise.dat file	two-column text file with TI noise profile as function of radius to aid in graphical display of scan files	
RINoisePath	path to RInoise.dat file	two-column text file with RI noise as function of time to aid in graphical display of scan files	
ScanRMSDpath	path to ScanRMSD.dat file	two-column text file with local rmsd for each scan file, to detect outliers and trends	
ScanDataFilesLoaded	list of paths	document all the scan files that were fitted	
PassThrough	arbitrary string	for additional information, as specified in the input parameter file	
CheckTimeStamps	TRUE/FALSE	for documentation whether time stamps of the scan files were accessible for scan time correction	
RotorSpeed	integer	rpm of the experiment, as read from scan files	
Wavelength	floating point number	wavelength entry read from the scan files	
w2tLastScan	floating point number	ω^2 t entry read from last scan file	
tLastScan	floating point number	time entry read from last scan file	
TemperatureStart	floating point number	temperature entry read from first scan, to document temperature discrepancies as a possible source of convective artifacts	
TemperatureEnd	floating point number	temperature entry read from last scan	
TemperatureAverage	floating point number	average temperature entries from all scans	
TemperatureDiffMax- Min	floating point number	range of temperature variation during the SV run	
FittingStepsText	string	top line of the SEDFIT fitting information display, containing detailed information about the algorithm and steps during non-linear regression	
RMSD	floating point number	final rmsd of the fit	
RMSD-points	integer	total number of data points fitted	
RMSD-SSR	floating point number	sum of squared residuals of the fit	
RunsTestZ	floating point number	result of the runs test for statistics of runs of positive and negative residuals, as a measure for randomness of residuals	
HistogramH	floating point number	statistical analysis of the similarity of the residuals histogram to a Gaussian	
FrictionalRatio	floating point number	best-fit frictional ratio after <i>c</i> (<i>s</i>) analysis	

Table 2. List of Additional Output Parameters. Output will be written in an xml formatted file in the designated output folder. Output parameters include the same parameters regarding data, model, and solution conditions as the input parameters, but also include the additional parameters in this table.

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carry out secondary analyses, and/or write automated reports. These tasks can be wrapped in access controlled environment, as necessary in the GMP setting. To allow efficient analyses of a large number of samples, the SEDFIT interface can be run in multiple instances side-by-side; if care is taken to create unique **AllDoneFlagFile** files and

OutputResultsDirectory locations, different SEDFIT instances will operate completely independently of each other.

Results

To test the command line interface we wrote a family of MATLAB scripts for data input and retrieval of results, termed 'mlSEDFIT'. The script may be taken as a template for further modification. We applied it to the analysis of stressed NISTmAb monoclonal antibody [58] that is partially denatured and presents a series of oligomeric populations and a multimodal sedimentation boundary (Fig 2). Using the **AutoRun** and **AutoFit** option, the non-linear regression converges at an rmsd of 0.006743 OD (Fig 2, solid lines) with a best-fit meniscus at 6.1657 cm, a best-fit frictional ratio of 1.37, and the c(s) distribution shown in Fig 3.

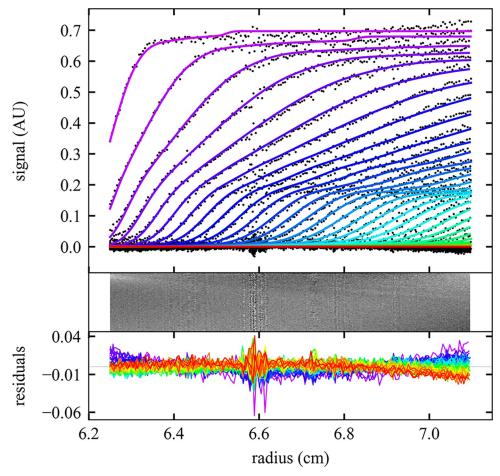


Fig 2. Sedimentation analysis of a stressed NISTmAb sample at 50,000 rpm and 20°C using the command line operation of SEDFIT. Top: Scan files and best fit (for clarity, showing black dots only for every 2^{nd} data point of every 2^{nd} scan) with a c(s) model automatically converged to a final rmsd of 0.006743 OD (colored lines). Progression of scan time is indicated by color from purple to red. Middle and Bottom: Residuals bitmap and residuals overlay. Plot was made using the software GUSSI [59], which is spawned from the script mISEDFIT.

It is possible to manually reload the command line generated analysis and inspect the fit further. However, as an independent control we loaded the same data separately in standard operation of SEDFIT and performed an analysis with the same model. It converged to an rmsd of 0.006738 OD, with a best-fit meniscus at 6.1655 cm, a best-fit frictional ratio of 1.35, and a *c* (*s*) distribution that is virtually identical in all aspects to the distribution from command line operated SEDFIT analysis (**Fig 3**). Differences of < 0.01 S in sedimentation coefficients and < 0.1% in population for all peaks are observed, which is better than the typical accuracy and statistical precision of SV-AUC analysis.

Generally, one practical limitation in comparing analysis results can be the required graphical input of the fitting limits, which is replaced by numerical control in the command line parameters. Similarly, detailed loading option preferences may differ in the two operation modes. Furthermore, small and insignificant numerical differences in repeat analyses should be expected with the Simplex algorithm for fitting, since this involves initial randomization of fitting parameters. Small differences may also be found when adopting different paths in the error surface during non-linear regression. In the present case, remaining insignificant differences in the fit are a result of a locally very flat error surface for the precise numerical value of

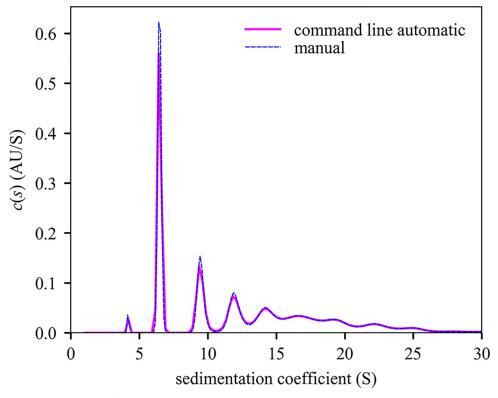


Fig 3. Comparison of c(s) distributions computed with the command line initialization of SEDFIT and with manual operation. The distribution from command line operation (Fig 2), and exhibits a monomer peak at 6.477 S with 29.20% of signal, a trace degradation product at 4.199 S with 0.95% of signal, a dimer peak at 9.473 S with 12.51% of signal, and higher aggregates with collective s_w 16.799 S and 51.77% of signal. The analogous manually operated analysis producing a monomer peak at 6.481 S with 29.27% of signal, a degradation product of 4.178S with 0.92% of the signal, a dimer peak at 9.488 S with 12.51% of signal, and higher aggregates with collective s_w of 16.81 S with 51.73% of signal. Integration and plot were made using the software GUSSI [59], which can be spawned from the script mISEDFIT.

the frictional ratio parameter, which ultimately reflects the limit of diffusion information content of the broad sedimentation boundaries of the sample dataset used.

The script mISEDFIT for testing and demonstrating the new interface is equipped with functions for automatically pre-determining an estimate for the meniscus position for absorbance data prior to spawning SEDFIT, and for creating high-quality illustrations by spawning the software GUSSI [59] utilizing the data, fit, and residuals values retrieved from SEDFIT after the data analysis. In addition, it can integrate the distributions alternatively through a graphical process or through pre-determined integration limits (Fig 4). Finally, it will save the results alongside the SEDFIT output parameters. mISEDFIT can be easily customized and extended, and may be compiled to prevent further modification.

Discussion

SV-AUC has become an indispensable tool to study particle size distributions in science and biopharmaceutical industry [38–40,56,60,61]. Therefore, implementation of SV-AUC analyses in the GMP environment would be desirable. The lack of SV-AUC analysis compatible with the GMP environment was previously discussed by Savelyev and colleagues [62]. Their software ULTRASCAN GMP provides data access and analysis workflow control, but unfortunately the SV-AUC data analysis in ULTRASCAN includes *ad hoc* algorithms that are

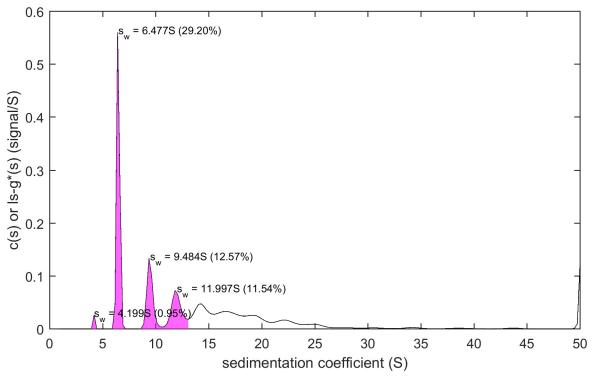


Fig 4. Example for postprocessing of results from SEDFIT analysis in mISEDFIT. The output generated through the command line interface can be read in the mISEDFIT script. For example, integration of distribution peaks can be carried out in this script after mouse clicks on the peaks in the distribution plot, as shown.

mathematically uncertain in important aspects, and computationally excessively wasteful requiring supercomputers [52]; therefore it has only a minor share in SV-AUC applications in biopharmaceutical applications, the vast majority of which are carried out with the c(s) and ls- $g^*(s)$ methods implemented in SEDFIT [7,41]. Furthermore, ULTRASCAN GMP presents a closed system with pre-conceived workflow strategies and analyses that may not be suitable or adaptable to different objectives. Moreover, it is linked to a particular version of the analytical ultracentrifuge instrument, of which deficiencies for certain applications have been reported [56], and which is currently incapable of providing independent operating system time-stamps of the scan files to the analyst to verify time accuracy [55].

The availability of the computational interface for SEDFIT provides a computational core for flexible state-of-the-art SV-AUC analysis as a module that can be easily embedded into scripts and software satisfying GMP requirements, including auditable analysis trails and custody of data and results. For demonstration and customization, a generic MATLAB script for spawning SEDFIT was developed, and similar access could conceivably be incorporated into user-friendly software such as GUSSI [59], or into ULTRASCAN GMP [62] or other custom-written GMP software. The extent to which 21CFR11 requirements are met will depend on the spawning software, and the SEDFIT spawning mode chosen.

At present, the analysis still needs to be supervised, since manual adjustments to the fitting parameters and model may be required to arrive at the best-fit analysis. This allows adventitious scan files or other possible artifacts from experimental imperfections to be recognized and their effect to be alleviated. Detailed protocols and instructions can be found in the literature [3,7,41,47,63–65], and for reliable results this guidance is equally valid when using the command line interface. Nonetheless, the interface described here can provide a platform for

future improvements that conceivably may allow fully unsupervised analyses by expert systems or AIs with automated meniscus recognition, adjustment of fitting limits, and judgment of fit quality, which may be applied, for example, to replicate experiments. For series of equivalent experiments, the present version already allows the result (for example, meniscus position or frictional ratio) of an initial analysis to be automatically entered as starting parameters for a following data set, thereby improving the efficiency of the analysis. Besides the GMP environment, this may be useful for analyzing large families of experimental data sets designated for meta-analyses, such as collective integration of distributions for binding isotherms and their analysis [23,51,65]. This ties in with developments of higher throughput experimental techniques, such as pseudo-absorbance data acquisition without need of a reference sector [66] and 3D-printed multi-sector centerpieces [67,68].

Another area for future expansion of the SEDFIT interface is the extension to allow preselection of more sophisticated analysis models. For example, biopharmaceutical samples often contain small co-solutes that sediment and create dynamic density and signal gradients, both of which can be taken into account when analyzing macromolecular sedimentation [69– 71]. Similarly, different sedimentation configurations, such as analytical zone or band sedimentation can be highly desirable for certain applications [31,34,72,73], and advanced regularization methods may be advantageous [7,74,75]. While the corresponding analysis methods are currently available if SEDFIT is spawned in the unrestricted mode, future releases may allow passing their relevant parameters directly through the command line interface.

Importantly, since none of the computational functions from SEDFIT have been altered, the results will remain the same as in the equivalent standard operation of SEDFIT. The command line interface solely modifies the data input and output, replacing manual startup and loading of analysis files with automated pre-loaded SEDFIT. For this reason, the command line mode of SEDFIT will be applicable to the same range of current and future applications. With regard to the biopharmaceutical industry this includes studies of therapeutic peptides and proteins, polymer conjugates, nucleic acids, carbohydrates, vectors for therapeutics or vaccines based on metal nanoparticles, lipid nanoparticles, viral vectors such as adenovirus, AAV or lentivirus, and others. More generally, due to the universal nature of buoyant mass-based separation in SV-AUC and the high sensitivity and hydrodynamic resolution of c(s) analysis in SEDFIT, it will be applicable to study mass- and size-distributions of macromolecules and particles that differ in density from that of the formulation buffer across a mass range from 1 kDa to >10 GDa, or a sedimentation coefficient range between 0.1 and 100,000 S [76,77].

Supporting information

S1 File. Example input xml file. (XML)

Author Contributions

Conceptualization: Peter Schuck. Funding acquisition: Peter Schuck. Investigation: Peter Schuck, Samuel C. To, Huaying Zhao. Methodology: Peter Schuck. Software: Peter Schuck. Writing – original draft: Peter Schuck. Writing - review & editing: Peter Schuck, Huaying Zhao.

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