



## Cost-Effectiveness of Five Commonly Used Prosthesis Brands for Total Knee Replacement in the UK: A Study Using the NJR Dataset

Mark Pennington<sup>1\*</sup>, Richard Grieve<sup>2</sup>, Nick Black<sup>2</sup>, Jan H. van der Meulen<sup>2</sup>

- 1 King's Health Economics, Institute of Psychiatry Psychology and Neuroscience, King's College London, London, United Kingdom, 2 Department of Health Services Research and Policy, London School of Hygiene and Tropical Medicine, Tavistock Place, London, United Kingdom
- \* mark.w.pennington@kcl.ac.uk





Citation: Pennington M, Grieve R, Black N, van der Meulen JH (2016) Cost-Effectiveness of Five Commonly Used Prosthesis Brands for Total Knee Replacement in the UK: A Study Using the NJR Dataset. PLoS ONE 11(3): e0150074. doi:10.1371/journal.pone.0150074

**Editor:** Colin Green, University of Exeter, UNITED KINGDOM

Received: October 20, 2014

Accepted: February 8, 2016

Published: March 4, 2016

Copyright: © 2016 Pennington et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: The data on which this manuscript has been prepared were obtained by request from Hospital Episode Statistics and the National Joint Registry of England, Wales and Northern Ireland. The data they hold is not consented, and consequently the terms of access restrict data sharing. The data may be requested by applying to the above bodies. HES data application: Contact Centre Team Health and Social Care Information Centre 1 Trevelyan Square Boar Lane Leeds LS1 6AE 0300 303 5678 Email: enquiries@hscic.gov.uk NJR data application: http://

## **Abstract**

## **Background**

There is a lack of evidence on the effectiveness or cost-effectiveness of alternative brands of prosthesis for total knee replacement (TKR). We compared patient-reported outcomes, revision rates, and costs, and estimated the relative cost-effectiveness of five frequently used cemented brands of unconstrained prostheses with fixed bearings (PFC Sigma, AGC Biomet, Nexgen, Genesis 2, and Triathlon).

#### **Methods**

We used data from three national databases for patients who had a TKR between 2003 and 2012, to estimate the effect of prosthesis brand on post-operative quality of life (QOL) (EQ-5D-3L) in 53 126 patients at six months. We compared TKR revision rates by brand over 10 years for 239 945 patients. We used a fully probabilistic Markov model to estimate lifetime costs and quality-adjusted life years (QALYs), incremental cost effectiveness ratios (ICERs), and the probability that each prosthesis brand is the most cost effective at alternative thresholds of willingness-to-pay for a QALY gain.

#### **Findings**

Revision rates were lowest with the Nexgen and PFC Sigma (2.5% after 10 years in 70-year-old women). Average lifetime costs were lowest with the AGC Biomet (£9 538); mean post-operative QOL was highest with the Nexgen, which was the most cost-effective brand across all patient subgroups. For example, for 70-year-old men and women, the ICERs for the Nexgen compared to the AGC Biomet were £2 300 per QALY. At realistic cost per QALY thresholds (£10 000 to £30 000), the probabilities that the Nexgen is the most cost-effective brand are about 98%. These results were robust to alternative modelling assumptions.



www.njrcentre.org.uk/njrcentre/Research/
Researchrequests/tabid/305/Default.aspx Eve Riley
NJR research officer Email: eve.riley@hqip.org.uk.

**Funding:** MP, NB and JvdM were supported by the Department of Health, United Kingdom (Commissioning Development). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing Interests:** The authors have declared that no competing interests exist.

Abbreviations: ASA, American Society of Anesthesiologists; BMI, Body Mass Index; HES, Hospital Episode Statistics; IMD, Index of Multiple Deprivation; LOS, Length of Stay; NHS, National Health Service; NJR, National Joint Registry of England, Wales and Northern Ireland; OKS, Oxford Knee Score; PROMs, Patient Reported Outcome Measures; QALY, Quality Adjusted Life Year; QOL, health related quality of life; TKR, Total Knee Replacement; RCT, Randomised Controlled Trial.

### **Conclusions**

AGC Biomet prostheses are the least costly cemented unconstrained fixed brand for TKR but Nexgen prostheses lead to improved patient outcomes, at low additional cost. These results suggest that Nexgen should be considered as a first choice prosthesis for patients with osteoarthritis who require a TKR.

#### Introduction

Over a million total knee replacements (TKR) are performed worldwide each year [1]. In 2011, the global market for knee prostheses was estimated to be \$7 billion and it is projected to reach \$11 billion by 2017 [2]. Most knee replacements use cement to fix the components of the prosthesis to the bone, preserve supporting ligaments (unconstrained prostheses), and have fixed tibial bearings [3].

A large number of knee prostheses have been introduced on the market and more than sixty different prosthesis brands were implanted in England and Wales in 2012 [4]. Randomised controlled trials (RCTs) have compared different types of TKR [5,6], but there are no RCTs comparing brands, and observational studies are inconclusive [7,8]. Evidence on the revision rates of the prosthesis and patients' quality of life (QOL) according to brand is available from national joint registers [9–11]. In 2013, the National Joint Registry for England, Wales and Northern Ireland (NJR), the world's largest database of patients who had a knee replacement, reported eight-year revision rates for the five most commonly used cemented, unconstrained brands with fixed bearings of 2.1% for PFC Sigma, 2.3% for Genesis 2, 2.4% for Nexgen, 2.8% for AGC Biomet, and 2.9% for Triathlon without case-mix adjustment [11]. A study using a sample of patients included in the NJR found that those who had received a Nexgen prosthesis had the least severe symptoms and best quality of life six months after the replacement procedure [12].

In this paper, we evaluate the relative cost-effectiveness of these five brands which together cover about 60% of the market in the UK and are among the most commonly used brands in many other countries. The study estimated lifetime cost-effectiveness for patients with osteoarthritis, separately for men and women undergoing surgery at three different age (60, 70, and 80 years). We estimated the effect of prosthesis brand on QOL using data from a national programme that collects patient-reported outcome measures (PROMs) immediately before and six months after an elective TKR in the English National Health Service (NHS), the world's largest database of QOL outcomes following joint replacements [13].

#### **Methods**

### Model overview

A Markov model with a cycle length of one year was used to simulate the longevity of the knee prosthesis brands over the patients' lifetime [14]. This model is adapted from a published evaluation of alternative types of total hip replacement, as failure of the prosthesis is the primary concern for both hip and knee replacement [15]. For each brand, costs and outcomes were estimated for a hypothetical cohort of patients who enter the model at the time of the primary TKR (Fig 1). After the primary replacement, patients face a possibility of immediate post-operative mortality, and then annual probabilities of revision of the TKR and mortality. Patients requiring revision move to the 'Revision' state. After successful recuperation patients then transit to the 'Revised TKR' state.



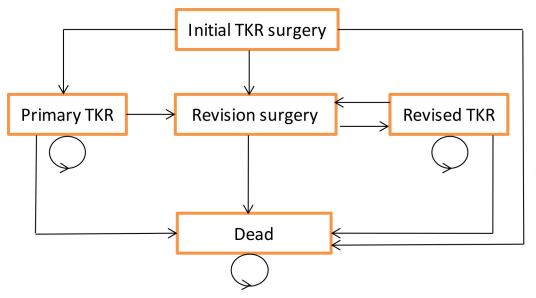


Fig 1. Markov model of TKR

doi:10.1371/journal.pone.0150074.g001

Time spent in health states after primary and revision TKR was weighted for QOL and summed over 45 cycles to estimate life expectancy in terms of quality-adjusted life years (QALYs). Lifetime costs from a health care perspective were calculated by summing the cost of the primary TKR and any subsequent revision procedure. We tested whether the model's main assumptions (see  $\underline{Box 1}$ ) were robust to alternative assumptions in sensitivity analyses.

#### Data sources

**Overview.** We used individual patient data from the English National PROMs Programme [13], linked to records from the NJR [11] and the English Hospital Episode Statistics (HES) database [16] to estimate the effect of TKR brand on post-operative QOL and length of stay (LOS). QOL in the primary TKR state was parameterised using *post-operative* data after primary TKR. QOL in the revision state was parameterised using *pre-operative* data after revision TKR. QOL in the revised TKR state was parameterised using *post-operative* data after revision TKR. We estimated revision rates by prosthesis brand using NJR data. Re-revision rates and mortality were estimated from HES data.

## QOL, pre-operative characteristics and prosthesis type

Data from the National PROMs Programme included patients who had an elective TKR between August 2008 and July 2012 [13]. This programme collects patient-reported comorbidities and QOL immediately before the TKR and QOL six month thereafter. The Oxford Knee Score (OKS) is a 12-item disease-specific instrument which generates scores ranging from 0 (worst health status) to 48 (best health status) [17]. The EQ-5D-3L is a generic instrument which generates health profiles using five dimensions (mobility, self-care, usual activities, pain and discomfort, anxiety and depression) and three levels (no problems, some problems, severe problems) [18]. These profiles were combined with health state preference values from the UK general population, to give EQ-5D-3L utility index scores on a scale anchored at 0 (death), and 1 (perfect health) [19].



# Box 1. Main assumptions underlying the Markov model of the cost effectiveness analysis.

- Patients enter the model at the time they have the TKR. The model assumes that the
  post-operative QOL observed at six months, applies from when the patients enter the
  model, and to subsequent model cycles in the TKR health state.
- The differences observed in QOL across prosthesis types six months after TKR is maintained for the lifetime, subject to the decline in QOL with increasing age, and the possibility that the TKR fails.
- The approach to estimate the effect of prosthesis type on QOL has fully addressed confounding.
- Deterioration of the prosthesis does not affect QOL adversely unless the prosthesis is revised.
- All failed prostheses are revised.
- The effect of prosthesis failure on QOL is estimated from the QOL observed before surgery in those who had a revision, and is applied for one year post revision.
- The approach to extrapolate prosthesis survival beyond the observed data accurately predicts the long term probability of prosthesis survival.
- The costs of revising the TKR are same for each prosthesis brand.
- QOL during and after revision is the same whether or not the revision was undertaken due to sepsis.

We accessed pre-operative PROMs records for 158 799 patients aged 55 to 84 years, and subsequently included 105 637 patients whose record could be linked to NJR and HES records. The NJR provided data on prosthesis type, diagnosis (osteoarthritis or other), body mass index (BMI), and American Society of Anesthesiologists (ASA) grade [20]. HES provided data on socioeconomic deprivation derived from the patient's postcode as the Index of Multiple Deprivation (IMD) [21].

We excluded patients who had missing data on prosthesis brand, those who had any diagnosis other than osteoarthritis or an ASA grade worse than 3; and those who received a cementless prosthesis component or a non-standard surgical procedure such as a bone-graft. The resulting sample of 53 126 patients was used to estimate LOS and QOL six months after TKR. These QOL estimates were applied to patients in the primary TKR health state in the initial cycle and each subsequent cycle. After each cycle of the Markov model we reduced QOL in each health state to reflect the effect of aging. The magnitude of the reduction increased with age (for example the reduction was 0.004 in QOL tariff at age 70) reflecting the curvilinear relationship observed in a large UK observational study [22].

For the health state representing the year in which patients had a TKR revised, QOL and LOS was taken from *pre-operative* data for 6 128 patients whose TKR was a revision. For subsequent years, QOL was taken from the 3 912 patients who had responded to outcome questionnaires *six months after the revision surgery*.



Rates of revision and re-revision. The annual revision rates after a primary TKR according to prosthesis brand were estimated from NJR data. We accessed 265 910 records of patients who had a primary, unilateral TKR between 1 April 2003 and 1 March 2012, who were aged between 55 and 84, who had a primary diagnosis of osteoarthritis, and who received treatment in an NHS hospital or treatment centre. Records for 239 945 patients were available for analysis after applying the same exclusion criteria we applied to the PROMs data. The data contained 3 148 linked revisions recorded in the NJR (593 PFC Sigma, 392 AGC Biomet,129 Nexgen, 112 Genesis 2, 74 Triathlon, and 1 848 other brands). Maximum observation periods varied by brand from 11.1 years for the PFC Sigma to 6.7 years for the Triathlon.

Re-revision rates were estimated from the records of 54 134 patients with a revision recorded in HES between April 1997 and March 2012, after linking initial and subsequent revisions on the same knee.

**Mortality.** Operative mortality after TKR was estimated from HES data. We did not find any difference in operative mortality across prostheses brands (p>0.4) after adjusting for potential confounders (age, sex, ASA grade, BMI, funding source and date of surgery), and therefore we applied the same probabilities of death across all prosthesis brands. Annual mortality according to age and sex was taken from general population data, after using HES data to adjust for the "healthy patient" effect, to recognise that patients who have undergone joint replacement for osteoarthritis have a lower mortality than observed in the general population [23]. The healthy patient effect was largest for older patients (relative risk of dying was 0.3 for males aged 80 in the year after surgery) and decayed exponentially to a relative risk of 1.0 over the decade following surgery.

**Costs.** All costs are reported in British pounds (1 British pound  $\approx 1.60$  US dollars  $\approx 1.20$  euros) according to 2011–12 prices. The unit cost of each prosthesis brand was taken from the average prices paid by a mid-size NHS provider (including all components and instrumentation) to reflect discounts negotiated on list prices: £1 835 for PFC Sigma, £1 150 for AGC Biomet, £1 676 for Nexgen, £1 294 for Genesis 2, and £1 325 for Triathlon (Lewis P. NHS SupplyChain. Personal Communication).

Unit costs of the operating theatre for a primary TKR (£2 022) and a hospital bed day (£332) were based on data from a recent RCT carried out in the UK [ $\underline{24}$ ]. The unit costs of revisions (£8 429) recognised that more resources are used than for primary surgery [ $\underline{24}$ ].

# Statistical analysis to provide input parameters for the cost-effectiveness model

**QOL after primary TKR and revision.** We estimated QOL following primary TKR according to prosthesis brand using linear regression to adjust for observed differences in preoperative patient and provider characteristics between the comparison groups. We adjusted for the following differences in case mix: age, sex, comorbidities, BMI, disability, ASA grade, IMD, patella replacement, surgical position, pre-operative EQ-5D-3L and OKS scores. To avoid attributing differences arising from surgeon or hospital factors to prosthesis brand, we also adjusted for provider characteristics (surgeon experience [senior surgeon or not], and hospital type [specialist treatment centre or general hospital]).

We applied fractional polynomials to continuous variables where they provided an improvement in model fit over linear or quadratic functions [25]. We then predicted post-operative QOL by prosthesis brand separately for men and women in three different age groups (60, 70 and 80 years). QOL in the year during which revision TKR took place, and in subsequent years after revision was predicted according to age and sex using linear regression.



Of the 53 126 patients included in the QOL analysis 17% were missing post-operative PROMs. Most other data items were missing for less than 10% of the sample. Multiple imputation using chained equations was applied to pre- and post-operative data to impute missing responses [26]. Twenty imputations were undertaken and results across these imputations were combined by Rubin's rules [27].

Rate of revision and re-revision. Annual revision rates were predicted from NJR data for each prosthesis brand after adjusting for differences between the comparison groups. We adjusted for differences in case mix (age, sex, ASA grade, BMI, patella replacement, antibiotic cement) and provider characteristics (surgeon experience, and hospital type). We used a restricted cubic spline regression model to capture the underlying variation in revision rates over time, without imposing a pre-specified relationship, and to allow extrapolation of revision rates beyond the observation period [28]. To meet the requirements of the Markov model, re-revision rates were estimated from HES data with a piece-wise constant survival regression model which differentiated revision rates at only one time point: the first year versus all subsequent years.

## Cost-effectiveness analysis

For men and women aged 60, 70 and 80, the cost-effectiveness model reported revision rates, costs related to TKR, and QALYs for patients with the average pre-operative characteristics for each subgroup. A recommended annual discount rate of 3.5% was applied to both costs and outcomes to reflect societal time preferences [29].

We report the incremental costs per QALY, and the probability that each prosthesis brand is the most cost-effective. We recognised sampling uncertainty in the estimation of the model parameters by undertaking a probabilistic analysis. Model results are reported after averaging across 1 000 simulations in which each model parameter was sampled from the appropriate probability distribution.

For each brand of TKR, we calculated the net monetary benefit by multiplying total lifetime QALYs by society's willingness-to-pay for a QALY gain and subtracting from this the total lifetime cost. The calculation was repeated with alternative levels of willingness-to-pay for a QALY gain (from £0 to £50 000). We calculated cost-effectiveness acceptability frontiers to report the brand with the highest *mean* net monetary benefit (most cost-effective on average) and the proportion of simulations for which this brand had the highest net monetary benefit (recognising sampling variation), at different levels of willingness-to-pay for a QALY gain [30].

Sensitivity analyses. We tested whether the results were robust to alternative assumptions about post-operative QOL, revision rates and costs across brands. First, we assumed that differences in post-operative QOL between prosthesis brands were only maintained for one year after TKR, rather than until a revision or death. Second, we considered an alternative form of linear regression model to estimate post-operative QOL across TKR brands by including interaction terms between prosthesis brand and both age and sex. Third, in the linear regression model that estimated the effect of brand on post-operative QOL, rather than including a single continuous variable for the baseline EQ-5D-3L, we specified categorical variables for each health state dimension. Fourth, we considered an alternative form of the regression model used for the prediction of revision rates by using a piece-wise constant rather than a restricted cubic spline hazard function. Fifth, we applied the same prosthesis cost to each brand.

The Markov model was built in Excel; statistical analysis to parameterize the model was undertaken in STATA version 11.

The study is exempt UK NREC approval as it involved analysis of existing datasets of anonymised data for service evaluation. Approvals for the use of HES data were obtained as part of the standard Hospital Episode Statistics approval process.



Table 1. Characteristics of 53 126 patients according to knee prosthesis brands with multiple imputation of missing data.

	Prosthesis Brand					
	PFC Sigma	AGC Biomet	Nexgen	Genesis 2	Triathlon	
No. of patients	13 635	5 005	3 364	4 187	3 585	
Mean (SD) age (years)	69.9 (7.3)	70.5 (7.3)	69.8 (7.3)	70.1 (7.3)	69.9 (7.3)	
Proportion Male (%)	45	44	45	44	43	
Most deprived fifth (%)	20	16	21	22	23	
Two or more comorbidities (%)	30	29	30	29	28	
ASA grade 3 or higher (%)	16	17	18	16	18	
Mean (SD) BMI (kg/m²)	31.3 (5.5)	31.4 (5.7)	31.4 (5.6)	31.3 (5.5)	31.0 (5.6)	
Operation at Independent sector treatment centre (%)	10	2	0	1	14	
Operation by consultant (%)	84	79	76	77	82	
Pre-operative mean (SD) OKS	18.5 (7.6)	19.0 (7.7)	18.6 (7.4)	19.0 (7.6)	18.8 (7.7)	
Pre-operative mean (SD) EQ-5D-3L index	0.38 (0.31)	0.41 (0.31)	0.39 (0.31)	0.41 (0.31)	0.40 (0.31)	

doi:10.1371/journal.pone.0150074.t001

### Results

## Patient characteristics before the total knee replacement

The pre-operative characteristics of the sample of 53 126 patients who were used for estimating the effect of prosthesis brand on post-operative QOL were very similar across brands (<u>Table 1</u>). However, patients receiving the PFC Sigma and the Triathlon were more likely to have had the TKR at an independent sector treatment centre and by a consultant, than those receiving the other three brands.

## Initial outcomes and costs after primary TKR

After adjustment for pre-operative characteristics, the mean OKS, and EQ-5D-3L score six months after the primary TKR was highest in those receiving a Nexgen and lowest in those receiving a Genesis 2 (<u>Table 2</u>). Revision rates at 5 and 10 years were lowest with the Nexgen and PFC Sigma brands and highest with the AGC Biomet (<u>Table 2</u>). Initial costs were lowest with the AGC Biomet and highest with the PFC Sigma.

Table 2. Initial QOL, OKS, initial cost and revision rates after primary TKR, according to brand with adjustment for pre-operative differences in case mix, for men and women aged 70.

	Prosthesis Brand					
	PFC Sigma	AGC Biomet	Nexgen	Genesis 2	Triathlon	
			Men aged 70			
Post-operative mean EQ-5D-3L index	0.73	0.72	0.74	0.71	0.72	
Post-operative mean OKS score	35.4	35.4	36.0	34.2	35.3	
Mean cost of primary replacement (£)	5 414	4 574	5 233 5 229		5 006	
5-year revision rate	2.1%	2.8%	2.1%	2.4%	2.5%	
10-year revision rate	3.1%	4.2%	3.1%	3.7%	3.8%	
	Women aged 70					
Post-operative mean EQ-5D-3L index	0.72	0.71	0.73	0.70	0.71	
Post-operative mean OKS score	33.9	33.8	34.4	32.6	33.7	
Mean cost of primary replacement (£)	5 491	4 651	5 311	5 306	5 084	
5-year revision rate	1.6%	2.2%	1.6%	1.9%	2.0%	
10-year revision rate	2.5%	3.3%	2.5%	2.9%	3.0%	

doi:10.1371/journal.pone.0150074.t002



Table 3. Mean lifetime costs, lifetime QALYs, and proportion of patients undergoing revision and net monetary benefit for men and women aged 70.

	Prosthesis Brand						
	PFC Sigma	AGC Biomet	Nexgen	Genesis 2	Triathlon		
		Men aged 70					
Lifetime proportion revised	3.9%	5.1%	3.8%	4.5%	4.6%		
Mean lifetime cost (£)	5 900	5 226	5 721	5 799	5 600		
Mean lifetime QALYs	7.57	7.50	7.72	7.38	7.50		
Net monetary benefit at £20,000 per QALY (£)	145 553	144 818	148 658	141 867	144 343		
Incremental Cost-effectiveness ratio (ICER)	dominated	base	2 284	dominated	dominated		
		Women aged 70					
Lifetime proportion revised	3.3%	4.5%	3.3%	3.9%	4.0%		
Mean lifetime cost (£)	5 876	5 166	5 696	5 756	5 553		
Mean lifetime QALYs	8.27	8.20	8.44	8.06	8.19		
Net monetary benefit at £20,000 per QALY (£)	159 556	158 819	163 012	155 498	158 289		
Incremental Cost-effectiveness ratio (ICER)	dominated	base	2 244	dominated	dominated		

doi:10.1371/journal.pone.0150074.t003

## Cost-effectiveness analysis

For both men and in women, the average lifetime costs were lowest in those who received an AGC Biomet and highest in those who received a PFC Sigma (Table 3). Patients who had a Nexgen prosthesis had the highest expected quality-adjusted life expectancy (QALYs). The Genesis 2 and Triathlon brands were "dominated" by the AGC Biomet as they had lower average lifetime QALYs and higher mean costs (Fig 2). The PFC Sigma was dominated by the Nexgen. For 70-year old men and women the incremental cost-effectiveness ratio for receiving a Nexgen compared to an AGC Biomet prosthesis was approximately £2 300 per QALY.

Similarly, the cost-effectiveness results in Table 3 and the acceptability frontiers in Fig 3 demonstrate that for patients aged 70, the Nexgen is highly likely to be the most cost-effective brand, or in other words, had the highest net monetary benefit from the vast majority of the 1 000 model simulations. If the societal willingness-to-pay per QALY exceeds £10 000, the probability that the Nexgen is the most cost-effective prosthesis brand exceeds 98% for men and women aged 70. A similar pattern of results was observed for men and women age 60 and 80 and the Nexgen is therefore also highly likely to be the most cost-effective brand for these age groups.

## Sensitivity analyses

The finding that the Nexgen was the most cost-effective brand was robust to alternative assumptions (Table 4). When differences in post-operative QOL between brands were assumed to be maintained for only one year, and when the linear regression analysis estimating the effect of brand on post-operative QOL included interactions between brand, age and sex, the results were somewhat more uncertain. However, the probability that the Nexgen is the most cost-effective prosthesis is always 75% or above for each subgroup at a willingness-to-pay threshold of £20 000 per QALY.

## **Discussion**

Our cost-effectiveness analysis found that the Nexgen was the most cost-effective cemented, unconstrained, fixed prosthesis brand for patients with osteoarthritis who have a primary TKR. Selection of the Nexgen over the other four most commonly used brands would generate



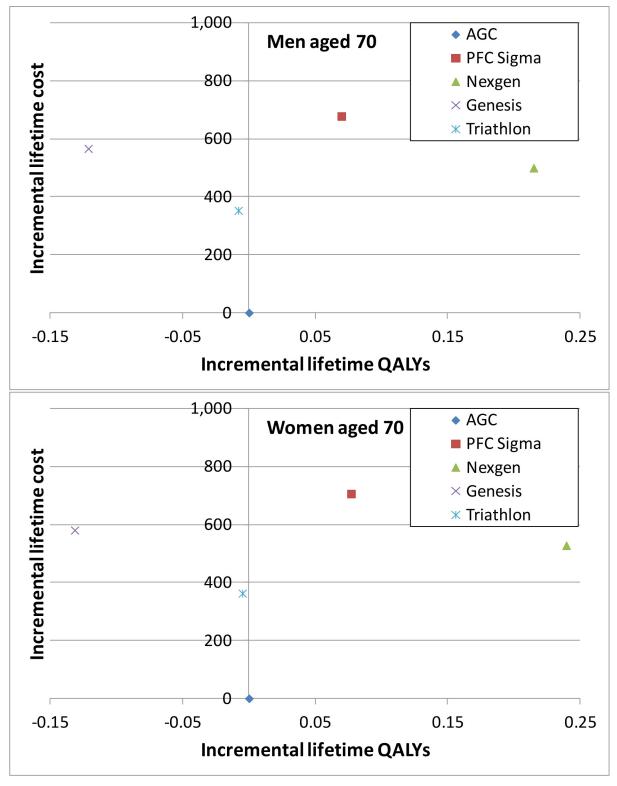


Fig 2. Cost-effectiveness planes for men and women aged 70. The axis represents differences in lifetime QALYs and cost compared to those of AGC, the prosthesis brand with the lowest lifetime cost.

doi:10.1371/journal.pone.0150074.g002



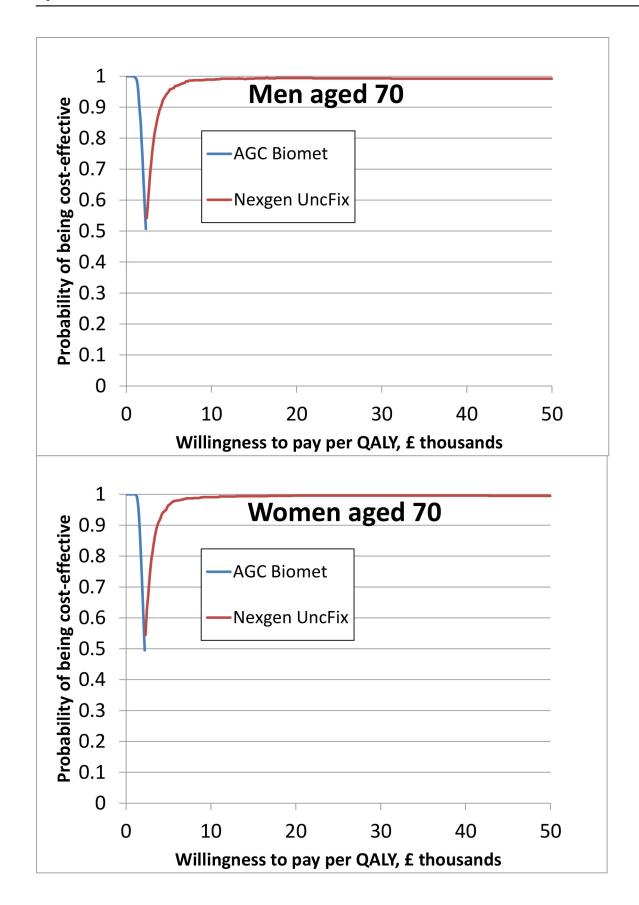




Fig 3. Cost-effectiveness acceptability frontiers, 70 year olds, base case. Only the result of the prosthesis brand with the highest average net monetary benefit for a given willingness-to-pay threshold is presented in the figure.

doi:10.1371/journal.pone.0150074.g003

better outcomes for patients at low additional costs. These results have implications for many countries as the five included prosthesis brands represent the majority of prostheses implanted for example in Sweden, Finland, Denmark, Australia, New Zealand and the US [9,31–35].

This is the first study to compare the cost-effectiveness of commonly used brands of knee prosthesis. Previous comparisons across brands only reported revision rates or symptom severity and post-operative QOL [9,10,12,36]. We synthesised evidence on revision rates, post-operative QOL and costs and found that relatively small differences in post-operative QOL are the key determinants of relative cost-effectiveness. For example, patients who received the Nexgen had the highest post-operative QOL but similar 5-year and 10-year revision rates compared to the PFC Sigma, the market leader in the UK. In our previous cost-effectiveness analysis of alternative types of total hip replacement we also found that the relative effect on post-operative QOL was the key driver of cost-effectiveness [15]. This highlights the importance of having data from a large national PROMs programme linked to the NJR.

Whilst other joint registries have a longer follow-up than the NJR, the value of older data is limited given the recognised gradual improvement of joint replacement outcome in the last decade [37] and the ongoing introduction of new knee prostheses. Moreover, we were able to exploit the linkage of PROMs data to both HES and the NJR which allowed us to quantify small but potentially important differences in post-operative QOL between brands while carefully adjusting for differences in patient and provider characteristics across brands.

It should be acknowledged that residual confounding is a potential explanation of small differences between treatment groups in observational studies irrespective of their size.

Proportion of 1 000 simulations in which the prosthesis

Table 4. Proportion of 1 000 simulations that a knee prosthesis brand gave the highest net monetary benefit at  $£20\,000$  per QALY for men and for women aged 70 in the base case and sensitivity analyses.

	was the most cost-effective (achieved the highest net monetary benefit) by brand				
	PFC Sigma	AGC Biomet	Nexgen	Genesis 2	Triathlon
Scenario	Men aged 70				
Base case	0.006	0	0.994	0	0
Differences in QOL between brands only maintained in first year after TKR	0.003	0.131	0.843	0	0.023
Interaction between brand, age and sex included in regression model for prediction of post-operative QOL	0.013	0.099	0.825	0	0.063
Pre-operative QOL specified using dummies for EQ-5D responses	0.003	0	0.997	0	0
Piece-wise constant hazard function for prediction of revision rate	0.018	0.011	0.965	0	0.006
Same prosthesis cost for all brands	0.002	0	0.998	0	0
	Women aged 70				
Base case	0.003	0	0.996	0	0.001
Differences in QOL between brands only maintained in first year after TKR	0	0.226	0.750	0	0.024
Interaction between brand, age and sex included in regression model for prediction of post-operative QOL	0.003	0.003	0.994	0	0
Pre-operative QOL specified using dummies for EQ-5D responses	0.003	0	0.996	0	0.001
Piece-wise constant hazard function for prediction of revision rate	0.022	0.007	0.967	0	0.004
Same prosthesis cost for all brands	0.006	0	0.994	0	0

doi:10.1371/journal.pone.0150074.t004



However, in estimating the effects of prosthesis brand on QOL and revision rates, we adjusted for a wide range of case mix variables including pre-operative symptom severity, comorbidity and BMI. Also, our sensitivity analysis demonstrated that even with alternative specifications of the linear regression model used to adjust for pre-operative case mix differences, the Nexgen remained the most cost-effective prosthesis. Lastly, the choice of prosthesis brand depends on the hospital and the surgeons providing the treatment rather than on the pre-operative characteristics of the patient, which reduces the risk of residual confounding even further. Two thirds of hospitals in England use a single brand for the majority of patients because it is advantageous for hospitals to use one brand from a single supplier for most patients as that would allow them to negotiate a discounted price. We did not undertake hierarchical modelling to estimate post-operative QOL and consequently there is a possibility that we under-estimated uncertainty around differences in post-operative QOL and in the resultant estimates of cost-effectiveness.

Post-operative QOL was based on data collected 6 months after TKR. There is some evidence of relatively small further gains in QOL beyond 6 months [38]. However, this increase is small and unlikely to influence the relative differences between the brands. In addition, our sensitivity analysis demonstrated that the cost-effectiveness results are robust to variations in the duration that the differences in post-operative QOL between prosthesis brands are maintained.

Our analysis did not explore the reasons for differences in QOL outcomes or revision rates between brands. The prosthesis design factors influencing revision rates and QOL are complex and multifactorial. Nevertheless, Joint registries show improvement in design over time. Our findings are consistent with a previous report of the superiority of QOL outcomes with the Nexgen prosthesis [12].

We obtained information on the costs of the prosthesis brands from NHS SupplyChain, an organisation that provides NHS hospitals with equipment and materials they need for patient care. Using these national costs has the advantage they represent the actual costs paid in the UK rather than a list price. We expect that in other countries, existing market mechanisms would produce a similar price pattern across the brands. However sensitivity analysis in which each prosthesis brand was assigned the same cost suggest the results are not sensitive to variation in prosthesis costs.

In conclusion, our study found that the initial and lifetime costs of the Nexgen prosthesis are lower than the PFC Sigma, the market leading brand, and that patients who received the Nexgen knee prosthesis have higher lifetime QALYs compared to four commonly used alternative brands of unconstrained, fixed prosthesis. The Nexgen prosthesis is therefore the most cost-effective brand, across all patient subgroups. These results suggest that the Nexgen should be considered as a first choice prosthesis for patients with osteoarthritis who require a TKR.

## Supporting Information

**S1 Information.** (PDF)

## **Acknowledgments**

We thank the patients and staff of all the hospitals in England and Wales who have contributed data to the National Joint Registry. We are grateful to the Healthcare Quality Improvement Partnership (HQIP), the NJR Steering Committee and staff at the NJR Centre for facilitating this work.



The authors have conformed to the NJR's standard protocol for data access and publication. The views expressed represent those of the authors and do not necessarily reflect those of the National Joint Register Steering Committee, the Health Quality Improvement Partnership (HQIP), the NHS Information Centre or the Department of Health who do not vouch for how the information is presented.

The Healthcare Quality Improvement Partnership ("HQIP") and/or the National Joint Registry ("NJR") take no responsibility for the accuracy, currency, reliability and correctness of any data used or referred to in this report, nor for the accuracy, currency, reliability and correctness of links or references to other information sources and disclaims all warranties in relation to such data, links and references to the maximum extent permitted by legislation.

HES data have been re-used with the permission of The Health and Social Care Information Centre. All rights reserved. The HES data linked to NJR data were extracted by Northgate Information Solutions (UK) Ltd.

We thank Paul Gregg for comments on earlier drafts of this paper.

### **Author Contributions**

Conceived and designed the experiments: JvdM NB RG. Performed the experiments: MP. Analyzed the data: MP. Wrote the paper: MP RG JvdM NB.

#### References

- Kurtz SM, Ong KL, Lau E, Widmer M, Maravic M, Gómez-Barrena E, et al. International survey of primary and revision total knee replacement. Int Orthop. 2011; 35(12): 1783–1789. doi: 10.1007/s00264-011-1235-5 PMID: 21404023
- Leonard S. Knee-replacement implant market on the rise. QMED 2011. Available: <a href="http://www.qmed.com/mpmn/medtechpulse/knee-replacement-implant-market-rise">http://www.qmed.com/mpmn/medtechpulse/knee-replacement-implant-market-rise</a>.
- Mont MA, Booth RE, Laskin RS, Stiehl JB, Ritter MA, Stuchin SA, et al. The spectrum of prosthesis design for primary total knee arthroplasty. Instr Course Lect. 2003; 52: 397–407. PMID: 12690866
- 4. National Joint Registry for England, Wales and Northern Ireland. Prostheses used in hip, knee, ankle, elbow and shoulder replacement procedures 2012. Available: <a href="http://www.njrcentre.org.uk/njrcentre/Portals/0/Documents/England/Reports/10th\_annual\_report/NJR%20Prostheses%20used%20in%20hip,%20knee,%20ankle,%20shoulder%20and%20elbow%20replacements%202012.pdf.</a>
- Murray DW, MacLennan GS, Breeman S, Dakin HA, Johnston L, Campbell MK, et al. A randomised controlled trial of the clinical effectiveness and cost-effectiveness of different knee prostheses: the Knee Arthroplasty Trial (KAT). Health Technology Assessment. 2014; 18: no.19. doi: 10.3310/ hta18190 PMID: 24679222
- Bauwens K, Matthes G, Wich M, Gebhard F, Hanson B, Ekkernkamp A, et al. Navigated total knee replacement: a meta-analysis. J Bone Joint Surg Am. 2007; 89-A: 261–269.
- Endres S. High-flexion versus conventional total knee arthroplasty: a 5-year study. Journal of Orthopaedic Surgery. 2011; 19(2).
- Koskinen E, Paavolainen P, Ylinen P, Eskelinen A, Harilainen A, Sandelin J, et al. Mid-term results for three contemporary total knee replacement designs: a comparative study of 104 patients with primary osteoarthritis. Scand J Surg. 2010; 99: 250–255. PMID: 21159598
- Sundberg M, Lidgren L, W-Dahl A, Robertsson O. (2012) Annual Report 2012, Swedish Knee Arthroplasty Register. Available: http://www.myknee.se/pdf/117\_SKAR\_2012\_Engl\_1.0.pdf.
- Gøthesen Ø, Espehaug B, Havelin L, Petursson G, Lygre S, Ellison P, et al. Survival rates and causes of revision in cemented primary total knee replacement. A report from the Norwegian Arthroplasty Register 1994–2009. Bone Joint J. 2013; 95(5): 636–642. doi: <a href="https://doi.org/10.1302/0301-620X.95B5.30271">10.1302/0301-620X.95B5.30271</a> PMID: 23632673
- 11. Porter M, Borroff M, Gregg P, McGregor A, Tucker K. (2013) 10<sup>th</sup> Annual Report 2013, National Joint Registry for England, Wales and Northern Ireland. Available: <a href="http://www.njrcentre.org.uk/njrcentre/Portals/0/Documents/England/Reports/10th\_annual\_report/NJR%2010th%20Annual%20Report%202013%20B.pdf">http://www.njrcentre.org.uk/njrcentre/Portals/0/Documents/England/Reports/10th\_annual\_report/NJR%2010th%20Annual%20Report%202013%20B.pdf</a>.



- Baker PN, Deehan DJ, Lees D, Jameson S, Avery PJ, Gregg P, et al. The effect of surgical factors on early patient-reported outcome measures (PROMS) following total knee replacement. J Bone Joint Surg Br 2012; 94(8): 1058–1066. doi: 10.1302/0301-620X.94B8.28786 PMID: 22844046
- 13. Provisional Monthly Patient Reported Outcome Measures (PROMs) in England: April 2009—April 2010: Pre- and post-operative data: Experimental Statistics. The Health and Social Care Information Centre; 2010.
- Briggs A, Sculpher M. An introduction to Markov modelling for economic evaluation. Pharmacoeconomics. 1998; 13: 397–409. PMID: 10178664
- Pennington M, Grieve R, Sekhon JS, Gregg P, Black N, van der Meulen JH. Cemented, cementless, and hybrid prostheses for total hip replacement: cost effectiveness analysis. BMJ. 2013; 346: f1026. doi: 10.1136/bmj.f1026 PMID: 23447338
- 16. Department of Health, Hospital Episode Statistics. Available: <a href="http://www.hscic.gov.uk/hes.">http://www.hscic.gov.uk/hes.</a>
- Dawson J, Fitzpatrick R, Murray D, Carr A. Questionnaire on the perceptions of patients about total knee replacement. J Bone Joint Surg Br 1998: 80(1): 63–69. PMID: 9460955
- The EuroQol Group. EuroQol—a new facility for the measurement of health-related quality of life. Health Policy. 1990; 16: 199–208. PMID: 10109801
- Gudex C, Kind P, Williams A. A social tariff for EuroQol: results from a UK general population survey. 1995. Centre for Health Economics.
- ASA Physical Status Classification System, American Society of Anesthesiologists. Available: <a href="http://www.asahq.org/For-Members/Clinical-Information/ASA-Physical-Status-Classification-System.aspx">http://www.asahq.org/For-Members/Clinical-Information/ASA-Physical-Status-Classification-System.aspx</a>.
- 21. Noble M, McIennan D, Wilkinson K, Whitworth A, Exley S, Barnes H, et al. The English Indices of Deprivation, 2007. Wetherby: Department of Communities and Local Government.
- 22. Ara R, Brazier J. Health related quality of life by age, gender and history of cardiovascular disease: results from the Health Survey for England. HEDS Discussion paper (unpublished). 2009. Available: <a href="http://eprints.whiterose.ac.uk/10880/1/HEDS\_DP\_09-12.pdf">http://eprints.whiterose.ac.uk/10880/1/HEDS\_DP\_09-12.pdf</a>
- Robertsson O, Stefansdottir A, Lidgren L, Ranstam J. Increased long-term mortality in patients less than 55 years old who have undergone knee replacement for osteoarthritis Results from the Swedish Knee Arthroplasty Register. J Bone Joint Surg Br. 2007; 89(5): 599–603. PMID: <u>17540743</u>
- 24. Breeman S, Campbell M, Dakin H, Fiddian N, Fitzpatrick R, Grant A, et al. Patellar resurfacing in total knee replacement: five-year clinical and economic results of a large randomized controlled trial. J Bone Joint Surg Am. 2011; 93(16): 1473–1481. doi: 10.2106/JBJS.J.00725 PMID: 22204002
- Royston P, Ambler G, Sauerbrei W. The use of fractional polynomials to model continuous risk variables in epidemiology. Int J Epidemiol 1999; 28(5): 964–974. PMID: 10597998
- White IR, Royston P, Wood AM. Multiple imputation using chained equations: Issues and guidance for practice. Stat Med. 2011; 30: 377–99. doi: 10.1002/sim.4067 PMID: 21225900
- 27. Marshall A, Altman DG, Holder RL, Royston P. Combining estimates of interest in prognostic modelling studies after multiple imputation: current practice and guidelines. BMC Med Res Methodol. 2009; 9(1): 57. doi: 10.1186/1471-2288-9-57
- 28. Royston P, Lambert PC. Flexible parametric survival analysis using Stata: beyond the Cox model. Stata Press books; 2006.
- 29. National Institute for Health and Care Excellence. Guide to the methods of technology appraisal; 2008.
- 30. Barton GR, Briggs AH, Fenwick EAL. Optimal cost-effectiveness decisions: the role of the cost-effectiveness acceptability curve (CEAC), the cost-effectiveness acceptability frontier (CEAF), and the expected value of perfection information (EVPI). Value Health. 2008; 11(5): 886–897. doi: 10.1111/j. 1524-4733.2008.00358.x PMID: 18489513
- The Norwegian Arthroplasty Register. Report 2010. Available: <a href="http://nrlweb.ihelse.net/eng/Report-2010.pdf">http://nrlweb.ihelse.net/eng/Report-2010.pdf</a>.
- The Danish Knee Arthroplasty Register. Annual Report 2010. Available: <a href="http://www.knee.dk/groups/dkr/pdf/DKRreportEnglish2010.pdf">http://www.knee.dk/groups/dkr/pdf/DKRreportEnglish2010.pdf</a>.
- 33. Australian Orthopaedic Association National Joint Replacement Registry. Hip and knee arthroplasty. Annual report. 2013. Available at: <a href="https://aoanjrr.dmac.adelaide.edu.au/documents/10180/127202/Annual%20Report%202013?version=1.0&t=1380246985277">https://aoanjrr.dmac.adelaide.edu.au/documents/10180/127202/Annual%20Report%202013?version=1.0&t=1380246985277</a>
- **34.** New Zealand Joint Registry. Thirteen year report January 1999 to December 2011. 2012. Available: <a href="http://nzoa.org.nz/system/files/NJR%2013%20Year%20Report.pdf">http://nzoa.org.nz/system/files/NJR%2013%20Year%20Report.pdf</a>.
- The 2010 US hip and knee implant market. Orthopedic News Network. 2011; 22(3). Available: <a href="http://www.orthopedicnetworknews.com/archives/onn223s4.pdf">http://www.orthopedicnetworknews.com/archives/onn223s4.pdf</a>.



- Pradhan NR, Gambhir A, Porter ML. Survivorship analysis of 3234 primary knee arthroplasties implanted over a 26-year period: a study of eight different implant designs. Knee. 2006; 13(1): 7–11.
   PMID: 16125939
- Lygre SHL, Espehaug B, Havelin LI, Vollset SE, Furnes O. Failure of total knee arthroplasty with or without patella resurfacing: A study from the Norwegian Arthroplasty Register with 0–15 years of followup. Acta orthop. 2011; 82(3): 282–292. doi: 10.3109/17453674.2011.570672 PMID: 21619502
- 38. Browne JP, Bastaki H, Dawson J. What is the optimal time point to assess patient-reported recovery after hip and knee replacement? A systematic review and analysis of routinely reported outcome data from the English patient-reported outcome measures programme. Health Qual Life Outcomes. 2013; 11(1): 128.